
To: The Council Leader and Members of Cabinet

Agenda

CABINET

A meeting of the Cabinet will be held as follows:

Date: Thursday 9 June 2022
Time: 10.00 am
Place: Cumbria House, Botchergate, Carlisle CA1-1RD

Dawn Roberts
Executive Director –Corporate, Customer and Community Services

Enquiries and requests for supporting papers to: Jackie Currie
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Serving the People of Cumbria

MEMBERSHIP

Mr SF Young (Leader)
Mrs PA Bell
Mrs A Burns
Ms D Earl
Mr KA Little

Mrs S Sanderson
Mr DE Southward
Mr P Thornton
Mrs C Tibble
Mrs J Willis

ACCESS TO INFORMATION

Agenda and Reports

Copies of the agenda and Part I reports are available for members of the public to inspect prior to the meeting. Copies will also be available at the meeting.

The agenda and Part I reports are also available on the County Council's website – www.cumbria.gov.uk

Background Papers

Requests for the background papers to the Part I reports, excluding those papers that contain exempt information, can be made to Legal and Democratic Services at the address overleaf between the hours of 9.00 am and 4.30 pm, Monday to Friday.

A G E N D A

PART 1: ITEMS LIKELY TO BE CONSIDERED IN THE PRESENCE OF THE PRESS AND PUBLIC

1 APOLOGIES FOR ABSENCE

To receive any apologies for absence.

2 DISCLOSURES OF INTEREST

Members are invited to disclose any disclosable pecuniary interest they have in any item on the agenda which comprises

- 1 Details of any employment, office, trade, profession or vocation carried on for profit or gain.
- 2 Details of any payment or provision of any other financial benefit (other than from the authority) made or provided within the relevant period in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses. (This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.
- 3 Details of any contract which is made between you (or a body in which you have a beneficial interest) and the authority
 - (a) Under which goods or services are to be provided or works are to be executed; and
 - (b) Which has not been fully discharged.
- 4 Details of any beneficial interest in land which is within the area of the authority.
- 5 Details of any licence (alone or jointly with others) to occupy land in the area of the authority for a month or longer.
- 6 Details of any tenancy where (to your knowledge)
 - (a) The landlord is the authority; and
 - (b) The tenant is a body in which you have a beneficial interest.
- 7 Details of any beneficial interest in securities of a body where
 - (a) That body (to your knowledge) has a place of business or land in the area of the authority; and

- (b) Either –
- (i) The total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or
 - (ii) If that share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the relevant person has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

In addition, you must also disclose other non-pecuniary interests set out in the Code of Conduct where these have not already been registered.

Note

A “disclosable pecuniary interest” is an interest of a councillor or their partner (which means spouse or civil partner, a person with whom they are living as husband or wife, or a person with whom they are living as if they are civil partners).

3 EXCLUSION OF PRESS AND PUBLIC

To consider whether the press and public should be excluded from the meeting during consideration of any item on the agenda.

4 MINUTES

To receive the minutes of the Cabinet meeting held on 21 April 2022 (copy enclosed)

(Pages 7 - 14)

5 STATEMENTS BY THE LEADER OF THE COUNCIL AND CABINET MEMBERS

To receive statements by the Leader of the Council and Cabinet Members.

6 PUBLIC PARTICIPATION

There were no questions, petitions or statements at the time of despatch

REFERRAL FROM LOCAL COMMITTEES

None for this meeting.

REFERRAL FROM SCRUTINY

None for this meeting.

DIRECTOR OF FINANCE

7 2021/22 REVENUE AND CAPITAL BUDGET MONITORING REPORT PROVISIONAL YEAR END RESULTS

To consider a report from the Director of Finance (Section 151 Officer) (copy enclosed)

(Pages 15 - 96)

8 CORPORATE PERFORMANCE MONITORING REPORT – QUARTER 4 2021/22

To consider a report from the Director of Finance (Section 151 Officer) (copy enclosed)

(Pages 97 - 130)

DIRECTORATE REPORTS - CORPORATE, CUSTOMER AND COMMUNITY SERVICES

9 REVIEW OF APPOINTMENTS TO CABINET WORKING GROUPS, OTHER BODIES AND OUTSIDE BODIES

To consider a report from the Executive Director – Corporate, Customer and Community Services (copy enclosed)

(Pages 131 - 144)

PART 2: ITEMS LIKELY TO BE CONSIDERED IN THE ABSENCE OF THE PRESS AND PUBLIC

None for this meeting.

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CUMBRIA COUNTY COUNCIL

Minutes of a Meeting of the **Cabinet** held on **Thursday, 21 April 2022**
at 10.00 am at Cumbria House, Botchergate, Carlisle CA1-1RD

PRESENT:

Mr SF Young (Leader)
Mrs PA Bell
Mrs A Burns
Ms D Earl
Mr KA Little
Mrs S Sanderson
Mr DE Southward
Mrs C Tibble

Officers in attendance:

Chief Executive, Executive Director (People) and Acting Chief Executive, Executive Director - Corporate, Customer and Community Services, Director of Finance (Section 151 Officer), Deputy Chief Fire Officer, Chief Legal Officer, Assistant Director for Highways and Transport, Leadership Support Officer - Labour Group, Leadership Support Officer - Liberal Democrat Group, Professional Lead - Democratic Services and Communications Manager

The Leader welcomed Mr John Metcalfe, the newly appointed Chief Executive to his first Cabinet meeting.

PART 1 ITEMS CONSIDERED IN THE PRESENCE OF THE PUBLIC AND PRESS

223 APOLOGIES FOR ABSENCE

Apologies for absence were received from Mr P Thornton and Mrs J Willis.

224 DISCLOSURES OF INTEREST

There were no disclosures of interest made on this occasion.

225 EXCLUSION OF PRESS AND PUBLIC

RESOLVED, that the press and public be not excluded from any items on the agenda today.

226 MINUTES

RESOLVED, that the minutes of the meeting held on 17 March 2022 be agreed and signed by the Chair.

227 STATEMENTS BY THE LEADER OF THE COUNCIL AND CABINET MEMBERS

There were no statements made at this meeting.

228 PUBLIC PARTICIPATION

There were no public questions, petitions or statements made at this meeting.

There were no referrals from local committees or scrutiny committees to this meeting.

229 AWARD OF A NEW PROFESSIONAL SERVICES FRAMEWORK (4)

Cabinet members considered a report from the Executive Director – Economy and Infrastructure, which asked members to award a framework agreement for the supply of professional services to the Council and other specified users over a period of 4 years.

The existing professional services framework (PSF3) provided a range of consultancy support to the Council's capital programme as well as a facility for other framework users, including District Councils, to award consultancy contracts. Due to the success of the Council in achieving funding for large capital schemes, the existing framework was reaching the limit of its advertised spend and a new professional service framework had been tendered pending award.

The framework structure was as follows:

- A four-year multi-disciplinary framework.
- A forecast value of up to £30million.

- A structure comprising separate lots by discipline for:-
 - Lot 1 Architecture
 - Lot 2 Civils and Structural Engineering
 - Lot 3 Building Surveying
 - Lot 4 Growth and Infrastructure

The Council and other framework users, including District Councils, required professional consultancy support to deliver capital programmes of work. The award of this framework allowed the Council, and other framework users, to deliver at the scale of previous years which was essential to the delivery of the Council Plan and the resulting benefits.

RESOLVED, that Cabinet agrees to award the Framework Agreement for The Provision of Professional Consultancy Services within Cumbria (Professional Services Framework 4) and appoint the suppliers identified as follows:-

Lot 1a – Architecture

AHR Building Consultancy Ltd
 Align Property Partners Limited
 Architects Plus (UK) Limited
 Baker Hicks Limited
 Day Cummins Limited
 Farrell & Clark LLP
 Tetra Tech Limited

Lot 1b – Architecture

Align Property Partners Limited
 Architects Plus (UK) Limited
 Day Cummins Limited
 Tetra Tech Limited

Lot 2a – Civils and Structural Engineering

Baker Hicks Limited
 Lynas Engineers Limited
 Mott MacDonald Limited
 Pell Frischmann Consultants Ltd
 Tetra Tech Limited
 Waterman Infrastructure & Environment Ltd
 Wilde Consultants Ltd
 WSP UK Ltd

Lot 2b – Civils and Structural Engineering

AECOM Limited
Jacobs U.K. Limited
Mott MacDonald Limited
WSP UK Ltd

Lot 3a – Building Surveying

AHR Building Consultancy Ltd
Align Property Partners Limited
Carter Jonas LLP
Day Cummins Limited
Tetra Tech Limited
WSP UK Ltd

Lot 3b – Building Surveying

Align Property Partners Limited
Day Cummins Limited
Tetra Tech Limited
WSP UK Ltd

Lot 4a – Growth and Infrastructure

AECOM Limited
Mott MacDonald Limited
Pell Frischmann Consultants Ltd
Tetra Tech Limited
WSP UK Ltd

Lot 4b – Growth and Infrastructure

AECOM Limited
Jacobs U.K. Limited
Mott MacDonald Limited
WSP UK Ltd

230 COPELAND LOCAL PLAN 2021-2038 CONSULTATION RESPONSE

Members had before them a report from the Executive Director – Economy and Infrastructure which sought the approval of Cabinet of Cumbria County Council’s representations to Copeland District Council’s Local Plan 2021-2038 Publication Draft Consultation.

Copeland Borough Council had issued the Publication Draft of the Copeland Local Plan 2021-2038 for public consultation between 10 January and 18 March 2022. Copeland Borough Council had agreed a further extension for the County Council to respond to the consultation.

The planning system in England was 'plan led', whereby there was a statutory duty for all local planning authorities to prepare a Local Plan for their area.

When adopted the Copeland Local Plan (2021-2038), which covered the district of Copeland outside the Lake District National Park (which was covered by its own local plan), would:-

- identify how much development should take place and where it should go
- provide guidance for high quality development and the infrastructure required to support them
- allocate sites for housing, regeneration, and employment
- identify areas which should be protected from development
- include policies to be used when determining planning applications.

Under the 'Duty to Co-operate' the Government required that district councils worked with the County Council during the development of their Local Plan. This was to ensure that Cumbria County Council's interests as a planning authority and infrastructure and service provider were appropriately considered in the development of policy.

The consultation on the Publication Draft (Regulation 19) was the final opportunity for representations relating to a Local Plan (Regulation 20) to be made before the Local Plan was submitted to the Secretary of State (Regulation 22), who would appoint an independent inspector whose role was to determine whether the Local Plan was legally compliant and met the tests of 'soundness' through an Examination in Public (EiP) (Regulation 24).

Cumbria County Council's representations to the Copeland Local Plan 2021-2038 Publication Draft Consultation built upon Cumbria County Council's responses to previous consultations, and were aligned with the Cumbria Transport Infrastructure Plan (CTIP) which had been adopted by Cumbria County Council as the new Local Transport Plan for Cumbria.

Copeland Borough Council intended to submit their Local Plan to the Planning Inspectorate in May 2022, with the intention of adopting the Plan in early 2023. Given that further updates may be required in advance of submission, it suggested that timescales for adoption of the Local Plan were reviewed and that consideration was given to the

programme in the context of Local Government Reform, particularly in relation to decision making.

On the whole the County Council was supportive of the proposed Local Plan and although some further amendments and updates to the evidence base were suggested, it was considered that, fundamentally, the Local Plan would:-

- support inclusive growth and decarbonisation;
- support attractive places and town centres,
- support economic growth including the clean energy sector;
- create or enhance nature, wildlife and green spaces;
- promote the Borough as a thriving visitor attraction whilst safeguarding its heritage and landscape.

The Cabinet Member for Environment took Cabinet through some of the key issues raised by Cumbria County Council to assist in developing a sound Local Plan including:-

- IDP – Highway
- IDP - Education
- IDP - Flood Risk
- Viability Assessment
- Whitehaven Relief Road
- Housing
- Highways and Transport
- Strategic Employment Sites

The risk to Cumbria County Council of not submitting representations to the Copeland Local Plan Publication Draft Consultation was that it would miss the opportunity to influence the content of the Local Plan and secure the necessary infrastructure to support new development. It would also mean that Cumbria County Council would not fulfil its obligations as a consultee and requirement under the 'Duty to Co-operate'.

Although Cabinet had some concerns about the deliverability of the proposals in the plan, members supported the development of the Local Plan and hoped it would be progressed as quickly as possible.

The Cabinet Member for Environment asked that thanks be recorded for the Cumbria County Council officers that had supported and advised Copeland Borough Council during the production of the plan.

RESOLVED, that Cabinet approves Cumbria County Council's representations to the Copeland Local Plan 2021-2038 Publication Draft Consultation as set out in Appendix 1 and 2 of the report.

231 CONSULTATION ON THE POTENTIAL CLOSURE OF ST JOSEPH'S CATHOLIC SCHOOL, COCKERMOUTH

Cabinet considered a report from the Executive Director – People (Deputy Chief Executive) which sought a decision on the formal closure of St Joseph's Catholic Primary School, Cockermouth.

A public consultation exercise on closure was undertaken between 20 September and 29 October 2021. The outcome of this consultation was reported to the County Council Cabinet on 27 January 2022, and subsequently approved the publication of formal closure notices.

Closure notices were published on 24 February 2022 triggering a 4-week representation period. Cabinet must make a decision on the proposal within 2 months of the end of the representation period.

The governing body of St Joseph's School had approached Cumbria County Council to carry out a public consultation around the proposed closure of the school, as numbers had fallen at the school from over 75 pupils in 2017/18, to 4 children on the January 2022 pupil census.

The school was contacted on 22 March and confirmed that there were no children on site, including in the private nursery. A single Year 6 child remained on the school roll, but they were in attendance at an Alternative Provision.

There were currently three primary schools in Cockermouth, the other two being Fairfield Primary and All Saints' CE Primary. Between them there were 105 Reception class places on offer. Closure of St Joseph's would remove 15 Reception places.

The school governors had tried unsuccessfully to appoint a headteacher over the course of several months. Only a practising Catholic could be considered for the post.

Alternatives to closure, such as a shared headteacher, federation or academisation, had also been explored.

Budgets for running costs (staff salaries, heating, lighting, etc.) were devolved to schools largely on the basis of pupil numbers. Many of these costs were fixed regardless of how many children were in the school and a lower number of children would produce a lower budget, meaning less money to support teaching and learning. The recent low numbers meant that the school budget was expected to fall into deficit and, as funding allocations were based on a national formula, the County Council was unable to provide additional financial support.

The school was rated 'Requires Improvement' by Ofsted.

A public consultation exercise on closure was undertaken between 20 September and 29 October 2021. Taking into account the outcome of this consultation, the Cabinet approved the publication of formal closure notices on 27 January 2022.

Notices were published on 24 February 2022, triggering a representation period which ended on 24 March 2022.

No responses were received during the representation period.

The Cabinet Member for Schools and Learning said the school was, according to Ofsted, failing to provide the standard of service expected of it, meaning that those children attending were not in receipt of the level of education and care they should expect.

Whilst the budget was currently in surplus, the exceedingly low numbers at the school meant it was forecast to be in deficit by 2023/24 and this could eventually fall on the DSG for repayment.

RESOLVED, that Cabinet approves the formal closure of St Joseph's Catholic Primary School, Cockermouth. Closure will take effect on 31 August 2022.

The meeting ended at 10.20 am

| | |
|-------------------------|--|
| Meeting: | Cabinet |
| Date of Meeting: | 9 June 2022 |
| Title of Report: | 2021/22 Revenue and Capital Budget Monitoring: Provisional year-end outturn |
| Report by: | Pam Duke, Director of Finance (s151 Officer) |
| Cabinet Member: | Peter Thornton, Cabinet Member for Finance |

What is the Report About? (Executive Summary)

1. This report sets out the Council's provisional year-end outturn position for 2021/22 covering: the Revenue Budget, Capital Programme and Treasury Management. Cabinet receives a report quarterly and Directorate and Corporate Management Teams receive detailed reports monthly. This report links to the Q4 Corporate performance monitoring report which is contained elsewhere on today's agenda.
2. Since setting the Budget in February 2021, the Council has continued to face significant financial uncertainty relating to; the response to and recovery from the COVID-19 pandemic, the impact of Brexit and global adverse events including the Russian invasion of Ukraine. Collectively this global uncertainty is resulting in significant inflationary pressures on goods, services and utilities, resulting in a cost of living crisis in the United Kingdom and worldwide. This is expected to continue throughout 2022/23 and beyond. It is important that the Council continues to provide vital local services during this period of sustained uncertainty whilst carefully managing its overall expenditure within its approved resources.
3. Whilst the COVID-19 pandemic has had a significant impact on the Council's financial position there have also been adverse pressures in its underlying budget position arising from non-COVID-19 related issues driving demand and cost of service provision as well as non-delivery of approved MTFP savings. However, these have been offset by the achievement of in year mitigations and/or one off underspending in other service areas to achieve an overall underspend position for the whole Council at year-end. Although the financial position for 2021/22 is favourable it is recognised that the underspends are in the majority one offs and yet the underlying financial pressures are not. Therefore understanding the financial pressures that the Council will face in 2022/23 over and above those already budgeted for has formed part of this outturn report and the recommendations proposed provide the opportunity to respond to the continued volatile financial environment in which we are operating for 2022/23 onwards.

Key messages for Cabinet

4. The key messages for Cabinet are set out below:
 - i. The original approved net budget for 2021/22 was £418.171m. After taking account of adjustments to General Grants and transfers to and from reserves, the revised net budget 2021/22 is £411.109m (before year-end transfers to reserves) at 31st March 2022. The provisional outturn position is £402.760m which is an underspend of (£8.349m). This position is after recommended transfers to earmarked reserves from Directorates of £3.976m and before proposed transfers to reserves of the underlying (£8.349m) underspend, as summarised in Table 2 (paragraph 8 below).
 - ii. A recommendation was agreed by Cabinet in the Q3 budget monitoring report (17th March 2022) that any further budget underspends at year-end, would be transferred to the Financial Volatility Reserve. As part of year-end processes, reassessment of the anticipated financial risks that are likely in 2022/23 has been undertaken including an assessment of the extraordinary inflationary pressures. Because of the significant inflationary pressures and the wider cost of living crisis it is considered prudent to make specific financial provisions for these items alongside the contribution to the Financial Volatility Reserve. The proposed transfer to reserves, subject to the recommendations being approved, are summarised below.
 - £4.500m to the Inflation Risk Reserve to partially mitigate the forecast pressures in 2022/23 from rising inflation;
 - £2.000m to a Cost of Living Reserve to be utilised during 2022/23 to support individuals through the cost of living crisis. This supports the emerging risk that has been highlighted in the 2021/22 Q4 risk register;
 - £1.849m to the Financial Volatility Reserve.
 - iii. In 2022/23 the following draw downs from the newly created Cost of Living earmarked reserve are requested (if the creation of the reserve is agreed in line with recommendation d in this report):
 - £0.500m to support the Ways to Welfare budget. Ways to Welfare is a Cumbria County Council fund administered by the Service Centre through pre-paid cards. The fund supports individuals and families who are experiencing financial difficulty. Grants are issued subject to assessment by the team of up to £100 at a time. The money can be used in a number of ways including for white goods, food, bills and unexpected expenses. Over the past 2 and a half years there has been unprecedented demand from a total expenditure of £0.059m in 2018/19 to £0.660m in 2021/22 which has been exacerbated by

COVID and more recently the cost of living crisis. We have been able to supplement the fund during that time via a range of government grants and other funding. However much of that funding is now coming to an end. This £0.500m additional support would be on a one off basis and administered via the Ways to Welfare fund to further support people during this difficult period.

- £0.500m to support School clothing grant budget on a one off basis for 2022/23 only. The School clothing grant is a Cumbria County Council fund administered by the service centre. It is a payment made to parents of children in receipt of free school meals as a contribution to the cost of school uniforms. The contribution has stood at £25 for primary children and £35 for secondary children for 10+ years. Given the effects of inflation and the cost of living crisis it is proposed that the contribution is increased to £50 for primary and £100 for secondary. There has also been an increase in the number of children registered for free school meals resulting in further demand. This additional £0.500m will support the uplift in the grants and additional registrations.
- iv. The General Fund Balance at 1st April 2021 was £25.056m. The provisional outturn, if the recommended year-end transfers to reserves are approved, retains the General Fund Balance at this level. Given the anticipated pressures on the 2022/23 budget position and the volatile economic context that the Council is operating in, the level of the General Fund Balance and Earmarked Reserves need to be robust. It is prudent and appropriate to build up Earmarked Reserves where possible, to be able to effectively respond to unanticipated financial pressures through this period of sustained uncertainty.
- v. Table 1 below provides a high level summary of the movements within the outturn position between Q3 and Q4 showing that the overall forecast outturn has reduced by (£8.178m). However, there have been significant movements within Directorates and transfers to reserves during Q4 as summarised below.

Table 1 – Movement between Q3 and Q4 (at 31st March 2022)

| | £m | Reference |
|---|----------------|------------|
| Quarter 3 Forecast Outturn Position | (0.170) | |
| Non COVID-19 Pressures Movement | 2.042 | Para 10 |
| Underspends Movement | (9.758) | Para 11 |
| MTFP Savings Delivery Movement | (0.255) | Para 13-15 |
| COVID-19 Pressures Movement | (0.208) | Para 27-30 |
| Outturn Position before proposed transfers to reserves | (8.349) | |
| Outturn underspend transferred to reserves | 8.349 | Para 4.ii |
| Outturn Position after proposed transfer to reserves | 0.000 | |

- vi. Table 1 shows that the movement on underspends which have been reported between Q3 forecasts and outturn is significant at (£9.758m). These increased underspends include (further detail provided in paragraph 11):
- (£2.201m) Capitalisation of Community Equipment Service spend which is a decision taken as part of year-end processes to provided consistency of treatment with 2020/21 and for which a recommendation is included in paragraph 5 (r).
 - (£1.732m) additional Treasury Management underspend as a result of slippage in the capital programme and reduced external borrowing costs because of a continued approach to utilising internal borrowing.
 - (£2.179m) following a review of technical accounting adjustments as part of the year-end processes including the settlement of provisions, refinancing of Fire PFI scheme and other required adjustments.
 - (£3.646m) other one-off Directorate underspends which were not forecast at Q3 for a number of reasons including the ongoing receipt of COVID-19 specific grants (which had been assumed to end at Q3), difficulty of appointing to vacancies in a number of areas and slippage on forecast spend.
- vii. At 31st March 2021 the Council had an accumulated net deficit on the Dedicated Schools Grant Balance of £9.641m excluding balances held in schools. The 2021/22 outturn position at 31st March 2022 is a deficit of £14.692m, an increase of £5.051m since the start of the year and a decrease of (£0.524m) since Q3. Further information is provided in Paragraph 26.
- viii. Total approved savings to deliver in 2021/22 was £30.018m, comprising £17.667m of new approved savings and £12.351m of existing savings and step ups that were approved in previous years budgets. Total savings delivered totals £24.364m (81%). This is an increase in savings delivery of

£0.155m since Q3. Within the outturn position non-delivery of savings totals £5.654m (£5.454m existing and £0.200m new savings). Details can be found in appendix 2.

- ix. The Council approves a Capital Programme in February each year and subsequent changes are then made throughout the year. The revised approved Capital Programme for 2021-2027, including changes approved by Cabinet as part of the Q3 report presented on 17th March 2022 is £559.830m (excluding Accountable Bodies). Further proposed changes totalling £18.480m to the Capital Programme are set out in paragraph 37 resulting in a revised Capital programme 2021-2027 of £578.310m over the life of the current capital programme, and a revised budget of £122.153m for 2021/22.

- x. The 2021/22 provisional outturn expenditure on the Capital Programme is £88.645m (73% of approved budget) excluding the Accountable Bodies programme. The variance includes underspends of (£0.500m) and slippage of (£34.181m) and accelerated spend of £1.173m. The Q3 monitoring report included slippage of £18.926m and provided a detailed explanation. The movement since Q3 is explained in paragraph 42 of this report. The reason for delays covers a wide range of scenarios, including adverse weather conditions (which both limits our ability to undertake some schemes due to ground/site conditions and also impacts on staff resources, as it becomes necessary to re-deploy staff away from planned work to ensure the Council is able to provide an adequately emergency response where this is required), supplier or contractors having longer than anticipated lead-in times, re-scheduling work to future financial years as a result of changes to the scope of schemes or due to the on-going impact of COVID and lockdown conditions being implemented across some of the Council services such as those in care settings.

Recommendation of the Director of Finance (s151 Officer)

5. Cabinet is asked to:
- a) Note the revised provisional outturn Revenue Budget of £411.109m as a result of the previously agreed transfers to and from reserves (set out in Appendix 1) and before the recommended transfers to reserves set out in c, d, e, f and g below.
 - b) Note the provisional outturn Revenue Budget year-end position of an underspend of (£8.349m), which is an increased underspend of (£8.179m) from Q3.
 - c) Approve the transfer of £4.500m to the Inflation Risk Reserve as noted in paragraph 4ii. This would make the total balance on the Inflation Risk Reserve (after approving recommendation g) £5.554m.
 - d) Approve the transfer of £2.000m to a newly created Cost of Living reserve as noted in paragraph 4ii.
 - e) Note that the remaining underspend of £1.849m has been transferred to the Financial Volatility Reserve, as approved by Cabinet at Q3. The total transferred to the Financial Volatility Reserve in 2021/22 is £3.219m. The balance on the Financial Volatility Reserve at 31st March 2022 is £19.179m.
 - f) Approve the additional Directorate contributions to Earmarked Reserves totalling £3.976m which have been requested as part of the year-end processes, as set out in Appendix 5. These transfer to reserves are already included in the reported (£8.506m) underspend position.
 - g) Note performance in delivering a total of £24.364m (81%) against the approved savings for 2021/22 of £30.018m have been delivered during 2021/22.
 - h) Note the General Fund balance at 31st March 2022 of £25.056m; which is unchanged from the balance at 31st March 2021.
 - i) Note that total spend of Contain Outbreak Management funding is £9.891m from a total grant award of £14.014m, with the remaining £4.123m carried forward into 2022/23. Further information is set out in Appendix 6 and paragraph 32.
 - j) Note the updated Fees and Charges Schedule for 2022/23 (changes set out in Paragraph 36 and Appendix 7).
 - k) Note the Capital Programme provisional outturn of £88.645m compared to a proposed budget (excluding Accountable Bodies) of £122.153m, representing a net underspend of £33.508m (27%) as set out in table 19.

- l) Note the slippage of (£34.181m) and accelerated spend of £1.173m. Council agreed that slippage and accelerated spend in the 2021/22 Capital Programme can be carried forward to 2022/23, in the Budget Report approved by Council on 10th February 2022, so no further approval is required for the purposes of this report.
- m) Approve the addition of £11.032m to the Capital Programme 2021/27 for existing schemes, as detailed in paragraph 51 financed from external grants and contributions.
- n) Approve the addition of £0.200m to the Capital Programme 2021/27 for new schemes, as detailed in paragraph 52 funded from external grants and contributions.
- o) Approve the addition of £0.371m to the Capital Programme 2021/27 for existing schemes, as detailed in paragraph 54 financed from internal contributions.
- p) Approve the virements set out at paragraph 55, these changes have no impact on the overall value of the Capital programme
- q) Recommend to Council, the addition of £4.676m to the Capital Programme 2021/27 for new schemes, as detailed in paragraph 52 financed from previously approved un-allocated prudential borrowing.
- r) Recommend to Council, the addition of £2.201m to the Capital Programme 2021/27 for existing schemes, as detailed in paragraph 53 financed from previously approved un-allocated prudential borrowing.
- s) Recommend to Council, the virement of £1.368m between the Additional Inflation Risk allowance and A595 Grizebeck scheme, as detailed in paragraph 56.

Provisional Revenue Budget Outturn

6. The starting point for the Revenue Budget is the Medium Term Financial Plan (MTFP) agreed by Council in February 2021.
7. The original Budget for 2021/22 was £418.171m. After taking account of adjustments to General Grants and transfers to and from reserves the revised total net expenditure budget 2021/22 for the Council is £411.109m at provisional outturn (before additional proposed contributions to reserves). Appendix 1 sets out the movement in the Council's Net Revenue Budget for 2021/22.
8. The net provisional outturn position for 2021/22 is £402.760m; resulting in an underspend of (£8.349m). This is a combination of net Directorate underspends of (£8.771m), offset by a variance of £0.422m in Other Corporate budgets. The analysis of the forecast and movement in variances by Directorate is summarised in Table 2 below.

Table 2 - Revenue Outturn position 2021/22 (at 31st March 2022)

| | A | B | C | D | E | F | G | H | I |
|---|----------------|----------------|--|---|--------------------------------|-----------------|------------------|----------------------|--------------------|
| Original Budget | Revised Budget | Actual Outturn | COVID-19 financial impact (net of grant funding) | MTFP Undelivered Savings (net of grant funding) | Non-COVID-19 related Variances | Underspends | Outturn Variance | Q3 Variance Reported | Change in Variance |
| £m Directorate | £m | £m | £m | | £m | £m | £m | £m | £m |
| 200.014 People | 212.129 | 211.196 | 1.232 | 1.331 | 8.622 | (12.118) | (0.933) | 1.238 | (2.171) |
| 129.135 Economy & Infrastructure | 128.086 | 126.200 | 0.022 | 0.000 | 4.490 | (6.398) | (1.886) | 0.077 | (1.963) |
| 20.479 Fire & Rescue Service | 20.697 | 20.693 | 0.010 | 0.000 | 0.307 | (0.321) | (0.004) | 0.074 | (0.078) |
| 9.438 Local Committees | 9.296 | 9.296 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| 36.392 Corporate, Customer & Community | 39.049 | 36.429 | 0.202 | 0.172 | 0.213 | (3.207) | (2.620) | (1.813) | (0.807) |
| 37.919 Finance | 31.855 | 28.528 | 0.000 | 0.000 | 0.030 | (3.357) | (3.327) | (1.554) | (1.773) |
| 433.377 Total Service Expenditure | 441.112 | 432.342 | 1.466 | 1.503 | 13.662 | (25.401) | (8.770) | (1.978) | (6.792) |
| (15.206) Other Corporate Items | (30.003) | (29.582) | 0.000 | 0.589 | 2.404 | (2.571) | 0.421 | 1.808 | (1.387) |
| 418.171 Total Net Expenditure | 411.109 | 402.760 | 1.466 | 2.092 | 16.066 | (27.972) | (8.349) | (0.170) | (8.179) |
| Proposed Transfers to Earmarked Reserves | (8.349) | 0.000 | | | | | 8.349 | 0.000 | 8.349 |
| Outturn after Proposed Transfers to Earmarked Reserves | 402.760 | 402.760 | | | | | (0.000) | (0.170) | 0.170 |

9. The overall variance at outturn is set out in column G, with the analysis between unfunded COVID-19 pressure, undelivered MTFP savings, non-COVID-19 related variances and underspends set out in Column C to F. Movements between Q3 and outturn are set out in paragraphs 10 – 15 with detail on the full-year pressures and underspends provided by Directorate in paragraphs 16 – 21. The detail focuses on significant variances and as such will focus on those over £0.250m.
10. Column E in Table 2 shows that within the Directorate outturn position, non-COVID-19 related variances total £16.066m, an increase of £2.042m since Q3 with the significant movements being:

- People £0.662m:
 - £0.907m – Adults debt write-offs relating to legacy arrangements. Total write offs are £1.569m, however a provision had already been made in the accounts of £0.662m, leading to an additional pressure of £0.907m.
 - (£0.593m) reduction in CLA and non-CLA placement pressures primarily as a result of increased Health contributions.
 - £0.348m other netting pressures.

- Economy and Infrastructure £0.539m:
 - £0.620m utilisation of underspend in Property services to make an additional contribution to the Corporate Maintenance Fund reserve. This is to ensure a backlog of urgent maintenance issues identified across the estate can be cleared during 2022/23. This transfer is included within the recommendations to members in paragraph 5 (f).
 - (£0.347m) reduction in forecast SEND transport costs.
 - £0.266m other smaller net variances.

- Other Corporate Items £0.814m:
 - £0.314m increase in the bad debt provision based on an update of the calculation as part of year-end processes.
 - £0.500m Cumbria County Holding Ltd Dividend income which is not expected to be received.

- Other small netting variances £0.027m.

11. Column F in Table 2 shows that within the outturn position underspends total (£27.972m), an increased underspend of (£9.758m) since Q3 with the significant movements being:

- People (£3.048m):
 - (£2.201m) relating to the Community Equipment Service as a result of capitalising eligible expenditure. This was a decision taken as part of year-end processes and is consistent with the treatment of the equipment in 2020/21 and the proposed treatment in 2022/23. A recommendation is included in this report at paragraph 5 (r) for Cabinet to recommend to Council that this capitalisation is approved.
 - (£0.320m) Personal contribution debt write offs offset by increased income over forecasts assumed at Q3 due to increased assessments.
 - (£0.527m) other smaller variances.

- Economy and Infrastructure (£2.341m)
 - (£0.551m) Renewi landfill contract fees and PFI unitary charges underspend due to reduced tonnage levels and after the net (£0.450m) reduction in the waste provision following re-assessment of the provision as part of routine year-end processes.
 - (£0.270m) Settlement and release of two provisions relating to rates on two properties. This is the release of the provision after the debt has been settled
 - (£0.329m) Fleet and Plant costs underspend due to the ending of the Fleet replacement minimum revenue provision and interest recharges.
 - (£0.261m) additional heat, light, power and water costs after taking account of full year invoicing.
 - (£0.930m) other smaller underspends.

- Corporate, Customer and Community Services (£0.694m)
 - (£0.509m) ICT operational cost underspends as a result of the reversal of prior year over accruals through the year-end process, licencing contract savings and additional staffing underspends.
 - (£0.185m) other smaller underspends.

- Finance (£1.803m):
 - (£1.732m) Treasury Management underspend as a result of the impact of slippages on the Capital Programme and other reduced external borrowing costs.
 - (£0.071m) other small underspends.

- Other Corporate Items (£1.704m)
 - (£0.850m) Stock Adjustment as a result of year-end processes identifying a number of outstanding purchase orders which could be reversed. This was a financial housekeeping piece of work which had no operational impact.
 - (£0.280m) saving on interest over the life of the fire PFI scheme from the refinancing of the scheme, taken as an upfront payment.
 - (£0.574m) other small underspends.

- Other small netting variances (£0.167m)

12. Total unfunded COVID-19 pressures of £3.558m are made up of additional expenditure and lost income as shown in Table 3 below. Table 2 above shows where these net pressures fall within the directorates as either net unfunded COVID-19 pressures of £1.466m or net undelivered savings of £2.092m. Further information on COVID-19 additional expenditure and lost income is provided in paragraph 28 to 32.

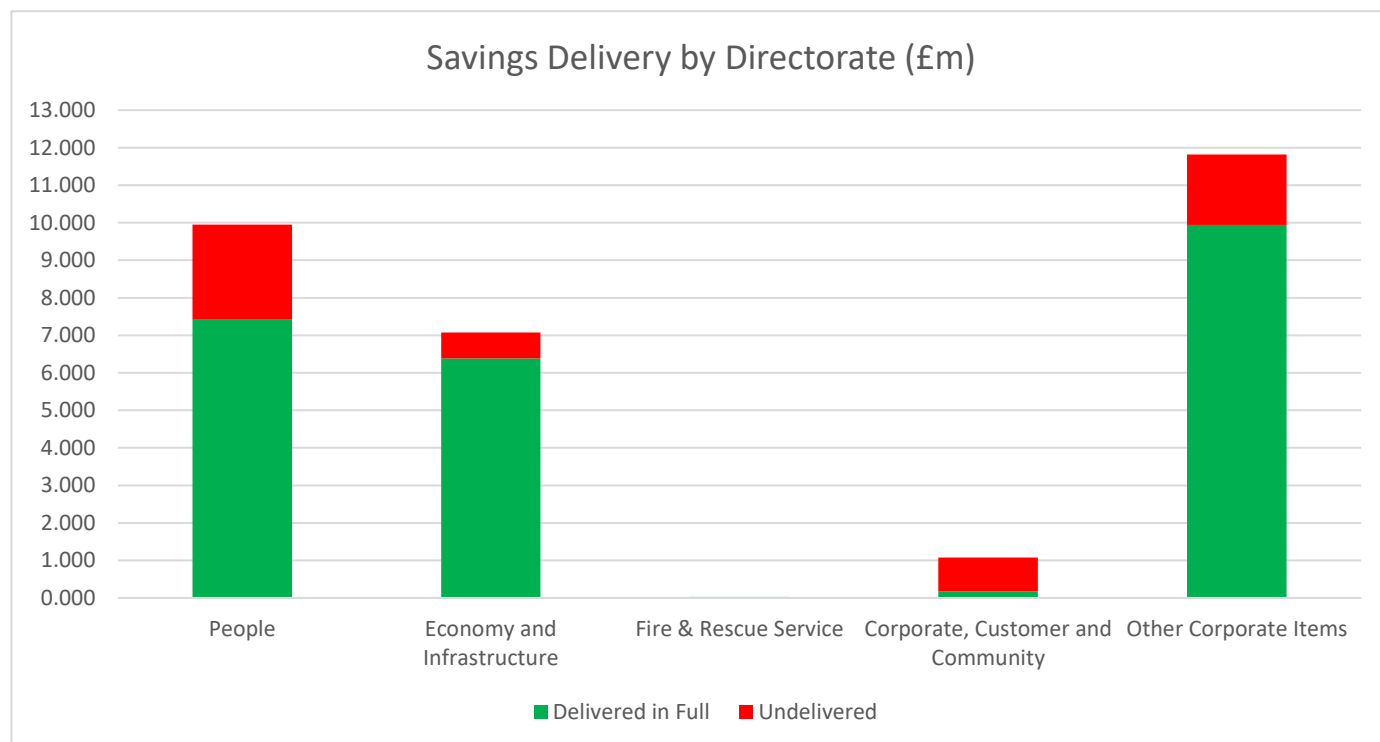
| Table 3 – COVID-19 unfunded pressures | £m |
|---|----------------|
| COVID-19 additional expenditure and lost income related pressures | 38.483 |
| Non-delivery of MTFP savings | 5.654 |
| Specific Grant Funding & CCG hospital discharge fund | (30.068) |
| General COVID-19 Emergency Grant Funding and Sales, Fees and Charges compensation | (10.511) |
| Unfunded COVID-19 pressures at Outturn | 3.558 |
| Unfunded COVID19 pressures at Q3 | 4.021 |
| Movement | (0.463) |

13. The primary cause of non-delivery of £5.654m of MTFP savings in 2021/22 is the impact of the COVID-19 pandemic. Therefore, COVID-19 Emergency funding has been used to offset the undelivered savings, where available. Of the £5.654m undelivered savings (£3.562m) has been offset by a drawdown from the COVID-19 emergency reserve, leaving the unfunded pressure of £2.092m in 2021/22, as noted in Table 2.
14. Total approved savings to deliver in 2021/22 was £30.018m, comprising £17.667m of new approved savings and £12.351m of existing savings and step ups that were approved in previous years budgets. Total savings delivered in 2021/22 totals £24.364m (81%). This is an increase in savings delivery of (£0.255m) since Q3. Within the outturn position non-delivery of savings totals £5.654m and is analysed as follows:

Table 4 – Savings Delivery by Directorate

| Directorate | Existing savings | Outturn delivery | Variance | New savings | Outturn delivery | Variance |
|-----------------------------------|------------------|------------------|--------------|---------------|------------------|--------------|
| | £m | £m | £m | £m | £m | £m |
| People | 7.947 | 5.389 | 2.558 | 2.000 | 2.000 | - |
| Economy and Infrastructure | 1.120 | 0.620 | 0.500 | 6.028 | 6.028 | - |
| Fire & Rescue Service | 0.030 | 0.030 | - | - | - | - |
| Corporate, Customer and Community | 1.073 | 0.177 | 0.896 | - | - | - |
| Other Corporate Items | 2.181 | 0.681 | 1.500 | 9.639 | 9.439 | 0.200 |
| Total (exc step-downs) | 12.351 | 6.897 | 5.454 | 17.667 | 17.467 | 0.200 |

Chart 1 – Actual Savings Delivery by Directorate (at 31st March 2022)



15. Of the £5.654m undelivered savings £3.445m was identified as a pressure in the 2022/23 Budget approved by Cabinet on 27th January 2022 and was offset by mitigations, therefore it is accepted that this saving will not be delivered in 2022/23. To ensure a balanced budget can be achieved in 2022/23 the remaining £2.209m of undelivered savings in 2021/22 need to be fully delivered by the relevant directorates in 2022/23. Appendix 2 provides a detailed breakdown of the £5.654m including the reason for non-delivery in 2021/22.

Directorate Provisional Outturn Summary

16. The **People Directorate** outturn position is an underspend of (£0.933m), as summarised below.

| | Approved Budget | Actual Outturn | Outturn Variance | Q3 Variance | Change in Variance |
|---------------------------|-----------------|----------------|------------------|--------------|--------------------|
| | £m | £m | £m | £m | £m |
| People Directorate | 212.129 | 211.196 | (0.933) | 1.238 | (2.171) |
| Director & Support | 0.200 | 0.223 | 0.024 | 0.044 | (0.020) |
| Education & Skills | 12.494 | 13.257 | 0.763 | 1.016 | (0.253) |
| Children & Young People | 61.853 | 62.362 | 0.509 | 1.232 | (0.723) |
| Adults | 60.904 | 61.750 | 0.846 | 0.010 | 0.836 |
| Integration | 4.198 | 4.315 | 0.117 | 0.187 | (0.069) |
| Commissioning | 3.324 | 3.065 | (0.259) | (0.476) | 0.217 |
| Provider Services | 54.528 | 51.594 | (2.934) | (0.774) | (2.160) |
| Public Health | 6.015 | 6.015 | - | - | - |
| Capital Charges | 8.612 | 8.612 | - | - | - |

The most significant variances are in the following areas:

Education and Skills £0.763m overspend:

Schools & Learning £0.398m:

- Inclusive Learning £0.496m:
 - £0.506m net pressure in relation to direct payments. This follows a review of 157 account balances resulting in clawback of funds of (£0.269m), and health contributions of (£0.069m).
 - (£0.010m) other smaller underspends.
- Learning Improvement (£0.302m):
 - (£0.370m) staff vacancies and other staff related savings.
 - £0.255m other smaller overspends.
- Other Schools & Learning £0.204m smaller netting overspends.

Traded Services £0.365m comprising:

- £0.482m – lost income due to COVID-19 resulting in cancellation of school trips.
- (£0.117m) - other smaller underspends.

Children & Young People £0.505m overspend

The £0.505m pressure at outturn is after (£5.543m) of funding from the Council's COVID-19 reserve.

Early Help (£0.191m) primarily relating to staffing vacancies and secondments.

Children and Families £0.540m:

- £0.684m – Children Looked After (CLA) placement cost pressure. This is made up of gross CLA placement cost pressures of £5.628m less (£4.944m) of funding from the Council's COVID-19 Reserve.

Whilst CLA numbers have decreased from 739 to 735 over the course of 2021/22, placement mix had a significant impact on the gross pressure. Decreases are concentrated in nil and low cost placement categories whilst CLAs in the highest cost placement categories, external residential and Independent Living both increased by 12.

In addition, complexity of needs and challenges around sufficiency of placements has led to some high-cost placements including one new bespoke package of care costing £0.048m per week (reduced to £0.031m per week in P11). At 31st March 2022 there are eight placements with a weekly social care cost of over £7,000 compared to just one at 31st March 2021.

- (£0.387m) - Children and Family staff underspend reflecting the challenge of recruitment to permanent posts and the recruitment and retention of EPWs to cover those posts.

- £0.243m - Other net variances.

Other directorate budgets £0.156m due to business support staffing cost pressure.

The main reasons for the improved position of (£0.726m) is:

- (£0.539m) reduction in CLA and non-CLA placement pressures primarily as a result of increased Health contributions.
- (£0.187m) other net movements

Adults £0.846m overspend:

- (£1.997m) - Older Adults. Significant pressures on care providers have been supported by circa £19m of COVID-19 funding which includes Infection Control, Testing, and Workforce measures as well as the Council's Market Sustainability fund.

The underlying underspend reported, outside of these pressures, is linked to demand. Despite increases in demand earlier in the year, this has not resulted in continued increases in financial pressures on the Council due to the capacity of the market being limited to pick up further demand leading to unmet need and therefore underspending. This has continued to the end of the financial year.

Whilst Government and NHS COVID-19 funding will not continue into 2022/23, funding options are being explored to continue to support the fragile care market. Health partners have identified temporary funding for hospital discharges, COVID funding has been carried forward where not spent and can be done so in line with grant conditions, and the Council has invested in enhanced provider uplifts for 2022/23, supporting them to pay the real living wage and aid pressures including recruitment and retention.

The budget for 2022/23 includes £0.500m of demographic pressures funding and a further £2.000m of one off in year COVID-19 linked demand funding.

- £2.712m - Younger Adults pressure due to increased demand in key placement settings linked to transitions and new admissions to service, as well as debt write offs relating to health charges which are subject to ongoing engagement with health partners.

In addition, non-delivery of MTFP savings linked to Promoting Independence make up the remaining pressure. The slippage is due to ongoing market and COVID-19 pressures and savings are expected to be delivered in 2022/23.

- £0.308m Care Management costs – overspend largely due to EPW costs net of vacancies due to delays in the ability to recruit to vacant posts.

- (£0.177m) - Personal Contributions. Bad debt write-offs of £0.900m are offset by increased income linked to assessments for placements that were previously COVID funded. Write-offs are part of an ongoing process to manage annual income of circa £35m. After further engagement with the debtor, and where all other options have been exhausted, debts that are deemed no longer recoverable are recommended for write off.

The increase in the adverse variance of £0.836m since Q3 is due mainly to:

- £0.866m Older Adults demand and continued market pressures.
- (£0.393m) Younger Adult demand assumptions.
- £0.907m Debt write-offs relating to legacy arrangements. Total write offs are £1.569m, however a provision had already been made in the accounts of £0.662m, leading to an additional pressure of £0.907m. Other net changes in demand make up the difference.
- (£0.320m) Personal contribution debt write offs offset by increased income over forecasts assumed at Q3 due to increased assessments.
- (£0.224) other smaller net underspends.

Integration & Partnerships £0.117m overspend, across a number of services, mainly SEND Improvement and Quality Assurance.

Commissioning (£0.259m) underspend, largely due to staff vacancies. This is a movement of £0.217m since Q3 spread across a number of teams and linked to increased consultancy and agency costs through Q4.

Provider Services (£2.934m) underspend

- £2.295m mainly due to additional staff costs but also additional equipment and cleaning.
- (£3.208m) mainly due to delays in recruitment as a result of COVID, and smaller underspends particularly in Older Adults due to lower than the normal occupancy. Occupancy is increasing slowly but not yet at pre-COVID levels.
- (£2.201m) relating to the Community Equipment Service (CES) as a result of capitalising expenditure. The capitalising of Community Equipment Service spend from 2022/23 onwards has been assumed in the budget and has therefore been taken as a revenue saving in 2021/22.
- £0.180m other smaller overspends.

The most significant variance since Q3 relates to the capitalisation of CES and is a movement of (£2.166m) since Q3. This proposed capitalisation was made after Q3 reporting and is in line with capitalisation of equipment made in 2020/21 and planned capitalisation in future years.

17. The **Economy and Infrastructure Directorate** outturn position is an underspend of (£1.885m) as summarised below.

| Table 6 | Approved Budget | Actual Outturn | Outturn Variance | Q3 Variance | Change in Variance |
|--|------------------------|-----------------------|-------------------------|--------------------|---------------------------|
| | £m | £m | £m | £m | £m |
| Economy & Infrastructure | 128.086 | 126.200 | (1.886) | 0.077 | (1.963) |
| Director | 0.195 | 0.192 | (0.003) | (0.002) | (0.001) |
| Directorate Support | 1.682 | 1.276 | (0.406) | (0.348) | (0.058) |
| Highways & Transportation | 48.962 | 49.179 | 0.253 | 0.994 | (0.741) |
| Economy & Environment | 40.087 | 38.707 | (1.380) | (0.126) | (1.254) |
| Capital Programme & Property | 14.007 | 13.507 | (0.500) | (0.561) | 0.061 |
| Planning Performance Agreements (PPAs) | 0.000 | 0.101 | 0.101 | 0.000 | 0.101 |
| Capital Charges and Insurance | 23.792 | 23.792 | 0.000 | 0.000 | 0.000 |
| Port of Workington | (0.103) | (0.053) | 0.050 | 0.120 | (0.070) |
| Cross cutting | (0.500) | (0.500) | 0.000 | 0.000 | 0.000 |

The most significant variances are as follows:

Directorate Support (£0.406m):

- (£0.286m) predominately as a result of vacancies during the year, which is expected to be one-off.

There are several smaller over/underspends across the service netting off to a (£0.120m) underspend.

Highways & Transportation £0.253m:

- £1.285m SEND transport overspend due to ongoing increased demand. A pressure is forecast to continue into 2022/23.
- £0.448m Home to School transport due to demand returning to pre-COVID levels and Parton school closure. There has also been an increase in exceptional transport requests (linked to hardship, sickness etc.) which should be temporary in year, but will continue to be reviewed during 2022/23.
- £0.389m Streetworks predominantly due to a £0.278m shortfall in income. This pressure is forecast to be ongoing into 2022/23.
- £0.000m England National Concessionary Travel Scheme. There is an underlying overspend of £0.288m against the ENCTS budget due to increased passenger numbers following the ending of COVID regulations. This has been met by a drawdown from the Financial Volatility reserve.
- £0.004m other smaller pressures.

Offset by underspends as follows:

- (£0.378m) Road lighting energy due to the acceleration of the replacement scheme, improved technology and efficiency of LED.
- (£0.283m) reimbursement relating to Carlisle Northern Development Route (CNDR) and compensation.
- (£0.883m) additional Road closures income.
- (£0.329m) Fleet and Plant costs underspend due to the ending of the Fleet replacement MRP and interest recharges.

The main reasons for the improved position of (£0.741m) is:

- (£0.347m) net reduction to forecast SEND costs after cost reduction of £0.124m vired to part meet the Transport Integration saving.
- £0.267m reduced Streetworks income as a result of overstating the forecast at Q3. A change in forecasting methodology was made at P10 which reduced the forecast.
- (£0.329m) Fleet and Plant costs underspend due to the ending of the Fleet replacement MRP and interest recharges.
- (£0.332m) other smaller movements.

Economy & Environment (£1.380m):

- (£1.254m) Renewi landfill contract fees and PFI unitary charges underspend due to reduced tonnage levels and after the net (£0.450m) reduction in the waste provision as part of routine year-end procedures.
- (£0.896m) other smaller net underspends.

Set against overspends

- £0.770m Renewi gainshare charges overspend largely due to the current higher than modelled gate fee on Solid Recoverable Fuel (SRF). but in part due to an under accrual of £0.265m of the 2020/21 charge.

The main reasons for the improved position of (£1.254m) is:

- (£0.450m) net reduction to the Waste provision following an outturn review of the current First Annual Reconciliation and Dispute Resolution position.
- (£0.185m) Closed landfill works originally planned in Q3 but not undertaken due to lack of contractor availability.
- (£0.147m) Funding of West Cumbria Mining Costs from Contingency previously assumed to be met by the service budget. A total of £0.197m have been incurred £0.050m of these previously assumed at Q3 to be met from legal underspend within Regulatory Services.
- (£0.472m) other smaller variances.

Capital Programme & Property (£0.500m):

- (£0.364m) Staffing due to vacancies and new establishment posts not recruited into during the year.
- (£0.233m) revised timing of Capital Development works.

- (£0.246m) Heat, Light and Power additional underspend after the (£0.100m) 2021/22 MTFP saving has been met in full and after (£0.130m) was transferred to support delivery of the Decarbonisation Strategy.
- (£0.270m) Settlement and release of two provisions as part of year-end processes.
- (£0.007m) other small net underspends.

Set against the following proposed transfer of underspend to reserves:

- £0.620m utilisation of underspend in Property services to make an additional contribution to the Corporate Maintenance Fund reserve. This is to ensure a backlog of urgent maintenance issues identified across the estate can be cleared during 2022/23.

The unfavourable movement in the forecast from Q3 of £0.061m is mainly due to:

- £0.620m EMR request relating to Asset Maintenance.
- (£0.270m) Settlement and release of two provisions.
- (£0.289m) Other small net underspends.

Port of Workington £0.050m - The position includes £0.098m lost income which is partly offset by lower cargo handling costs. The net income shortfall, has been funded by the COVID-19 Emergency Funding Earmarked Reserve.

18. The **Cumbria Fire & Rescue Service** is outturn position is an underspend of (£0.004m) as summarised below. There are no material movements from Q3.

| Table 7 | Approved Budget | Actual Outturn | Actual Variance | Q3 Variance | Change in Variance |
|----------------------------------|-----------------|----------------|-----------------|--------------|--------------------|
| | £m | £m | £m | £m | £m |
| Cumbria Fire & Rescue | 20.697 | 20.693 | (0.004) | 0.074 | (0.078) |
| Fire & Rescue Service | 19.098 | 19.094 | (0.004) | 0.074 | (0.078) |
| Capital Charges & Insurance | 1.599 | 1.599 | - | - | - |

The (£0.004m) underspend is mainly due to:

- (£0.194m) - Supplies and Services underspend due to an underspend on PPE Clothing and computer supplies.
- (£0.127m) - Employee costs outturn underspend at Q4 due to underspends for People and Organisational Development, Fire Service Support, Prevention and Protection and Strategic Management offset by Service Delivery overspend predominantly turnout payments for on call staff and retained fire-fighters other duty payments.

Offset by

- £0.184m - Transport overspend consisting of higher than anticipated costs for vehicle maintenance and repairs and fuel costs.
- £0.137m - Other smaller overspends.

19. **The Corporate, Customer and Community Services Directorate** outturn position is an underspend of (£2.778m) as summarised below:

| Table 8 | Approved Budget | Actual Outturn | Outturn Variance | Q3 Variance | Change in Variance |
|--|------------------------|-----------------------|-------------------------|--------------------|---------------------------|
| | £m | £m | £m | £m | £m |
| Corporate, Customer & Community | 39.049 | 36.429 | (2.620) | (1.814) | (0.806) |
| Director and Chief Executive | 1.038 | 1.046 | 0.009 | (0.049) | 0.058 |
| Chief Legal Officer | 8.284 | 8.357 | 0.073 | 0.080 | (0.007) |
| Organisational Change | 20.240 | 18.867 | (1.374) | (0.639) | (0.735) |
| Customer and Community Services | 9.591 | 8.091 | (1.500) | (1.378) | (0.122) |
| Capital (Depreciation) | 0.794 | 0.794 | - | - | - |
| Cross Cutting Savings | (0.896) | (0.724) | 0.172 | 0.172 | - |

The most significant variances are due to:

Chief Legal Officer overspend £0.073m

- £0.153m due to additional staffing costs in the legal practice including £0.091m of due to net COVID-19 pressures due to additional EPW capacity to deal with additional backlog of casework. This pressure is expected to be one-off in 21/22.

Offset by:

- (£0.080m) smaller netting variances.

Organisational Change underspend (£1.374m)

- (£0.817m) due to staffing underspends across Transformation, People Management, Records Management and ICT. This is due to a combination of vacancies in services and the receipt of grant income offsetting budgeted staffing costs. This underspend is expected to be one-off.
- (£0.406m) ICT operational costs underspend as a result of: (£0.100m) licenses contract savings, (£0.191m) reduction in estimated costs accrued in 2020/21, (£0.070m) reduction in line charges and (£0.075m) other smaller underspends. Work is ongoing to understand whether any of these underspends are ongoing.
- (£0.151m) other smaller net underspends.

The favourable movement in the forecast from Q3 of (£0.735m) is mainly due to:

- (£0.406m) ICT operational costs, explained above.
- (£0.113m) increased staffing underspend as a result of additional grant income offsetting core staffing costs and vacancies not being filled as forecast.
- (£0.216m) smaller net movements across the service.

Customer and Community Services underspend (£1.500m)

- (£0.436m) Library Services staff underspends due to vacancies taking time to be filled following a restructure. Significant progress has now been made in recruitment so this underspend will not continue into 2022/23.
- (£0.393m) Communities teams staffing underspends due to grant income offsetting for budgeted costs. This underspend will not continue into 2022/23.
- (£0.200m) Area Management Team due to staff costs offset by grant income. This underspend will not continue into 2022/23.
- (£0.188m) Community Services Staffing underspend from unfilled vacancies. This underspend will not continue into 2022/23.
- (£0.116m) Libraries Countywide vacancies due to be filled within the Libraries Service delivery team, which have been filled part way through the year, so this underspend will not be recurring.
- (£0.112m) SPA Staff Service Centre due to vacant post filled later than expected.
- (£0.132m) other smaller net variances.

Offset by:

- £0.077m DBS checks overspend due to the triennial review of required DBS checks.

The favourable movement in the forecast from Q3 of (£0.122m) is primarily due to increased staffing underspends within Libraries due to slippage in appointing to posts and Community Teams as a result of additional grant income offsetting core staffing costs.

Cross-Cutting Savings non-delivery £0.172m

- £0.172m Digital automation saving. Of the £0.800m to be delivered £0.178m has been delivered permanently in 21/22. Of the remaining £0.622m, £0.450m has been removed for the 22/23 Budget due to LGR implications on delivery. The remaining £0.172m will be delivered on a permanent basis in 2022/23.

20. The **Finance Directorate** outturn is an underspend of (£3.327m) as summarised below.

| Table 9 | Approved Budget | Actual Outturn | Outturn Variance | Q3 Variance | Change in Variance |
|----------------------|------------------------|-----------------------|-------------------------|--------------------|---------------------------|
| | £m | £m | £m | £m | £m |
| Finance | 31.855 | 28.528 | (3.327) | (1.554) | (1.773) |
| Director of Finance | 0.150 | 0.145 | (0.005) | - | (0.005) |
| Finance | 4.050 | 3.551 | (0.499) | (0.509) | 0.010 |
| Performance and Risk | 0.819 | 0.701 | (0.118) | (0.042) | (0.076) |
| Treasury Management | 28.914 | 26.179 | (2.735) | (1.003) | (1.732) |
| Insurance | (2.078) | (2.048) | 0.030 | 0.000 | 0.030 |

The main variances are due to:

Treasury Management underspend (£2.735m)

- (£1.309m) due to the Council's approved strategy to continue to utilise internal cash balances whilst available rather than externalise borrowing to finance the Capital Programme.
- (£0.868m) MRP underspend due to slippage in the 2020/21 Capital Programme reducing the impact of borrowing costs on the revenue account. MRP is charged in the year after the expenditure financed by borrowing is incurred.
- £0.387m less interest income receivable than budgeted due to low interest rates.
- (£0.945m) across other Treasury Management budgets including £0.569m which has now been included as an ongoing saving as part of the 2022/23 Medium Term Financial Plan.

It should be noted that £2.166m of the net £2.735m underspends was a one-off saving relating to 2021/22 only. As noted above, an ongoing saving of £0.569m from the Treasury Management budget has been included as an efficiency in the 2022/23 Medium Term Financial Plan.

The main reasons for the increased underspend of (£1.732m) since Q3 are:

- (£0.787m) increased saving from using available internal cash balances rather than externalising borrowing in accordance with the Treasury Management Strategy.
- (£0.945m) other Treasury Management underspends as noted above.

Finance underspend (£0.509m)

- (£0.499m) underspend is mainly due a reduction in travel related expenditure and income received in excess of that originally budgeted. In addition, there is the underspend arising from the part year effect of staff vacancies. The vacancies have all been filled but were vacant for the early part of the year.

Insurance Balanced Budget £0.030m

The outturn position for the Insurance budget is an overspend of £0.030m. This position is influenced throughout the year by the number of claims received and the potential cost of these claims.

The Council holds an earmarked reserve for insurance to account for potential claims against the risks that are self-insured or would be subject to a material excess. The value of this reserve is advised to the Council in a report from its insurance actuary. The Council has engaged a new Actuary in 2021/22 and in their professional opinion the Council's insurance provision should be reduced by £3.762m as there isn't sufficient certainty regarding potential claims to meet the accounting standard for provisions, and consequently the insurance reserve has been increased by £5.034m

at year-end to reflect the potential claims. This transfer to reserves was approved by Cabinet at Q3.

21. **Other Corporate Items** is forecast to overspend by £1.808m as summarised below.

| Table 10 | Approved Budget | Actual Outturn | Outturn Variance | Q3 Variance | Change in Variance |
|---|-----------------|-----------------|------------------|--------------|--------------------|
| | £m | £m | £m | £m | £m |
| Other Corporate Items (before year-end contribution to reserves) | (30.003) | (29.581) | 0.422 | 1.808 | (1.386) |
| Depreciation charge-services | (34.868) | (34.868) | - | - | - |
| Precepts paid | 0.892 | 0.892 | - | - | - |
| Inflation & Contingency | 0.000 | 0.000 | - | - | - |
| Residual Pension Costs | 4.738 | 4.423 | (0.315) | (0.200) | (0.115) |
| Past Service Contributions | 1.328 | 1.161 | (0.167) | (0.100) | (0.067) |
| Cross Cutting Savings | (1.570) | (0.981) | 0.589 | 1.086 | (0.497) |
| Cumbria Holdings dividend | (0.500) | 0.000 | 0.500 | 0.000 | 0.500 |
| Local Government Reorganisation Reserve | (8.076) | (8.076) | 0.000 | 0.000 | 0.000 |
| Other | 8.053 | 7.868 | (0.185) | 1.022 | (1.207) |
| Year-end contribution to Reserves | (8.349) | 0.000 | 8.349 | 0.000 | 8.349 |
| Other Corporate Items (after year-end contribution to reserves) | (38.352) | (29.581) | 8.771 | 1.808 | (6.963) |

The main variances are due to:

Residual Pension costs underspend (£0.315m) - Residual pension payments are a legacy of decisions made prior to 2008 to pay enhanced pensions, although the payments increase each year this is offset by ceasing to make payments to pensioners and their spouses when numbers reduce.

Past Service Pension costs underspend (£0.167m) - due to paying the full year costs in advance and receiving a reduction in the payment for doing so.

Cross cutting saving – non delivery £0.589m – total non-delivery of cross-cutting savings is £1.700m which has been offset by a drawdown of (£1.111m) from the COVID-19 Emergency Funding reserve, this pressure is only partly funded due to the COVID-19 funding being utilised in full. This is in relation to £0.200m Intelligent Automation saving, £1.500m Enabling Services. Further information is provided in Appendix 2.

Movement from Q3 is due to additional COVID-19 funding availability as a result of an underspend on committed funding in other Directorates.

Cumbria Holdings Dividend £0.500m – budgeted dividend has not been received.

Other items (£0.176m) - due to additional Business Rates income and related S31 grants of (£0.568m), (£1.022m) of other underspends offset by £0.710m transfer to

the LGR Implementation Reserve and £0.880m to the Financial Volatility Reserve, approved by Cabinet at Q2.

Local Government Reorganisation Reserve £0.000m – the total balance on the LGR reserve at 31st March 2022 is £17.536m. The (£8.076m) included in Other Corporate Items is the net contribution to the reserve from District Councils of £8.834m less spend through the County Council of £0.758m. As the County Council contribution is made through a contribution from existing reserves, this has no impact on the revenue account.

Inflation and Contingency £0.000m – The inflation and contingency budget has been spent in full within 2021/22 in relation to:

- **Inflation:** After the settlement of the 2021/22 pay award there was a balance of £1.054m inflation budget remaining. A recommendation is included in this report as part of Appendix 5 for this to be transferred to the newly created Inflation Risk Reserve.
- **Contingency:** The contingency budget of £1.500m was underspent by (£0.580m) and this underspend has been transferred to the strengthening short-term capacity reserve as approved by Cabinet at Q3. Table 11 below details actual spend of the contingency budget during 2021/22.

| Table 11 - Commitments against contingency budget | Actual Outturn (£m) | Q3 Forecast (£m) |
|--|------------------------------------|---------------------------------|
| Funding to offset demand related pressures within the children and families legal fees budget. | 0.000 | 0.205 |
| Costs relating to the Judicial Review | 0.087 | 0.120 |
| Costs relating to the development of the PCC business case for the Fire and Rescue Service | 0.059 | 0.082 |
| Parton Survey work | 0.216 | 0.175 |
| Legal costs associated with West Cumbria Mining | 0.146 | 0.180 |
| Lone worker app 2021/22 costs | 0.013 | 0.013 |
| Assistant Director – Children’s Services Backfill for present AD acting up as DCS. | 0.028 | 0.030 |
| Costs relating to Storm Barra and Arwen in Local Committees and Fire Service | 0.309 | 0.387 |
| North West Fire Control Contribution to Manchester Arena Inquiry | 0.062 | 0.000 |
| Total | 0.920 | 1.192 |
| Contingency budget | (1.500) | (1.500) |
| Budget underspend transferred to strengthening short-term capacity reserve | (0.580) | (0.308) |

Local Committees – a balanced position (no change from Q3)

22. The net outturn is a balanced position. The key elements of the balanced position at outturn are set out below:

- a) Highways (£0.093m): the Highways outturn over and underspends are summarised below by key area of spend and local committee. The (£0.093m) underspend at Q4 includes £0.293m of costs relating to Storm Arwen and Barra which have been funded from contingency, as approved by Cabinet at Q3. The (£0.093m) underspend has been carried forward into 2022/23.

Table 12 – Local Committee’s Highways outturn

| Local Committee | Annual Budget £m | Actual Outturn £m | Outturn Variance £m |
|-----------------|---------------------|----------------------|------------------------|
| Allerdale | 1.219 | 1.190 | (0.029) |
| Barrow | 0.883 | 0.873 | (0.010) |
| Carlisle | 1.405 | 1.261 | (0.144) |
| Copeland | 0.756 | 0.781 | 0.025 |
| Eden | 0.748 | 0.839 | 0.092 |
| South Lakeland | 1.402 | 1.376 | (0.026) |
| Total | 6.412 | 6.320 | (0.093) |

- b) Non- Highways (£1.255m): the outturn non-highways underspends are summarised below by key area of spend and local committee. The (£1.255m) of underspends have been carried forward to 2022/23 as approved by Cabinet at Q3.

Table 13 – Local Committee’s Non-Highways outturn

| Local Committee | Annual Budget £m | Actual Outturn £m | Outturn Variance £m |
|-----------------|---------------------|----------------------|------------------------|
| Allerdale | 0.801 | 0.603 | (0.198) |
| Barrow | 0.570 | 0.520 | (0.050) |
| Carlisle | 0.796 | 0.545 | (0.251) |
| Copeland | 0.655 | 0.557 | (0.098) |
| Eden | 0.534 | 0.345 | (0.190) |
| South Lakeland | 0.875 | 0.407 | (0.468) |
| Total | 4.232 | 2.977 | (1.255) |

Schools and DSG balances

Maintained Schools Balances

23. Individual maintained schools can carry forward their balance, either surplus or deficit, each financial year. As at 31st March 2021 the net surplus balances on maintained schools was (£8.476m).
24. As at 31st March 2022 the net surplus balance on maintained schools is (£12.028m) excluding other school-related balances, an increase in net balances of (£3.552m) since 2020/21 and an increase of (£6.148m) compared to schools' own forecasts as at their October budget submissions of (£5.880m).
25. The table below shows a breakdown of these figures separately by surpluses and deficits:

Table 14 – Maintained School Balances

| | 2020/21 Actual | | 2021/22 Actual | | Change in value (£m) |
|------------------------|-------------------|------------------|-------------------|------------------|----------------------|
| | Number of Schools | Total Value (£m) | Number of Schools | Total Value (£m) | |
| Schools with Surpluses | 214 | (14.808) | 209 | (16.555) | (1.747) |
| Schools with Deficits | 42 | 6.33 | 38 | 4.527 | (1.805) |
| Total | 256 | (8.476) | 247 | (12.028) | (3.552) |

Dedicated Schools Grant (DSG) Balance

26. At 31st March 2021 had an accumulated net deficit of £9.641m excluding balances held in schools. At outturn the deficit at 31st March 2022 is £14.692m, an increase of £5.051m since the start of the year and a decrease of (£0.524m) since Q3. CMT supported the establishment of a High Needs Board to monitor the High Needs budget and review actions to address the deficit which cannot be met from General Fund resources.

Table 15 – DSG Forecast Deficit

| | Central DSG (£m) | High Needs Block (£m) | Total (£m) |
|--|-------------------------|------------------------------|-------------------|
| DSG Balance at 31st March 2021 | (4.909) | 14.550 | 9.641 |
| Forecast over/ (under) spends on DSG in 2021/22 | (0.841) | 5.892 | 5.051 |
| DSG Balance at 31st March 2022 | (5.750) | 20.442 | 14.692 |
| Q3 Forecast DSG Balance at 31 st March 2022 | 5.853 | 21.069 | 15.215 |
| Movement | 0.104 | (0.628) | (0.524) |

27. The key elements of the forecast are:

Central DSG – an in year surplus of (£0.841m) relating to:

- (£1.348m) Nursery grants – the underspend reflects actual take up of provision during the Autumn term 2021 whereas funding is based on previous year's take-up, the budget will be adjusted retrospectively by the Department for Education.
- £0.413m School Maternity Absence Cover – an increase in the number of claims.
- £0.359m School contingency – an overspend as a result of temporary site costs of £0.482m for St Bridget's Primary School Parton.
- (£0.265m) Other non-material variances across Central DSG.

High Needs – an in year deficit of £5.892m relating to:

- £3.312m EHCP top ups. This represents a decrease of (£0.084m) compared to the Q3 forecast. Since the start of the year there have been new and amendments to existing EHCPs totalling £2.661m.
- £1.314m Independent day placements. This represents a decrease of (£0.349m) compared to the Q3 forecast. The overall number of children and young people in day placements has increased from 112 at Q3 to 117. The number of Extra District placements has reduced by 5 to 24 and Education Otherwise numbers have reduced by 16 to 70 compared to the Q3 forecast.
- £1.043m Special schools. The pressure reflects 16 additional funded places with effect from September 2021 totalling £0.093m, and an uplift to the lowest level of top up banding for 2021/22 only costing £0.901m. New bandings will be in place for 2022/23.
- £0.223m Other individually non-material variances

COVID-19 Financial Impact including emergency funding

28. As previously reported to Cabinet the Council has received one tranche of COVID-19 general grant funding in relation to 2021/22 pressures of £10.381m. It is expected that this will be the last tranche of general COVID-19 grant funding to be received by Local Authorities.
29. Specific compensatory funding for lost income from sales, fees and charges (SFC) continued for Quarter 1 of 2021/22. The compensation funding of £0.130m has been received during Q4 and is included in the outturn position reported in table 2.

Table 16 – Reconciliation of COVID-19 Earmarked Reserve Balance

| | |
|--|-----------------|
| <u>General grant funding</u> | £m |
| 2021/22 COVID-19 general grant funding | (10.381) |
| 2021/22 Sales, fees and charges funding | (0.130) |
| Total general grant funding received | (10.511) |
| <u>Transfers from the Earmarked Reserve</u> | |
| 2021/22 Q1 Transfer from reserves | 4.227 |
| 2021/22 Q2 Transfer from reserves | 6.154 |
| 2021/22 Q4 Transfer from reserves | 0.130 |
| Total transfers from the COVID-19 EMR | 10.511 |
| Current COVID-19 EMR Balance | 0.000 |

30. As shown in Table 2 there remains a significant COVID-19 unfunded pressure in the outturn position of £3.658m. The significant elements of this unfunded pressure are in People £2.563m and Other Corporate Items £0.589m. The pressure in Other Corporate Items relates to the non-delivery of MTFP savings which was explained in more detail in the savings section of the report above.
31. The unfunded COVID-19 Pressure in People is comprised of:
- £0.503m lost income which is not reclaimable under the Sales, Fees and Charges reimbursement scheme mainly relating to Cumbria Outdoors £0.335m and Day Care and Transport £0.150m.
 - £1.331m undelivered MTFP savings, with more detail provided in the savings section above.
 - £0.729m other smaller unfunded pressures.
32. Table 16 shows that there is no COVID-19 general grant funding being carried-forward into 2022/23; it has been fully utilised in 2021/22. The COVID-19 related specific funding being carried forwards are:

- £4.123m Contain Outbreak Management Funding (further details in Appendix 6).
- £1.294m shielding clinically extremely vulnerable grant.
- £3.455m COVID-19 Lost Council Tax Income grant.
- £0.013m COVID-19 tranche 2 of the Active Travel grant.
- £4.783m COVID-19 Business Rates S31 Relief grant.

Earmarked Reserve Balances

33. The earmarked reserve balance (excluding DSG funded reserves) at the 31st March 2022 is £138.244m. Movements on Earmarked Reserves throughout the year are summarised in Table 17. Full details on movements to and from reserves during the year are provided in Appendix 1.

Table 17 – Movements in Earmarked Reserves (excluding DSG funded reserves)

| | 2021/22 |
|--|------------------|
| | £m |
| Balance at 1 st April 2021 | (107.951) |
| Budgeted appropriations to reserves | (17.681) |
| Budgeted appropriations from reserves | 0.000 |
| Subtotal | (125.632) |
| Transfers to Reserves | (50.503) |
| Transfers from Reserves | 37.891 |
| Balance at 31st March 2022 | (138.244) |

Note: The significant Earmarked Reserves relate to the LGR Implementation Reserve (£17.536m), Modernisation Reserve (£3.797m), Volatility Reserve (£3.797m), Financial Volatility Reserve (£19.179m), Insurance Reserve (£14.885m), Business Rates S31 COVID Relief grant Reserve (£4.783m) and PFI schemes Reserve (£24.043m), Directorate Revenue Grants in advance (£18.837m), Inflation Risk Reserve (£5.554m).

34. The year-end outturn position includes proposed transfers to reserves. The Directorate outturn position at year-end was a (£8.349m) underspend due to the reasons explained throughout the report. Recommendations included in paragraph 5 are to transfer this underspend to reserves. The recommended transfers to reserves at year-end are:

- £4.500m Inflation Risk Reserve – The Office for Budget Responsibility are forecasting that inflation will peak in 2022/23 at 10% before falling to 4% in 2023/24 and back to the target 2% by 2024/25. This high level of inflation, which is subject to considerable uncertainty given the ongoing impact of COVID-19, Brexit and the situation in Ukraine, means there is likely to be further pressure on capital and revenue budgets in 2022/23. Therefore, it is prudent to put additional funding into reserves to mitigate the risk of these inflationary pressures.

- £2.000m Cost of Living Reserve – The UK is experiencing a significant cost of living crisis in which households are facing the simultaneous impact of tax increases, rising interest rates and inflation outpacing rises in income (including wages, pensions, working age benefits). The increase in food prices and utilities bills will disproportionately impact on lower income households as food and energy makes up a higher proportion of their total household expenditure. Likewise lower income households in rural areas will be particularly hit by the cost of petrol and oil for home heating fuel. The Cost of Living crisis has been highlighted as a risk in the Q4 risk register.
- £1.849m Financial Volatility Reserve – As approved by Cabinet at Q3, any underspend at year-end was to be transferred to the financial volatility reserve to provide additional robustness to Council finances.

35. In 2022/23 the following draw downs from the reserve are requested:

- 0.500m to support the Ways to Welfare budget. Ways to Welfare is a Cumbria County Council fund administered by the Service Centre through pre-paid cards. The fund supports individuals and families who are experiencing financial difficulty. Grants are issued subject to assessment by the team of up to £100 at a time. The money can be used in a number of ways including for white goods, food, bills and unexpected expenses. Over the past 2 and a half years we have seen unprecedented demand from a total expenditure of £0.059m in 2018/19 to £0.660m in 2021/22 which has been exacerbated by COVID and more recently the cost of living crisis. We have been able to supplement the fund during that time via a range of government grants and other funding. However much of that funding is now coming to an end This £0.500m would be on a one off basis and administered via the Ways to Welfare fund to further support people during this difficult period.
- £0.500m to support School clothing grant budget on a one off basis for 2022/23 only. The School clothing grant is a Cumbria County Council fund administered by the service centre. It is a payment made to parents of children in receipt of free school meals as a contribution to the cost of school uniforms. The contribution has stood at £25 for primary children and £35 for secondary children for 10+ years. Given the effects of inflation and the cost of living crisis it is proposed that the contribution is increased to £50 for primary and £100 for secondary. There has also been an increase in the number of children registered for free school meals resulting in further demand. This additional £0.500m will support the uplift in the grants and additional registrations.

Fees and charges 2022/23

36. Following approval by Council in February 2022 of the Fees and Charges Schedule 2022/23 there have been some minor changes. These are:

- **Highways - Processing of Public Path orders.**
Public Path orders - The inclusion of a £2,000 charge for the processing of an order. This is an existing charge that has not previously been included on the schedule agreed by Council.
- **Highways - Passenger Transport**
DBS checks - previously a single charge, the service requests that this is split into 2 elements DBS Admin Fee £25.40 and DBS Enhanced Check £40.00.
- **Highways – Development Management**
S38 and S278 legal costs – the service have requested that ‘S38’ and ‘S278’ are included in the description of the new charges for 2022/23 already agreed.
- **Parking**
Car parks – the service have requested the inclusion of £350 for annual parking permits for the County Council office car park CA1 1SL and the County Hall car park, Kendal LA8 4RQ.

The updated schedule of Fees and Charges for 2022/23 is set out in Appendix 7.

Capital Programme

Revisions to the Programme

37. The Capital Programme sets out the Council's investment plans over the current and following five years to achieve the Council's priorities and vision. The Council approves a Capital Programme in February each year and subsequent changes are then made throughout the year. The revised approved Capital Programme for 2021-2027, including changes approved by Cabinet as part of the Q3 report presented on 17th March 2022 is £559.830m (excluding Accountable Bodies).
38. This outturn position shows a net increase of £18.480m in the County Council Capital Budget for the period 2021/22 to 2026/27 giving a revised total of £578.310m over the life of the approved capital programme, and a revised budget of £122.153m for 2021/22. This reflects a number of changes to the capital programme as outlined in the Table below, further detail is provided at paragraph 50.

Table 18 - Summary of Proposed Capital Budget Changes

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|--|----------------|----------------|----------------|---------------|---------------|---------------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| Approved Capital Programme at 17th March 2022 (Q3) | 119.529 | 193.788 | 113.254 | 60.608 | 39.350 | 33.301 | 559.830 |
| Proposed Budget Changes: | | | | | | | |
| Grant / Contribution Changes for existing schemes – external (Para 51) | 0.119 | 7.350 | 3.563 | 0.000 | 0.000 | 0.000 | 11.032 |
| Grant / Contribution Changes for new schemes – external (Para 52) | 0.000 | 4.092 | 0.784 | 0.000 | 0.000 | 0.000 | 4.876 |
| Unallocated Prudential Borrowing for new schemes (Para 53) | 2.201 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.201 |
| Internal Contribution Changes for existing schemes (Para 54) | 0.304 | 0.067 | 0.000 | 0.000 | 0.000 | 0.000 | 0.371 |
| Total Proposed Changes | 2.624 | 11.509 | 4.347 | 0.000 | 0.000 | 0.000 | 18.480 |
| Total Proposed Revised Capital Programme | 122.153 | 205.297 | 117.601 | 60.608 | 39.350 | 33.301 | 578.310 |

Outturn Position

39. Appendix 4 details each capital scheme showing expenditure (outturn) and variances from the revised budget. This is summarised by the main elements of the Capital Programme in the Table below

Table 19 - Capital Programme Provisional Outturn 2021/22

| Totals for Services | Revised 2021/22 Budget | 2021/22 Outturn | Variance (Outturn to Budget) | Over / (Under) Spends | Slippage | Accelerated Expenditure | Q3 Variance | Change in Variance |
|-----------------------------------|------------------------|-----------------|------------------------------|-----------------------|-----------------|-------------------------|-----------------|--------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m |
| People Children | 17.389 | 11.636 | (5.753) | (0.002) | (5.980) | 0.229 | (2.936) | (2.817) |
| People - Adults | 4.529 | 3.330 | (1.199) | (0.495) | (0.974) | 0.270 | (1.098) | (0.101) |
| Economy and Infrastructure | 94.637 | 71.976 | (22.661) | (0.003) | (23.332) | 0.674 | (13.647) | (9.014) |
| Corporate, Customer and Community | 1.743 | 0.102 | (1.641) | 0.000 | (1.641) | 0.000 | (1.228) | (0.413) |
| Fire and Rescue Services | 3.855 | 1.601 | (2.254) | 0.000 | (2.254) | 0.000 | (0.365) | (1.889) |
| Council TOTAL | 122.153 | 88.645 | (33.508) | (0.500) | (34.181) | 1.173 | (19.274) | (14.234) |
| Accountable Bodies | 0.904 | 0.050 | (0.854) | 0.000 | (0.854) | 0.000 | (0.496) | (0.358) |
| Grand Total | 123.057 | 88.695 | (34.362) | (0.500) | (35.035) | 1.173 | (19.770) | (14.592) |

Note: Accountable body expenditure is separate from the Council's own capital programme

40. The variance of £33.508m (27% of the revised budget) comprises:
- (£0.500m) underspend at outturn
 - (£34.181m) slippage at outturn
 - £1.173m Accelerated Expenditure at outturn

Whilst the outturn expenditure is lower than budget, an outturn of £88.645m is in-line with the outturn delivered in 2020/21 (£90.728m) and 2019/20 (£90.891m). This represents delivery of an extensive capital programme during a period of intense pressure on Council resources, unprecedented economic challenges, market supply and labour shortages and cost inflation seen across the construction sector.

41. Some examples of recent schemes include:
- **Local Lead Flood Authority (LLFA) Programme:** flood alleviation projects completed at Fairways, Seascale and Carus Green, Kendal which help to reduce future flood risk for these communities.
 - **Infrastructure Recovery Programme (IRP) Programme:** 8 projects completed during 2021/22 enhancing bridge assets damaged by Storm Desmond.
 - **Prioritised Capital Maintenance Projects/Schools Maintenance:** Despite the impact of COVID and market forces more than 50 planned maintenance projects were delivered in schools. This programme is always a major undertaking considering the small window of opportunity for working in schools that exists in which most of the works must be executed.

Change since Q3

42. The variance of £33.508m represents a change of £14.234m since Q3. The majority of this this change has resulted in additional slippage being reported when compared to the Q3 forecast position. This is explained in more detailed at paragraph 44.

Outturn underspend

43. The underspend for the year is (£0.500m). The majority of which (£0.495m) relates to the scheme 'Reprovision of Cavendish House'. This scheme will not be delivered in 2021/22 and will not be reprofiled into 2022/23. This represents a minor change of £0.005m from the position reported at Q3.

Outturn slippage

44. The slippage for the year is (£34.181m). Council agreed that slippage in the 2021/22 Capital Programme can be carried forward to 2022/23, in the Budget Report approved by Council on 10th February 2022, so this report does not provide full detail of this slippage.

45. The slippage reported at Q3 was of (£18.926m). A change of (£15.255m) when compared to the outturn position of (£34.181m). The majority of this movements (£12.157m or 80% of the movement) is explained below:

- **Inclusion Strategy:** a movement of £2.855m since Q3. The planning process for a Carlisle based scheme experienced delays that were not anticipated at Q3, combined with contractors experiencing supply chain issues that had not factored into their initial programme of work. This has resulted in the scheme being 3 months behind schedule but will be delivered - and the outputs realised - during 2022/23.
- **Carlisle Southern Link Road (CSLR):** a movement of £1.542m since Q3. This is the result of statutory diversion works being re-scheduled to 2022/23, to allow additional time to undertake the required processes with landowners which has taken longer than originally anticipated at Q3.
- **DfT funded Flood Recovery Scheme** a movement of £1.035m since Q3. As reported at Q3 slippage was anticipated at Gooseholme footbridge in Kendal, as a result of a statutory utility provider encountering supply issues delaying ability to progress on site. This situation has continued to delay the scheme through Q4 and has resulted in an increase to the slippage previous reported.
- **Lead Local Flood Authority** a movement of £1.918m since Q3. As reported at Q3, there was potential for delay on some large schemes. This is due to the complex nature of the permissions needed from landowners impacted by the improvement works. This process can take a significant amount of time and it is difficult to predict exactly how long it will take, there is therefore always an element of uncertainty regarding the profile of spend. Although this process has taken longer than anticipated at Q3, resulting in additional slippage, a conclusion is being reached and work is expected to progress in Q1/Q2 2022/23.
- **Fire Vehicle replacement:** a movement of £1.889m since Q3. As reported at Q3 there has been a delay in obtaining some of these vehicles due to a global supply chain delay for vehicle parts. Although some vehicles have now been received, others have been delayed for longer than anticipated at Q3 but are expected during 2022/23. This further delay will have no impact on the current service being delivered and has had no adverse revenue impact.

- **Principle roads, Non-principle roads and Bridges & Structures:** a movement of £1.883m since Q3. The Q3 report noted potential for further slippage on internal schemes if the team needed to respond to severe weather / emergency storm works during Q4. This was the case and is the reason for most of the increased the slippage being reported. The delayed work will be completed early in 2022/23, the impact of this on the delivery of already scheduled work for 2022/23 will be reviewed and reported as part of the Q1 budget monitoring report.

Accelerated expenditure

46. The accelerated expenditure for the year is £1.173m. The accelerated expenditure has resulted from schemes incurring expenditure earlier than had been anticipated when the budget was profiled between financial years. There are nine schemes that make up the total accelerated spend of £1.173m, all of which are relatively minor (less than £0.500m) and can be identified in Appendix 4. Council agreed that accelerated expenditure in the 2021/22 Capital Programme can be re-profiled from 2022/23 to 2021/22, in the Budget Report approved by Council on 10th February 2022.

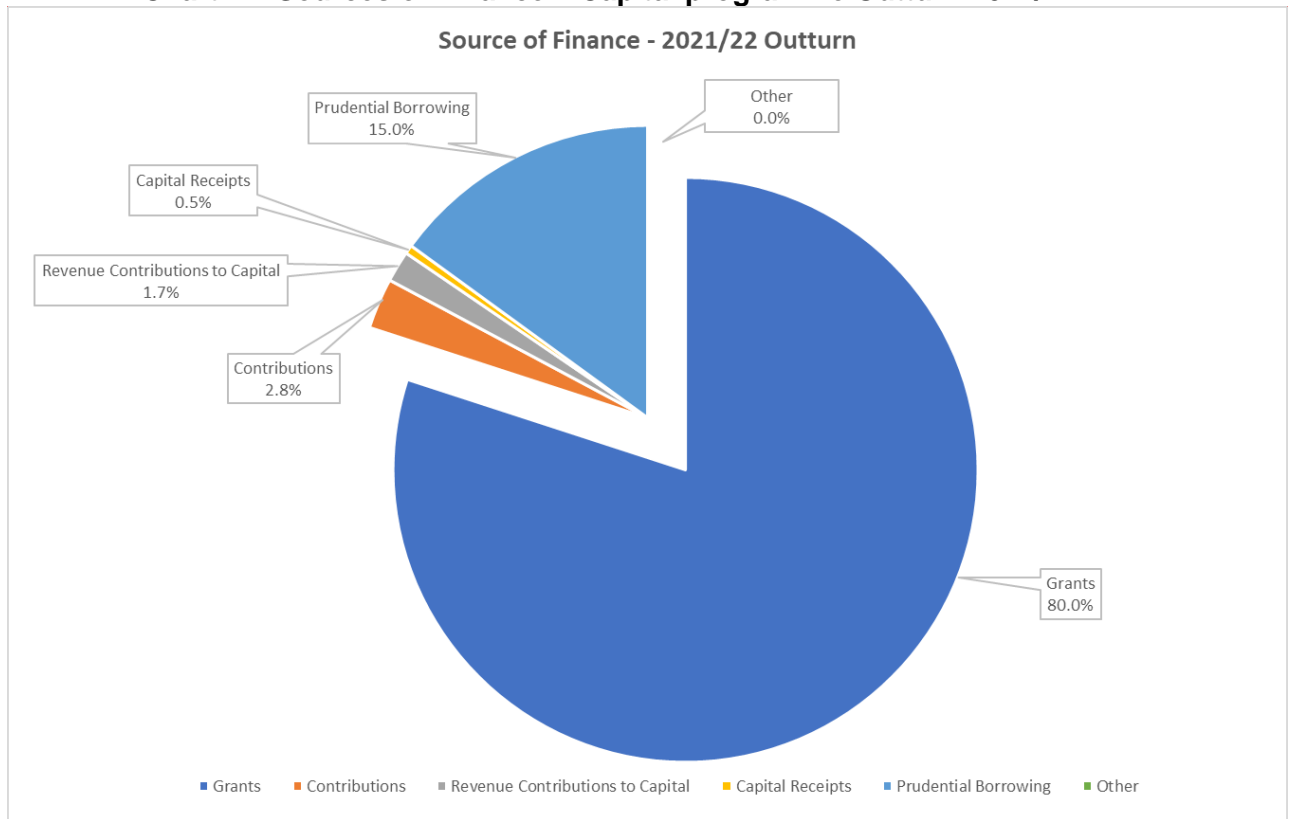
Capital Financing

47. Capital financing for the Capital Programme comes primarily from Government grants and prudential borrowing. In addition, the Council can utilise capital receipts, accrued through the sale of assets (such as surplus land and buildings), revenue contributions and the use of reserves. The main grants received relate to Highways and Transport and Schools.
48. The outturn has been financed as shown in the table and pie chart below.

Table 20 - Capital Programme Sources of Finance - Outturn 2021/22

| Source of Finance | Approved 2021/22 Budget (Q3) | Proposed Changes | Revised 2021/22 Budget | 2021/22 Outturn | Variance (Outturn to Budget) |
|----------------------|------------------------------------|---------------------|------------------------------|--------------------|------------------------------------|
| | £m | £m | £m | £m | £m |
| Grants | 86.152 | 2.320 | 88.472 | 70.918 | 17.554 |
| Contributions | 4.206 | 0.000 | 4.206 | 2.484 | 1.722 |
| Revenue Contribution | 3.413 | 0.304 | 3.717 | 1.528 | 2.189 |
| Capital Receipts | 0.429 | 0.000 | 0.429 | 0.429 | 0.000 |
| Prudential Borrowing | 25.219 | 0.000 | 25.219 | 13.286 | 11.933 |
| Other | 0.110 | 0.000 | 0.110 | 0.000 | 0.110 |
| Council TOTAL | 119.529 | 2.624 | 122.153 | 88.645 | 33.508 |

Chart 2 – Sources of Finance – Capital programme Outturn 2021/22



Capital Receipts / Disposals

49. It was estimated that £1.012m of capital receipts would be received during the year, £1.076m have actually been received, which is only a minor difference. This results in a capital receipts reserve of £5.826m at year end 2021/22. The majority of which has already been committed as part of the proposed Capital Programme, any remaining balance will be considered as part of future monitoring and budget reports.

Proposed Budget Changes

50. As noted in para 38 this outturn position shows a net increase of £18.480m in the County Council Capital Budget for the period 2021/22 to 2026/27, giving a revised total of £578.310m over the life of the current capital programme. This reflects a number of changes to the capital programme as outlined in the following sections:
- Grant / Contribution for existing schemes – external (£11.032m addition)
 - Grant / Contribution for new schemes – external (£4.876m addition)
 - Unallocated Prudential Borrowing to existing scheme (£2.201m addition)
 - Internal Contribution Changes for existing schemes (£0.371m addition)

Grant / Contribution for existing schemes

51. This report seeks Cabinet approval for changes in the following schemes, which are fully funded by external contributions, as detailed below:

Table 21 - Changes to existing schemes funded by external contributions

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|---|---------|---------|---------|---------|---------|---------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| Changes to existing scheme – requiring approval by Cabinet | | | | | | | |
| Basic Need: a slight reduction in the estimated basic need capital allocation following publication of the Basic Need capital allocations to support the creation of places needed for both September 2024 and September 2025 | 0.000 | 0.032 | (0.102) | 0.000 | 0.000 | 0.000 | (0.070) |
| High Needs Provision: additional grant added to the Capital Programme following published of the High Needs Provision Capital Allocations (HNPCA) for financial years 2022-23 and 2023-24. This funding is to support new places and improve existing provision for children and young people with special educational needs and disabilities or who require alternative provision | 0.000 | 2.672 | 3.665 | 0.000 | 0.000 | 0.000 | 6.337 |
| Infrastructure Deficit Support (IDS): additional contributions added to the Capital Programme for Windermere Gateway and Barrow Cycling & Walking Town Deal scheme | 0.075 | 0.120 | 0.000 | 0.000 | 0.000 | 0.000 | 0.195 |
| Countryside Access Improvements: additional contributions to support access improvements such as bridleway and path works | 0.040 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.040 |
| Whitehaven North Shore Access (NPIF/BEC): underspend due to construction works being completed under budget. This has resulted in a reduction to the external funding for the scheme and the budget has been adjusted to reflect this | (0.013) | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | (0.013) |
| Citadels Borderlands: additional funding to cover expenditure that has been incurred during 2021/22. This scheme will provide a high impact gateway to ensure the heart of Carlisle reflects the role and potential of the city | 0.017 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.017 |

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|--|--------------|--------------|--------------|--------------|--------------|--------------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| Active Travel Fund Barrow: grant funding has been awarded to deliver a range of practical action in relation to cycling and walking facilities in Barrow | 0.000 | 4.526 | 0.000 | 0.000 | 0.000 | 0.000 | 4.526 |
| Total | 0.119 | 7.350 | 3.563 | 0.000 | 0.000 | 0.000 | 11.032 |

Grant / Contribution for new schemes

52. This report seeks Cabinet approval / Cabinet approval for the recommend to Council for the approval of the following new schemes, which are fully funded by external contributions, as detailed below:

Table 22 - New schemes funded by external contributions

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|---|--------------|--------------|--------------|--------------|--------------|--------------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| New schemes – requiring approval by Cabinet (<£0.500m) | | | | | | | |
| Barrow Levelling Up Fund (LUF): grant funding has been awarded for the provision of public realm, bus infrastructure improvements that will support Barrow City Councils LUF projects | 0.000 | 0.200 | 0.000 | 0.000 | 0.000 | 0.000 | 0.200 |
| New schemes – requiring approval by Council (>£0.500m) | | | | | | | |
| Barrow Town Deal - Cycling and Walking grant funding has been awarded and the grant funding agreement is expected to be finalised in May 2022. This will further enhance the active travel improvements already being delivered as part of the Active Travel Fund Barrow | 0.000 | 3.700 | 0.000 | 0.000 | 0.000 | 0.000 | 3.700 |
| Maryport Future High Street Fund grant funding has been awarded and the grant funding agreement is expected to be finalised with Allerdale Borough Council in early 2022/23. The scheme will deliver public realm and highway network improvements | 0.000 | 0.192 | 0.784 | 0.000 | 0.000 | 0.000 | 0.976 |
| Total | 0.000 | 4.092 | 0.784 | 0.000 | 0.000 | 0.000 | 4.876 |

Unallocated Prudential Borrowing to existing scheme

53. This report seeks Cabinet approval for the recommendation to Council for addition of the following new schemes, that are financing from existing prudential borrowing already approved but which has not previously been included as expenditure within the Capital Programme:

Table 23 - Changes to existing scheme funded by Unallocated Prudential Borrowing

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|---|--------------|--------------|--------------|--------------|--------------|--------------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| Changes to existing scheme – requiring approval by Council | | | | | | | |
| Chronically Sick and Disabled Persons Adaptations: Community Equipment provided by Cumbria Care's Community Equipment Service was previously reported as revenue expenditure. For 2021/22 this will now be transferred to the Capital Programme and be financed from previously approved, but unallocated, borrowing. As the borrowing has already been approved for use in the Capital Programme the revenue costs associated with that borrowing (interest and MRP) have already been included in the approved Medium Term Financial Plan | 2.201 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.201 |
| Total | 2.201 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.201 |

Internal Contribution Changes for existing schemes

54. This report seeks Cabinet approval for changes in the following schemes, which are fully funded by internal revenue contributions, as detailed below:

Table 24 - Changes to existing schemes funded by internal contributions

| | 2021/22 | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total 2021- 2027 |
|--|--------------|--------------|--------------|--------------|--------------|--------------|------------------------|
| | £m | £m | £m | £m | £m | £m | £m |
| Changes to existing scheme – requiring approval by Cabinet | | | | | | | |
| VA Schools Capital Projects: additional contribution to VA school capital projects delivered during 2021/22 | 0.304 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.304 |
| Windermere Ferry replacement: additional revenue contribution to finance preliminary work for Windermere Ferry Replacement | 0.000 | 0.007 | 0.000 | 0.000 | 0.000 | 0.000 | 0.007 |
| Corporate Property Planned Maintenance and improvement: additional revenue contribution for enhancement work at property providing emergency and respite placements for children and young people. The project expected to be completed by September 2022 | 0.000 | 0.060 | 0.000 | 0.000 | 0.000 | 0.000 | 0.060 |
| Total | 0.304 | 0.067 | 0.000 | 0.000 | 0.000 | 0.000 | 0.371 |

Virements

55. This report seeks Cabinet approval for virements between the following schemes as detailed below:

Table 25 - Capital Programme Virements

| | 2021/22 | 2022/23 | Description |
|---|---------|---------|---|
| | £m | £m | |
| Virements - requiring approval by Cabinet (between schemes within the capital programme <£0.500m) | | | |
| Infrastructure Deficit Support to District Councils | (0.000) | (0.114) | As per the budget report presented to Council in February 2022, IDS schemes will be reported through the Major Projects Match Funding line in the capital programme from 2022/23 onwards. |
| Major Projects Match Funding | 0.000 | 0.114 | |
| Major Projects Match Funding | (0.000) | (0.150) | The approved budget is based on early estimates and a more accurate position is available as the scheme progresses through its preliminary phase. A potential overspend has been identified as a result of additional work to prepare for planning submission and design / build tender. Allocation of Major Project budget is requested to off-set this additional cost. |
| A595 Grizebeck | 0.000 | 0.150 | |

| | 2021/22 | 2022/23 | Description |
|-------------------------------------|--------------|--------------|--|
| | £m | £m | |
| Major Projects Match Funding | (0.000) | (0.030) | Allocation of Major Project budget to Carlisle southern gateway, adding to the £0.100m already allocated previously in the year |
| Carlisle southern gateway | 0.000 | 0.030 | |
| Additional Inflation Risk allowance | (0.000) | (0.472) | The approved budget is based on early estimates and a more accurate position is available as the scheme progresses through its preliminary phase. This has identified an increase in the estimated cost, including land estimates, utility company diversions, detailed design work and main contractor costs. Allocation of Additional Inflation Risk allowance budget is requested to off-set these additional costs |
| A595 Bothel | 0.000 | 0.472 | |
| Total Virement impact | 0.000 | 0.000 | |

56. This report seeks Cabinet approval for the recommendation to Council for approval for virements between the following schemes as detailed below:

Table 26 – Capital Programme Virements

| | 2021/22 | 2022/23 | Description |
|--|--------------|--------------|---|
| | £m | £m | |
| Virements - requiring approval by Council (amounts exceeding £0.500m) | | | |
| Additional Inflation Risk Allowance | (0.000) | (1.368) | The approved budget is based on early estimates and a more accurate position is available as the scheme progresses through its preliminary phase. The estimated cost of the delivery of the Grizebeck has now increased as a direct result of the inflation pressure facing the construction industry. This has resulted in both a direct increase in construction materials cost and an indirect risk allocated by contractor in pricing the contract. This is as a consequence of the supplier not being prepared to hold quotation prices for as long as they have done historically. A virement from the Additional Inflation Risk Allowance is required to meet this shortfall |
| A595 Grizebeck | 0.000 | 1.368 | |
| Total Virement impact | 0.000 | 0.000 | |

Review of 2022-27 Capital Programme

57. Taking in to account the request for additional budget to the 2022-27 Capital programme and the re-profiling as a result of slippage / accelerated expenditure highlighted above, the proposed Capital Programme for 2022/23 is £238.305m.
58. Inflationary and lead time pressures will likely have a significant impact on the Capital Programme in 2022/23, including budgetary pressure and the profiling of the delivery of schemes. The main reason for these pressures is due to high fuel cost increases, affecting logistics and manufacturing, and material supply shortages. This position has changed dramatically since November 2021, as a

result of major market influences including COVID-19, and the Russia-Ukrainian war.

59. The above pressures and other factors can lead to reprofiling of schemes and this is currently being reviewed and is expected to be reported as part of the Q1 budget monitoring report, specific example of such schemes include:
- **A592/A684 Road Safety Foundation Schemes:** 2022/23 profiling is under review in conjunction with the design work being finalised for the A592. Works on this road are impacted by working window restrictions caused by winter weather and school holiday periods, as well as some of the work potentially being labour intensive due to the heritage nature of the landscape setting.
 - **Windermere Ferry replacement:** 2022/23 profiling to be reviewed against the intended procurement pathway and staged payments for a new ferry. Once this review has been undertaken, any impact of the budgeted expenditure profile will be reported as part of Q1.
60. Such re-profiling does not necessarily relate to a delay in the delivery of a scheme, but can also reflect the Council having greater clarity around the timelines for when work will be undertaken and when expenditure will therefore be incurred. This may have not been known when the budget was initially added to the Capital Programme and so the original profiling of spend was only an estimate at that time

Accountable Bodies

61. Accountable Body expenditure does not result in an increase in the value of assets owned by the Council but is included in this report for completeness and to support the Council's monitoring responsibilities as Accountable Body.
62. During 2021/22 all projects within the programme are being delivered by 3rd parties on behalf of the Cumbria Local Enterprise Partnership (CLEP). There has been delays to two schemes which has resulted in slippage on that budget and they are now expected to be complete during 2022/23. There is no change being made to the overall value of either scheme and no other CLEP schemes being introduced at this time.
63. A new line has been added to the Accountable Bodies Programme for 2022/23 onwards, for Cumbria Coastal Community Forest. DEFRA are the originating funding provider for this scheme which is being overseen nationally by Cheshire West and Chester Council, through the Trees for Climate fund. Cumbria Woodlands will deliver this scheme in Cumbria, working with partner organisations. Cumbria County Council have taken on the role of Accountable Body. The scheme is expected to be delivered over the next three years and

has both revenue and capital elements. These forests not only contribute to climate change adaption and mitigation but have direct benefits for the people living in these areas.

Financial - What Resources will be required and how will it be funded?

64. The resource and value for money implications are covered within this report.

Legal Aspects – What needs to be considered?

65. Cabinet is responsible for considering and reviewing reports on the implementation of the budget and approving in year changes to the budget and capital programme that are reserve to Cabinet.

With particular relevance to this report:

- (1) Under 3.2 of FR2 Cabinet can approve transfers to or from contingencies and to an earmarked reserve;
- (2) Under 6.2 of FR2 of the Financial Standing Orders Cabinet may transfer revenue budget up to £500,000 provided it remains within the overall budget and Policy Framework approved by Council and no commitment is made to a higher overall level of expenditure in future years.
- (3) Under 9.2 of FR2 of the Financial Standing Orders Cabinet may approve transfers to an earmarked reserve.
- (4) Under 6.7 of FR2 of the Financial Standing Orders Cabinet may approve transfers between schemes in the capital programme provided the reason for the transfer is within the budget and policy framework, any revenue budget consequences can be met and the amount does not exceed £500,000. Cabinet must refer transfers within the capital programme exceeding £500,000 to full Council.
- (5) Under 3.7 of FR2 of the Financial Standing Orders Cabinet may approve new schemes in the capital programme of up to £500,000 provided they will be funded from additional grants and/or contributions from external bodies. Cabinet must refer other new schemes to full Council.

Health and Safety Aspects – What needs to be considered?

66. The County Council has a responsibility under the Health & Safety at Work Act 1974 and associated Management of Health & Safety at Work Regulations to ensure as far as is reasonably practicable that there are arrangements in place to ensure a healthy and safe working environment.
67. Whilst there are no direct health and safety implications from the budget monitoring report itself, both capital and revenue expenditure schemes require strong health and safety management risk assessments, controls and monitoring in line with the commitments included in the Corporate Health and Safety Policy Statement last approved by Cabinet in September 2020.

Council Plan Priority – How do the Proposals Contribute to the Delivery of the Council’s Stated Objectives?

68. The report links to the strategic planning framework for Cumbria including supporting the delivery of the Council Plan 2018-2022 outcomes we want to achieve agreed by Council in February 2019, which are:

- people in Cumbria are healthy and safe
- places in Cumbria are well-connected and thriving
- the economy in Cumbria is growing and benefitting everyone

69. The effective management of financial resources is a requirement for making informed decisions when planning and delivering Council services.

What is the Impact of the Decision on Health Inequalities and Equality and Diversity Issues?

70. Not Applicable

Further Information & Background Documents

- Appendix 1 – Net Revenue Budget 2021/22 – Movements in Year
- Appendix 2 – Summary of delivery on 2021/22 Savings Propositions
- Appendix 3 – Summary of Earmarked Reserves 2021/22
- Appendix 4 – Capital Programme 2021/22
- Appendix 5 - Requests to create or increase Earmarked Reserves
- Appendix 6 – Contain Outbreak Management Fund summary
- Appendix 7 – 2022/23 Schedule of Fees & Charges

Key Facts

Electoral Division(s): All

| Executive Decision | Key Decision Included in Forward Plan | Exempt from call-in | Exemption agreed by scrutiny chair | Considered by scrutiny, if so detail below | Environmental or sustainability assessment undertaken? | Equality impact assessment undertaken? |
|---------------------------|--|----------------------------|---|---|---|---|
| Yes | Yes | No | N/A | No | N/A | N/A |

Reviewed and Approved by Cabinet Member(s) on 19/05/2022

Previous relevant Council or Executive decisions

No previous relevant decisions

Consideration by Overview & Scrutiny

Not considered by Overview and Scrutiny

Background Papers

No background papers

Report Author:

Pam Duke. Director of Finance (s151 Officer).

Debbie Middleton. Deputy s151 - Accountancy & Financial Planning.

Jamie Wright. Group Finance Manager.

2021/22 BUDGET NET EXPENDITURE - MOVEMENTS IN THE YEAR

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|----------------|--------------|---------------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m |
| APPROVED BUDGET COUNTY COUNCIL 18 February 2021 | 196.840 | 0.000 | 37.919 | 39.526 | 129.175 | 20.479 | 9.438 | | 5.743 | 439.120 | (34.867) | 0.873 | 13.045 | (20.949) | 418.171 |
| <i>Late Adjustments not included in budget model</i> | | | | | | | | | | | | | | | |
| Transfer of Active Travel (2626901) from C13 to C20 | 0.040 | | | | (0.040) | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Budget Amended for Late Adjustments | 196.880 | 0.000 | 37.919 | 39.526 | 129.135 | 20.479 | 9.438 | 0.000 | 5.743 | 439.120 | (34.867) | 0.873 | 13.045 | (20.949) | 418.171 |
| Q1 Cash Limit Adjustments | | | | | | | | | | | | | | | |
| Inflation - D'down re Adults Contracts | 3.665 | | | | | | | | | 3.665 | | | (3.665) | (3.665) | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET at 30 APRIL 2021 | 200.545 | 0.000 | 37.919 | 39.526 | 129.135 | 20.479 | 9.438 | 0.000 | 5.743 | 442.785 | (34.867) | 0.873 | 9.380 | (24.614) | 418.171 |
| EMR Drawdown - Welfare Reserve Drawdown | 0.022 | | | | | | | | | 0.022 | | | | 0.000 | 0.022 |
| Inflation Drawdown - Ways to Welfare | 0.035 | | | | | | | | | 0.035 | | | (0.035) | (0.035) | 0.000 |
| Tfr from People to CCCS for SPA | (0.975) | | | 0.975 | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Tfr to People - Active Travel Staffing Budget | 0.049 | | | | (0.049) | | | | | 0.000 | | | | 0.000 | 0.000 |
| Inflation Drawdown - Living Wage Increase | 0.030 | | | | | | | | | 0.030 | | | (0.030) | (0.030) | 0.000 |
| Full Year effect of 20/21 CL0605 Digital Team Budget Pressure | | | | 0.050 | | | | | | 0.050 | | | (0.050) | (0.050) | 0.000 |
| EMR Drawdown - Commons ACT to fund annual staff cost and associated | | | | | 0.037 | | | | | 0.037 | | | | 0.000 | 0.037 |
| EMR Drawdown - Active Travel Tranche 2 | 0.005 | | | | | | | | | 0.005 | | | | 0.000 | 0.005 |
| Inflation Drawdown - Fostering Allowances | 0.113 | | | | | | | | | 0.113 | | | (0.113) | (0.113) | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET at 31 MAY 2021 | 199.824 | 0.000 | 37.919 | 40.551 | 129.123 | 20.479 | 9.438 | 0.000 | 5.743 | 443.077 | (34.867) | 0.873 | 9.152 | (24.842) | 418.235 |
| Full Year effect of 20/21 CL1001 - HR Fire Pension Officers Post | | | | 0.050 | | | | | | 0.050 | | | (0.050) | (0.050) | 0.000 |
| Full Year effect of 20/21 CL1202 - Gold Pay Award for Deputy & Chief Fire Officers | | | | | | 0.014 | | | | 0.014 | | | (0.014) | (0.014) | 0.000 |
| EMR Drawdown - Working Together Highways project | | | | | 0.009 | | | | | 0.009 | | | | 0.000 | 0.009 |
| EMR Drawdown - Transfer Active Travel to People | 0.012 | | | | | | | | | 0.012 | | | | 0.000 | 0.012 |
| EMR Drawdown - Supported Bus Services Grant | | | | | 0.381 | | | | | 0.381 | | | | 0.000 | 0.381 |
| EMR Drawdown - Local Committees | | | | | | | 0.913 | | | 0.913 | | | | 0.000 | 0.913 |
| Inflation Increase on Precepts | | | | | | | | | | 0.000 | 0.019 | (0.019) | | 0.000 | 0.000 |
| Tfr to People - Virement for Staff | 0.140 | | | | (0.140) | | | | | 0.000 | | | | 0.000 | 0.000 |
| Tfr to EMR - Innovation Fund Budget | | | | | | | | | (2.500) | (2.500) | | | | 0.000 | (2.500) |
| EMR Drawdown - LNRS Pilot | | | | | 0.042 | | | | | 0.042 | | | | 0.000 | 0.042 |
| EMR Drawdown - Social Enterprise | | | | | 0.094 | | | | | 0.094 | | | | 0.000 | 0.094 |
| EMR Drawdown - Innov Fund Micro Enterprises / Providers | | | | 0.150 | | | | | | 0.150 | | | | 0.000 | 0.150 |
| EMR Drawdown - Service Centre Innovation Fund | | | | 0.305 | | | | | | 0.305 | | | | 0.000 | 0.305 |
| EMR Drawdown - Communication Innovation Fund | | | | 0.035 | | | | | | 0.035 | | | | 0.000 | 0.035 |
| EMR Drawdown - Library Book Fund | | | | 0.218 | | | | | | 0.218 | | | | 0.000 | 0.218 |
| EMR Drawdown - Library Culture & Arts Fund | | | | 0.052 | | | | | | 0.052 | | | | 0.000 | 0.052 |
| EMR Drawdown - Library Furniture & Equipment | | | | 0.036 | | | | | | 0.036 | | | | 0.000 | 0.036 |
| Property Contribution to 21/22 Enterprise Saving | | | | | (0.145) | | | | 0.145 | 0.000 | | | | 0.000 | 0.000 |
| EMR Drawdown - Parking Enforcement | | | | | 0.081 | | | | | 0.081 | | | | 0.000 | 0.081 |
| EMR Drawdown - Capacity Reserve to fund temp AD - Growth and Infrastructure Post for 21/22 | | | | | 0.095 | | | | | 0.095 | | | | 0.000 | 0.095 |
| EMR Drawdown - Capacity Reserve to fund temp Windermere Ferry Costs | | | | | 0.100 | | | | | 0.100 | | | | 0.000 | 0.100 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|----------------|--------------|---------------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | | £m | £m | £m | £m | £m | £m | £m |
| EMR Drawdown - Innovation funding to fund Commercial Development Lead Post | | | | | 0.078 | | | | | 0.078 | | | | 0.000 | 0.078 |
| EMR Drawdown - Innovation Fund to fund Place and Enterprise Officer Post | | | | | 0.058 | | | | | 0.058 | | | | 0.000 | 0.058 |
| EMR Drawdown - Corporate, Customer & Community - various reserves | | | | 1.335 | | | | | | 1.335 | | | | 0.000 | 1.335 |
| Innovation Fund Drawdown for People Management AD Salary | | | | 0.075 | | | | | | 0.075 | | | | 0.000 | 0.075 |
| Inflation - Contracts 2021/22 | | | | 0.003 | 2.109 | 0.009 | | | | 2.121 | | | (2.121) | (2.121) | 0.000 |
| Inflation - Members Allowances | | | | 0.042 | | | | | | 0.042 | | | (0.042) | (0.042) | 0.000 |
| EMR Drawdown - Capital Development - Decarbonisation | | | | | 0.100 | | | | | 0.100 | | | | 0.000 | 0.100 |
| EMR Drawdown - Innovation funding for Decarbonisation Posts | | | | | 0.111 | | | | | 0.111 | | | | 0.000 | 0.111 |
| EMR Drawdown - Strengthening short-term capacity reserve | | | | 0.325 | | | | | | 0.325 | | | | 0.000 | 0.325 |
| EMR Drawdown - Q1 COVID - Expenditure | | | | | | | | | 2.657 | 2.657 | | | | 0.000 | 2.657 |
| EMR Drawdown - Q1 COVID - Unachievable Savings | | | | | | | | | 1.138 | 1.138 | | | | 0.000 | 1.138 |
| EMR Drawdown - Q1 COVID - Loss of Income | | | | | | | | | 0.432 | 0.432 | | | | 0.000 | 0.432 |
| Transfer to Finance Volatility Reserve | | | | | | | | | (0.596) | (0.596) | | | | 0.000 | (0.596) |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET at 30 JUNE 2021 | 199.976 | 0.000 | 37.919 | 43.177 | 132.096 | 20.502 | 10.351 | 0.000 | 7.019 | 451.040 | (34.867) | 0.892 | 6.906 | (27.069) | 423.971 |
| Q2 Cash Limit Adjustments | | | | | | | | | | | | | | | |
| School Improvement Monitoring & Boking Grant | (0.972) | | | | | | | | | (0.972) | | | | 0.000 | (0.972) |
| School Improvement Monitoring & Boking Grant | 0.242 | | | | | | | | | 0.242 | | | | 0.000 | 0.242 |
| Tfr to EMR - Digital Village Hubs and PMO Costs | | | | | (3.601) | | | | | (3.601) | | | | 0.000 | (3.601) |
| Innovation Fund Drawdown - POW Gateways to Growth | | | | | 0.010 | | | | | 0.010 | | | | 0.000 | 0.010 |
| Commissioning Assistant - SEND - Full year effect 22/23 | (0.029) | | | 0.029 | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Commissioning Assistant - SEND - Part year effect 21/22 | 0.007 | | | (0.007) | | | | | | 0.000 | | | | 0.000 | 0.000 |
| EMR Drawdown - Local Govt Review | | | | 0.105 | | | | | | 0.105 | | | | 0.000 | 0.105 |
| EMR Drawdown - Temporary Trading Standards Officer | | | | | 0.034 | | | | | 0.034 | | | | 0.000 | 0.034 |
| EMR Drawdown - Cycling & Walking Hadrians Wall | | | | | 0.150 | | | | | 0.150 | | | | 0.000 | 0.150 |
| EMR Drawdown - Cycling & Walking Plans Towns | | | | | 0.112 | | | | | 0.112 | | | | 0.000 | 0.112 |
| EMR Drawdown - Property Capital Development | | | | | 0.115 | | | | | 0.115 | | | | 0.000 | 0.115 |
| EMR Drawdown - Borderlands Cycle & Walk | | | | | 0.070 | | | | | 0.070 | | | | 0.000 | 0.070 |
| Tfr to E&I - Kitchen and Laundry Equipment | (0.095) | | | | 0.095 | | | | | 0.000 | | | | 0.000 | 0.000 |
| EMR Drawdown - Development Fund POW Business Plan | | | | | 0.006 | | | | | 0.006 | | | | 0.000 | 0.006 |
| Innovation Fund Drawdown - Pwc Data, Digital & technology review and systems analyst | | | | 0.175 | | | | | | 0.175 | | | | 0.000 | 0.175 |
| EMR Drawdown - Modernisation Reserve for III Health Pension Strain cost | | | | | 0.074 | | | | | 0.074 | | | | 0.000 | 0.074 |
| Property Contribution to Enterprise Saving | | | | | (0.355) | | | | 0.355 | 0.000 | | | | 0.000 | 0.000 |
| Innovation Fund Drawdown - Social Enterprise Manager | | | | | 0.044 | | | | | 0.044 | | | | 0.000 | 0.044 |
| Council Tax and Business Rates Adjustment | | | | | | | | | 0.568 | 0.568 | | | | 0.000 | 0.568 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 JULY 2021 | 199.129 | 0.000 | 37.919 | 43.479 | 128.850 | 20.502 | 10.351 | 0.000 | 7.942 | 448.172 | (34.867) | 0.892 | 6.906 | (27.069) | 421.103 |
| Inflation Drawdown - HM Coroners Service awarded a 1.5% Pay award | | | | 0.006 | | | | | | 0.006 | | | (0.006) | (0.006) | 0.000 |
| EMR Drawdown - Asbestos Surveys | | | | | 0.048 | | | | | 0.048 | | | | 0.000 | 0.048 |
| EMR Drawdown - National Register of Access Consultants | | | | | 0.040 | | | | | 0.040 | | | | 0.000 | 0.040 |
| Tfr to EMR - CMF - Reinspection Surveys | | | | | (0.025) | | | | | (0.025) | | | | 0.000 | (0.025) |
| EMR Drawdown - CMF Schools - Asbestos Surveys | | | | | 0.126 | | | | | 0.126 | | | | 0.000 | 0.126 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|----------------|--------------|---------------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | | £m | £m | £m | £m | £m | £m | £m |
| Tfr to EMR - CMF Schools - Reinspection Surveys | | | | | (0.050) | | | | | (0.050) | | | | 0.000 | (0.050) |
| EMR Drawdown - Economic Programmes Team - Port of Workington Study | | | | | 0.011 | | | | | 0.011 | | | | 0.000 | 0.011 |
| EMR Drawdown - Economic Programmes Team - University of Cumbria | | | | | 0.019 | | | | | 0.019 | | | | 0.000 | 0.019 |
| EMR Drawdown - Economic Programmes Team - Coastal Strategy | | | | | 0.009 | | | | | 0.009 | | | | 0.000 | 0.009 |
| EMR Drawdown Borderlands - HS2 Carlisle Station Study | | | | | 0.011 | | | | | 0.011 | | | | 0.000 | 0.011 |
| Inflation Drawdown - Fire Fighters 1.5% Pay inflation full year effect of July 2021 pay award | | | | | | 0.231 | | | | 0.231 | | | (0.231) | (0.231) | 0.000 |
| Inflation Drawdown - Fire Fighters 1.5% Pay inflation full year effect of July 2021 pay award | | | | | | (0.058) | | | | (0.058) | | | 0.058 | 0.058 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 AUGUST 2021 | 199.129 | 0.000 | 37.919 | 43.485 | 129.039 | 20.675 | 10.351 | 0.000 | 7.942 | 448.540 | (34.867) | 0.892 | 6.727 | (27.248) | 421.292 |
| Innovaion Fund Drawdown - Communication | | | | 0.036 | | | | | | 0.036 | | | | 0.000 | 0.036 |
| Innovation Fund Drawdown - Learning & Development | | | | 0.006 | | | | | | 0.006 | | | | 0.000 | 0.006 |
| Inflation drawdown - Children's Placement and SGO Inflation | 0.828 | | | | | | | | | 0.828 | | | (0.828) | (0.828) | 0.000 |
| To Balance Budget to Actual | | | | | | | | | | 0.000 | (0.001) | | 0.001 | 0.000 | 0.000 |
| Budget transfer to cover cost of Valuations | | | (0.033) | | 0.033 | | | | | 0.000 | | | | 0.000 | 0.000 |
| EMR Drawdown - Q2 Drawdown from Capacity Reserve to CC&CS | | | | 0.325 | | | | | | 0.325 | | | | 0.000 | 0.325 |
| Tfr from CC&CS to E&I - Cross Cutting Transport Saving | | | | (0.159) | 0.159 | | | | | 0.000 | | | | 0.000 | 0.000 |
| Funding from contingency to Organisational Change for ICT lone working app 37.7k 2022-23 onwards and 12.5k 2021-22 | | | | 0.013 | | | | | | 0.013 | | | (0.013) | (0.013) | 0.000 |
| Active Travel Revenue Funding Section 31 Grant | | | | | 0.254 | | | | | 0.254 | | | | 0.000 | 0.254 |
| Innovation Fund Drawdown - Comms Marketing Campaign for Social Workers | | | | 0.070 | | | | | | 0.070 | | | | 0.000 | 0.070 |
| Modernisation Reserve Funding for Severance and Termination Payments April to September | 0.004 | | | 0.049 | | | | | | 0.053 | | | | 0.000 | 0.053 |
| Business Rates Update Q2 | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| EMR Drawdown - Q2 to Q4 COVID - Expenditure | | | | | | | | | 3.169 | 3.169 | | | | 0.000 | 3.169 |
| EMR Drawdown - Q2 to Q4 COVID - Unachievable Savings | | | | | | | | | 2.276 | 2.276 | | | | 0.000 | 2.276 |
| EMR Drawdown - Q2 to Q4 COVID - Loss of Income | | | | | | | | | 0.709 | 0.709 | | | | 0.000 | 0.709 |
| Transfer to Finance Volatility Reserve | | | | | | | | | (0.284) | (0.284) | | | | 0.000 | (0.284) |
| Transfer to LGR Reserve | | | | | | | | | (0.710) | (0.710) | | | | 0.000 | (0.710) |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 30 SEPTEMBER 2021 | 199.961 | 0.000 | 37.886 | 43.825 | 129.485 | 20.675 | 10.351 | 0.000 | 13.102 | 455.285 | (34.868) | 0.892 | 5.887 | (28.089) | 427.196 |
| Q3 Cash Limit Adjustments | | | | | | | | | | | | | | | |
| Grant for Support and oversight to Schools re 3yr budget forecast and related party transactions | | | 0.016 | | | | | | | 0.016 | | | | 0.000 | 0.016 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 OCTOBER 2021 | 199.961 | 0.000 | 37.902 | 43.825 | 129.485 | 20.675 | 10.351 | 0.000 | 13.102 | 455.301 | (34.868) | 0.892 | 5.887 | (28.089) | 427.212 |
| Dispute Res Procedure legal cost | | | | | 0.045 | | | | | 0.045 | | | | 0.000 | 0.045 |
| Innovation Fund Drawdown - Service Centre AI | | | | 0.111 | | | | | | 0.111 | | | | 0.000 | 0.111 |
| Innovation Fund Drawdown - Service Centre AI | | | | 0.050 | | | | | | 0.050 | | | | 0.000 | 0.050 |
| Ways to Welfare to Service Centre | (0.035) | | | 0.035 | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Ways to Welfare EMR to Service Centre | (0.022) | | | 0.022 | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Living Wage Increase 2021/22 - Full Year | 0.069 | | | | | | | | | 0.069 | | | (0.069) | (0.069) | 0.000 |
| Living Wage Increase 2021/22 - Part Year | (0.040) | | | | | | | | | (0.040) | | | 0.040 | 0.040 | 0.000 |
| LGR Innovation Fund Drawdown | | | | 0.057 | | | | | | 0.057 | | | | 0.000 | 0.057 |
| EMR Drawdown - Innovation Fund Place & Infrastr Officer | | | | | 0.028 | | | | | 0.028 | | | | 0.000 | 0.028 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 30 NOVEMBER 2021 | 199.933 | 0.000 | 37.902 | 44.100 | 129.558 | 20.675 | 10.351 | 0.000 | 13.102 | 455.621 | (34.868) | 0.892 | 5.858 | (28.118) | 427.503 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|---|----------------|--------------|---------------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m |
| Agreed Contribution to Community Finance Team Staffing | (0.040) | | 0.040 | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| To Reverse previous CLA CL0415 | | | | | 0.355 | | | | (0.355) | 0.000 | | | | 0.000 | 0.000 |
| Tfr of Income Generation - increase in advertising revenue | | | | | 0.100 | | | | (0.100) | 0.000 | | | | 0.000 | 0.000 |
| Drawdown form LT Investemnt Reserve Applethwaite Grn Car Park | | | | | 0.307 | | | | | 0.307 | | | | 0.000 | 0.307 |
| Tfr from EMR Innovation Fund H2S Trans | | | | | 0.032 | | | | | 0.032 | | | | 0.000 | 0.032 |
| Tfr Adult Social Care Travel Underspend | (0.122) | | | | 0.122 | | | | | 0.000 | | | | 0.000 | 0.000 |
| Test & Trace Reserve Drawdown | 0.494 | | | | | | | | | 0.494 | | | | 0.000 | 0.494 |
| Community Test Reserve Drawdown | 0.146 | | | | | | | | | 0.146 | | | | 0.000 | 0.146 |
| COMF Reserve Drawdown | 11.073 | | | | | | | | | 11.073 | | | | 0.000 | 11.073 |
| EMR Drawdown - Finance Directorate LGR Work | | | 0.047 | | | | | | | 0.047 | | | | 0.000 | 0.047 |
| Emr Drawdown - Cost of Redundancy, Ill ealth & Strain met from Modernisation Reserve | 0.542 | | | 0.177 | 0.070 | | | | 0.073 | 0.862 | | | | 0.000 | 0.862 |
| Changes to Value of Grants Received | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Grant no longer Received | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Q3 Drawdown from the Capacity Reserve | | | | 0.375 | | | | | | 0.375 | | | | 0.000 | 0.375 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 DECEMBER 2021 | 212.026 | 0.000 | 37.989 | 44.652 | 130.544 | 20.675 | 10.351 | 0.000 | 12.720 | 468.957 | (34.868) | 0.892 | 5.858 | (28.118) | 440.839 |
| Q4 Cash Limit Adjustments | | | | | | | | | | | | | | | |
| LGR Innovation Fund Drawdown | | | | 0.057 | | | | | | 0.057 | | | | 0.000 | 0.057 |
| Innovation Fund Drawdown - People Management AD Salary | | | | 0.044 | | | | | | 0.044 | | | | 0.000 | 0.044 |
| Temporary Virement for Community Fridge | | | | 0.006 | (0.006) | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 JANUARY 2022 | 212.026 | 0.000 | 37.989 | 44.759 | 130.538 | 20.675 | 10.351 | 0.000 | 12.720 | 469.058 | (34.868) | 0.892 | 5.858 | (28.118) | 440.940 |
| LGR Reserve Drawdown Commissioning Team | | | | 0.078 | | | | | | 0.078 | | | | 0.000 | 0.078 |
| Transfer of Commissioning from Corp, Customer & Communities to People | 3.465 | | | (3.465) | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Social Prescribing Feasibility Study Grant | | | | | 0.099 | | | | | 0.099 | | | | 0.000 | 0.099 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 28 FEBRUARY 2022 | 215.491 | 0.000 | 37.989 | 41.372 | 130.637 | 20.675 | 10.351 | 0.000 | 12.720 | 469.235 | (34.868) | 0.892 | 5.858 | (28.118) | 441.117 |
| Infrastructure Planning EMR drawdown request | | | | | 0.024 | | | | | 0.024 | | | | 0.000 | 0.024 |
| Soulbury Pay Increase | 0.055 | | | | | | | | | 0.055 | | (0.055) | | (0.055) | 0.000 |
| Soulbury Pay Increase | (0.023) | | | | | | | | | (0.023) | | 0.023 | | 0.023 | 0.000 |
| Funding from Contingency for Fire staff time spent on PCC Business Case | | | | | | 0.059 | | | | 0.059 | | (0.059) | | (0.059) | 0.000 |
| NJC Pay Award 21/22 (1.75%) | 1.923 | | 0.094 | 0.462 | 0.546 | 0.034 | | | | 3.059 | | (3.059) | | (3.059) | 0.000 |
| Q4 Drawdown from the Capacity Reserve | | | | 0.324 | | | | | | 0.324 | | | | 0.000 | 0.324 |
| Sales Fees and Charges COVID Grant | | | | | | | | | 0.130 | 0.130 | | | | 0.000 | 0.130 |
| Service Centre EMR for Digital Transformation | | | | (0.200) | | | | | | (0.200) | | | | 0.000 | (0.200) |
| Ways to Welfare EMR Drawdown Reversal of CL0201 | | | | (0.022) | | | | | | (0.022) | | | | 0.000 | (0.022) |
| Tfr CNDR Interest to CNDR EMR | | | (1.149) | | | | | | | (1.149) | | | | 0.000 | (1.149) |
| Innovation Fund Drawdown - Social Worker recruitment Incentives | 0.011 | | | | | | | | | 0.011 | | | | 0.000 | 0.011 |
| EMR Drawdown Lead Local Flood Authority | | | | | 0.016 | | | | | 0.016 | | | | 0.000 | 0.016 |
| Return Unapplied Parking Surplus (CL0319) to EMR | | | | | (0.054) | | | | | (0.054) | | | | 0.000 | (0.054) |
| Adjustment to Sources of Finance for year end | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Chief Officer Pay award 21/22 (1.5%) | 0.018 | | 0.002 | 0.014 | 0.011 | | | | | 0.045 | | (0.045) | | (0.045) | 0.000 |
| Reversal of CL0807 Tfr back to LGR EMR | | | | (0.057) | | | | | | (0.057) | | | | 0.000 | (0.057) |
| Reversal of CL0910 Tfr back to LGR EMR | | | (0.047) | | | | | | | (0.047) | | | | 0.000 | (0.047) |
| Reversal of CL1001 Tfr back to LGR EMR | | | | (0.057) | | | | | | (0.057) | | | | 0.000 | (0.057) |
| Reversal of CL1101 Tfr back to LGR EMR | (0.078) | | | | | | | | | (0.078) | | | | 0.000 | (0.078) |
| Actuarial strain for Redundancy, Ill health & Flex Retirement (Jan to March) from Modernisation Reserve | 0.002 | | | 0.077 | | | | | 0.002 | 0.081 | | | | 0.000 | 0.081 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|---------|---------|---------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m |
| EMR Drawdown CEV Grant | | | | 0.608 | | | | | | 0.608 | | | | 0.000 | 0.608 |
| School In-Year Surplus Balances tfr to EMR | | (3.538) | | | | | | | | (3.538) | | | | 0.000 | (3.538) |
| Library Service for Schools Underspend to DSG Reserve | | | | (0.041) | | | | | | (0.041) | | | | 0.000 | (0.041) |
| Tfr to EMR - NHS Fire COVID Grant to be carried Forward 22/23 | | | | | | (0.041) | | | | (0.041) | | | | 0.000 | (0.041) |
| Tfr to EMR - Virtual Head Extension Unspent Grant @ 31.03.22 | (0.076) | | | | | | | | | (0.076) | | | | 0.000 | (0.076) |
| Tfr to EMR - WREP Grant Unspent @31.03.22 | (0.193) | | | | | | | | | (0.193) | | | | 0.000 | (0.193) |
| Tfr to EMR - School Improvement Monitoring & Bokering Grant - required to cover in year spend | (0.083) | | | | | | | | | (0.083) | | | | 0.000 | (0.083) |
| Innovation Fund Cfwd - Micro Enterprise (part return of CL0312) | (0.075) | | | | | | | | | (0.075) | | | | 0.000 | (0.075) |
| Transfer to EMR - Library book fund (part reversal of CL0315) | | | | (0.192) | | | | | | (0.192) | | | | 0.000 | (0.192) |
| Transfer to EMR - Library Culture & Arts Fund (£53k reversal of CL0316) | | | | (0.086) | | | | | | (0.086) | | | | 0.000 | (0.086) |
| Transfer to EMR - Library Furniture & Equipment (reverse CL0317) | | | | (0.036) | | | | | | (0.036) | | | | 0.000 | (0.036) |
| Transfer to EMR - Fire Pension Grant to be Carried Forward | | | | | | (0.098) | | | | (0.098) | | | | 0.000 | (0.098) |
| LGR Reserve Drawdown - Consultant Fees for Options Appraisals - <i>now reversed</i> | 0.020 | | | | | | | | | 0.020 | | | | 0.000 | 0.020 |
| <i>Reversal of Above CL adjustment</i> | (0.020) | | | | | | | | | (0.020) | | | | 0.000 | (0.020) |
| Contingency Drawdown for Manchester Arena Enquiry Legal Costs charged to Cumbria Fire by North West Fire Control | | | | | | 0.062 | | | | 0.062 | | | (0.062) | (0.062) | 0.000 |
| Contingency Drawdown for storm Arwen and Barra costs | | | | | 0.010 | 0.006 | 0.293 | | | 0.309 | | | (0.309) | (0.309) | 0.000 |
| Transfer of Corporate H&S due to DSG underspend | | | | (0.103) | | | | | | (0.103) | | | | 0.000 | (0.103) |
| Contingency Drawdown - Judicial Review costs incurred in Legal Services | | | | 0.087 | | | | | | 0.087 | | | (0.087) | (0.087) | 0.000 |
| Dedicated Schools Grant Draw down | 5.195 | | | | | | | | | 5.195 | | | | 0.000 | 5.195 |
| Domestic Violence tfr to EMR | (0.161) | | | | | | | | | (0.161) | | | | 0.000 | (0.161) |
| Transfer to EMR - Legal - children & Families | | | | (0.032) | | | | | | (0.032) | | | | 0.000 | (0.032) |
| Drawdown from Srengthening Short Term Capacity Reserve | 0.175 | | | | | | | | | 0.175 | | | | 0.000 | 0.175 |
| Transfer to Innovation Fund EMR Service Centre (part return of CL0313) | | | | (0.049) | | | | | | (0.049) | | | | 0.000 | (0.049) |
| Transfer to Innovation Fund EMR Think Family Team - (return of CL0601) | | | | (0.036) | | | | | | (0.036) | | | | 0.000 | (0.036) |
| Transfer to Innovation Fund EMR Advertising Space (Communication Team) - CL0610 | | | | (0.070) | | | | | | (0.070) | | | | 0.000 | (0.070) |
| Transfer to EMR - Reverse part of ICT EMR CL0324 | | | | (0.235) | | | | | | (0.235) | | | | 0.000 | (0.235) |
| Transfer to EMR - PM Learning & Development CPD | | | | (0.293) | | | | | | (0.293) | | | | 0.000 | (0.293) |
| Contingency Budget Drawdown - Backfill of AD Children & Young People whilst the AD is acting up as DCS | 0.028 | | | | | | | | | 0.028 | | | (0.028) | (0.028) | 0.000 |
| Tfr to EMR - PSS Discount CSLR | (0.045) | | | | | | | | | (0.045) | | | | 0.000 | (0.045) |
| CNDR Deferred Income | | | | | | | | | (0.529) | (0.529) | | | | 0.000 | (0.529) |
| Waste PFI Prepayment Decrease | | | | | 0.431 | | | | | 0.431 | | | | 0.000 | 0.431 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|---------|---------|---------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m |
| Tfr to EMR - Addition to Social Enterprise EMR - part reversal of CL0311 | | | | | (0.061) | | | | | (0.061) | | | | 0.000 | (0.061) |
| Increase Insurance Reserve | | | (5.034) | | | | | | | (5.034) | | | | 0.000 | (5.034) |
| Tfr to EMR - Addition to Social Enterprise EMR | | | | | (0.085) | | | | | (0.085) | | | | 0.000 | (0.085) |
| Tfr to EMR - Rail Partnerships EMR | | | | | (0.070) | | | | | (0.070) | | | | 0.000 | (0.070) |
| Tfr to EMR - Active Travel | (0.003) | | | | | | | | | (0.003) | | | | 0.000 | (0.003) |
| Tfr to EMR - Additional Drug Treatment | (0.150) | | | | | | | | | (0.150) | | | | 0.000 | (0.150) |
| Tfr to EMR - Adult Weight Management | (0.108) | | | | | | | | | (0.108) | | | | 0.000 | (0.108) |
| Tfr to EMR - ICT Improvement Fund | | | | (0.250) | | | | | | (0.250) | | | | 0.000 | (0.250) |
| EMR Drawdown - Emergency Active Travel Grant | | | | | 0.020 | | | | | 0.020 | | | | 0.000 | 0.020 |
| Tfr to EMR - Working Together (reversal of CL0303) | | | | | (0.009) | | | | | (0.009) | | | | 0.000 | (0.009) |
| Tfr to EMR - Additional Scheme Development | | | | | (0.019) | | | | | (0.019) | | | | 0.000 | (0.019) |
| Tfr to EMR - Scheme Development | | | | | (0.397) | | | | | (0.397) | | | | 0.000 | (0.397) |
| EMR Drawdown - PPA | | | | | 0.075 | | | | | 0.075 | | | | 0.000 | 0.075 |
| Tfr to EMR - A66 PPA | | | | | (0.075) | | | | | (0.075) | | | | 0.000 | (0.075) |
| Tfr to New EMR - CNDR Future Improvements | | | | | (0.405) | | | | | (0.405) | | | | 0.000 | (0.405) |
| Parton Landslip Investigation | | | | | 0.147 | | | | | 0.147 | | | (0.147) | (0.147) | 0.000 |
| Tfr to EMR - Brokerage Service Capacity (part return of CL0330) | (0.008) | | | | | | | | | (0.008) | | | | 0.000 | (0.008) |
| Tfr to EMR - Addition Cycling and Walking | | | | | (0.420) | | | | | (0.420) | | | | 0.000 | (0.420) |
| Tfr to EMR - Reversal if ICT EMR CL0324 HR Operations | | | | (0.086) | | | | | | (0.086) | | | | 0.000 | (0.086) |
| Tfr to Innovation Fund - Place & Enterprise (return part of CL0323) | | | | | (0.020) | | | | | (0.020) | | | | 0.000 | (0.020) |
| Tfr to EMR - Reversal of ICT Improvement Fund CL0324 | | | | (0.345) | | | | | | (0.345) | | | | 0.000 | (0.345) |
| Tfr to EMR - Supported Bus Services (FSBS) grant reversal of CL0305 | | | | | (0.381) | | | | | (0.381) | | | | 0.000 | (0.381) |
| Tfr to EMR - Unused Bus Capacity Grant | | | | | (0.329) | | | | | (0.329) | | | | 0.000 | (0.329) |
| Tfr to EMR - Troubled Families Grant | (0.412) | | | | | | | | | (0.412) | | | | 0.000 | (0.412) |
| Tfr to Innovation Fund - Decarbonisation - Reversal CL0329 | | | | | (0.037) | | | | | (0.037) | | | | 0.000 | (0.037) |
| Tfr to EMR - Waste - Performance Deductions - Hard Plastics | | | | | (0.629) | | | | | (0.629) | | | | 0.000 | (0.629) |
| Tfr to EMR - Capital Development (Part Reversal of CL0328) | | | | | (0.016) | | | | | (0.016) | | | | 0.000 | (0.016) |
| Tfr to New EMR - Decarbonisation | | | | | (0.340) | | | | | (0.340) | | | | 0.000 | (0.340) |
| Contingency Fund Drawdown - Public Enquiry Outcome Legal Costs | | | | | 0.146 | | | | | 0.146 | | | (0.146) | (0.146) | 0.000 |
| Tfr to EMR - Part Reversal of ICT Staffing EMR CL0324 | | | | (0.012) | | | | | | (0.012) | | | | 0.000 | (0.012) |
| Tfr to EMR - Public Health Reserve | (1.100) | | | | | | | | | (1.100) | | | | 0.000 | (1.100) |
| Tfr to EMR - Part Reverse ICT Operational Costs CL0324 | | | | (0.200) | | | | | | (0.200) | | | | 0.000 | (0.200) |
| Tfr to EMR - Commons Act 2006 - Unspent DEFRA Grant | | | | | (0.038) | | | | | (0.038) | | | | 0.000 | (0.038) |
| Tfr to EMR - ICT Infrastructure | | | | (0.094) | | | | | | (0.094) | | | | 0.000 | (0.094) |
| Tfr to EMR - CNDR Interest Appropriation from TM - <i>Now reversed</i> | | | (1.149) | | | | | | | (1.149) | | | | 0.000 | (1.149) |
| <i>Reversal of Above CL adjustment</i> | | | 1.149 | | | | | | | 1.149 | | | | 0.000 | 1.149 |
| Enerprise saving - See also Previous tfr CL0415 and reversal CL0902 | | | | | (0.355) | | | | 0.355 | 0.000 | | | | 0.000 | 0.000 |

| | People | Schools | Finance | Corporate, Customer & Community Services | Economy & Infrastructure | Fire & Rescue Services | Local Committees | Local Govt Review | Corporately Charged | Sub total - Service Exp | Depn Chgd to Services | Precepts Paid | Inflation & Cont. | Sub total - Other items | NET BUDGET excl Reserves |
|--|----------------|----------------|---------------|---|-----------------------------|---------------------------|------------------|-------------------|------------------------|----------------------------|--------------------------|------------------|----------------------|----------------------------|-----------------------------------|
| | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m | £m |
| Tfr to LGR Reserve - Reversal of CL0405 Local Government Review Drawdown | | | | (0.105) | | | | | | (0.105) | | | | 0.000 | (0.105) |
| Tfr to EMR - Local Committees Communitites Underspend to EMR 21/22 | | | | | | | | (1.255) | | (1.255) | | | | 0.000 | (1.255) |
| Tfr to EMR - Local Committees Highways Underspend to EMR 21/22 | | | | | | | | (0.093) | | (0.093) | | | | 0.000 | (0.093) |
| EMR Drawdown - CNDR | | | | | 0.929 | | | | | 0.929 | | | | 0.000 | 0.929 |
| EMR Dradown - Innovation Fund Occupye Phase 1 | | | | | 0.079 | | | | | 0.079 | | | | 0.000 | 0.079 |
| Reversal of CL0903 reTransfer of "Income Generation - Increase in advertising revenue (Roundabouts)" from E&I Highways to Corporately held Enterprise Saving | | | | | (0.100) | | | | 0.100 | 0.000 | | | | 0.000 | 0.000 |
| Tfr to EMR -Windermere Gateway | | | | | (0.020) | | | | | (0.020) | | | | 0.000 | (0.020) |
| Tfr to EMR - CMF Asset Maintenance | | | | | (0.620) | | | | | (0.620) | | | | 0.000 | (0.620) |
| Tfr to EMR - CMF | | | | | (0.473) | | | | | (0.473) | | | | 0.000 | (0.473) |
| Tfr to EMR - CMF Schools | | | | | (0.389) | | | | | (0.389) | | | | 0.000 | (0.389) |
| CYP COVID cost pressure funding from general COVID earmarked Reserve | 0.107 | | | | | | | | | 0.107 | | | | 0.000 | 0.107 |
| <i>Reversal of Above CL adjustment</i> | (0.107) | | | | | | | | | (0.107) | | | | 0.000 | (0.107) |
| Tfr to EMR - Covid Clinically Extremely Vulnerable Grant | | | | (1.294) | | | | | | (1.294) | | | | 0.000 | (1.294) |
| Tfr to EMR - Unspent Grant for COMF (pert return of CL0909) | (4.123) | | | | | | | | | (4.123) | | | | 0.000 | (4.123) |
| Transfer balance of income from from Districts and Spend to EMR | | | | | | | | (8.076) | | (8.076) | | | | 0.000 | (8.076) |
| IAG Savings met from Inflation | | | | | | | | | 0.181 | 0.181 | | | (0.181) | (0.181) | 0.000 |
| Transfer Unused Contingency to Strengthening Short Term Capacity Reserve | | | | | | | | | | 0.000 | | | (0.649) | (0.649) | (0.649) |
| Transfer to Inflation Risk Reserve | | | | | | | | | | 0.000 | | | (1.054) | (1.054) | (1.054) |
| Parton Landslip Costs (In addition to CL1265) | | | | | 0.069 | | | | | 0.069 | | | (0.069) | (0.069) | 0.000 |
| Revision to CL12101 | | | | | | | | | | 0.000 | | 0.069 | 0.069 | 0.069 | 0.069 |
| ENCTS Overspend | | | | | 0.288 | | | | | 0.288 | | | | 0.000 | 0.288 |
| New Reserve for Inter Agency Adoption Fees | (0.593) | | | | | | | | | (0.593) | | | | 0.000 | (0.593) |
| NNDR S31 General Grant - balancing budget with actual | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Pooled Business Rates - balancing budget with actual | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Retained Business Rates - balancing budget with actual | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| COVID Business Rates Relief Grant | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Local Audit Fees Grant | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Additional general Grants received | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| Tfr to Capital Reserve (21/22 Capital Financing) | | | | | | | | | (0.910) | (0.910) | | | | 0.000 | (0.910) |
| Tfr to Inflation Reserve | | | | | | | | | (4.500) | (4.500) | | | | 0.000 | (4.500) |
| Tfr to Financial Volatility Reserve | | | | | | | | | (1.849) | (1.849) | | | | 0.000 | (1.849) |
| Tfr to Cost of living Reserve | | | | | | | | | (2.000) | (2.000) | | | | 0.000 | (2.000) |
| | | | | | | | | | | 0.000 | | | | 0.000 | 0.000 |
| REVISED BUDGET AT 31 MARCH 2022 | 215.667 | (3.538) | 31.855 | 39.049 | 128.086 | 20.697 | 9.296 | (8.076) | 3.700 | 436.736 | (34.868) | 0.892 | (0.000) | (33.976) | 402.760 |

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Appendix 2 - Summary of Delivery on 2021-22 Savings

| Category | Title | Lead Directorate | 2021/22 £m | Current Forecast Saving | | | Progress Comment | Action being taken to ensure saving is delivered in 2022/23 |
|------------------------------------|---|--|-----------------|---------------------------------|-----------------|--|--|--|
| | | | | 2021/22 Saving Forecast Outturn | Saving Variance | RAG Rating (10% variance Amber, >10% variance red) | | |
| Demand | CLA Budget Pressures | People | (2.000) | (2.000) | 0.000 | Green | Saving met in full | |
| Demand | ENCTS reduction in demand | Economy & Infrastructure | (5.000) | (5.000) | 0.000 | Green | Saving met in full | |
| | | Subtotal | (7.000) | (7.000) | 0.000 | | | |
| Efficiency | Gas contract - saving from retender | Economy & Infrastructure | (0.100) | (0.100) | 0.000 | Green | Saving met in full | |
| Efficiency | Ernst Young automation work | Other Corporate Items | (0.200) | 0.000 | 0.200 | Red | Non-Delivery due to the number of processes in scope of automation reducing due to organisational capacity. The only processes being progressed are in Customer and Community Services and the Fire and Rescue Service. In-house team is being developed to deliver future savings opportunities. | |
| | | Subtotal | (0.300) | (0.100) | 0.200 | | | |
| Financing | Transport - permanent inclusion of grant | Economy & Infrastructure | (0.453) | (0.453) | 0.000 | Green | Saving met in full | |
| Financing | Highways Replacement Reserve - revenue contribution | Economy & Infrastructure | (0.475) | (0.475) | 0.000 | Green | Saving met in full | |
| Financing | Review of 20/21 inflation provision | Other Corporate Items | (4.897) | (4.897) | 0.000 | Green | Saving met in full | |
| Financing | Remove 1% Brexit related salary inflation for 2021/22 only | Other Corporate Items | (2.000) | (2.000) | 0.000 | Green | Saving met in full | |
| Financing | Remove 1% Brexit related contracts inflation for 2021/22 only | Other Corporate Items | (2.500) | (2.500) | 0.000 | Green | Saving met in full | |
| Financing | Treasury Management Review of MRP and Interest (Net) | Other Corporate Items | (0.042) | (0.042) | 0.000 | Green | Saving met in full | |
| | | Subtotal | (10.367) | (10.367) | 0.000 | | | |
| | | TOTAL OF NEW MTFP SAVINGS | (17.667) | (17.467) | 0.200 | 98.9% | | |
| Step up in Existing Savings | Review of commissioning, procurement and management of activities in Children and Families services. | People | (1.000) | (0.205) | 0.795 | Red | A step up in savings linked to the Children's Quality & Development Plan in 2020/21 (intended as mitigations against this MTFP saving in Children & Young People) did not happen due to changes in placements, meaning this is now reported as a pressure during 2021/22. Linked to the pressure reported against saving U3, £0.136m. | Non-Delivery in 2022/23. Funded as part of Strat Planning. |
| Step up in Existing Savings | Reduction in employer pensions costs reflecting forecast staffing levels. | Fire | (0.030) | (0.030) | 0.000 | Green | Saving met in full | |
| Step up in Existing Savings | Income Generation from Enterprise Projects | Other Corporate Items | (0.500) | (0.500) | 0.000 | Green | Saving met in full | |
| Step up in Existing Savings | Extra Care Housing | People | (0.064) | 0.000 | 0.064 | Red | Savings may be achieved where placements are made as an alternative to residential care. The saving requires 38 placements in extra care at 21 hours per week as an alternative to residential care with additional shared night support. The Newton Road scheme in Penrith opened in July, CCC has 54 nominations. The forecast assumes 20 new long-term placements as an alternative to residential care for an average of 6 months saving £0.120m. This leaves a pressure of £0.306m, which is split between Ref E4 and U4 below. | Non Delivery in 2022/23. Funded as part of Strat Planning. (but note U4 pressure, below) |
| | | TOTAL STEP-UP OF EXISTING SCHEMES | (1.594) | (0.735) | 0.859 | | | |
| Step up in Existing Savings | Above inflationary increases to recover administration costs for deferred payments. | People | (0.013) | (0.013) | 0.000 | Green | Savings met through charges being raised as per plan. | |
| Step up in Existing Savings | Service Redesign - Residential Care - Children's Investment in 2 x 4 bed residential care provision for Children Looked After | People | (0.190) | (0.190) | 0.000 | Green | With the opening of the Penrith Children's residential home, it is anticipated savings will be fully delivered against this line. There will be a balance of pressure on the whole scheme for the two homes (that had total savings of £0.330m), with a pressure of £0.070m due to the delay in the opening of the Leaving Care flats, linked to COVID delays. See line U6 for this pressure. | |
| Step up in Existing Savings | Review of commissioning, procurement and management of activities in Children and Families services. | People | (0.136) | 0.000 | 0.136 | Red | A step up in savings linked to the Children's Quality & Development Plan in 2020/21 (intended as mitigations against this MTFP saving in Children & Young People) did not happen due to changes in placements, meaning this is now reported as a pressure during 2021/22. Linked to the pressure reported against saving E1, £0.795m. | Non Delivery in 2022/23. Funded as part of Strat Planning. |
| Step up in Existing Savings | Extra Care Housing | People | (0.346) | (0.078) | 0.268 | Red | See Ref E4 above. | Non Delivery in 2022/23. Part funded as part of Strat Planning. The pressure of £0.226m has now slipped to £0.306m at Q3. Only £0.226m of this is funded in 2022/23, leading to a net pressure of £0.080m against this saving in 2022/23. Pressures are difficult to achieve as cost pressures are increasing across Extra Care settings. New schemes in 2022/23 would support delivery of the remainder of this saving. |
| Previous partly-undelivered saving | Invest in international recruitment of social workers | People | (0.050) | (0.050) | 0.000 | Green | The original proposal was to recruit social workers from abroad to reduce EPW costs. Part of the savings have been achieved previous years through local recruitment as international recruitment was not achieved. It is anticipated that EPW costs will reduce as COVID pressures ease, delivering the remainder of mitigations through local recruitment, in line with the ongoing recruitment drive for social workers. | |

Appendix 2 - Summary of Delivery on 2021-22 Savings

| Category | Title | Lead Directorate | 2021/22 £m | Current Forecast Saving | | | Progress Comment | Action being taken to ensure saving is delivered in 2022/23 |
|---|--|---|-----------------|---------------------------------|-----------------|--|--|--|
| | | | | 2021/22 Saving Forecast Outturn | Saving Variance | RAG Rating (10% variance Amber, >10% variance red) | | |
| Previous partly-undelivered saving | Children's Residential Homes | People | (0.140) | (0.070) | 0.070 | Red | There has been a slight delay in the opening of the Leaving Care flats at the Penrith Children's Home. As such, current assumptions are that there will be a temporary slight shortfall in savings. | The Leaving Care flats have now opened so the full year effect of all the provisions being available during 2022/23 should deliver the remainder of savings. |
| Previous partly-undelivered saving | Day Care Service Review | People | (1.015) | (1.015) | 0.000 | Green | Saving to be met in full | |
| Previous partly-undelivered saving | Promoting Independence - Adults | People | (4.868) | (3.768) | 1.100 | Red | The plan to deliver the remaining balance of Promoting Independence savings was as follows: - £3.033m of prior year write offs temporarily reducing savings delivery in 2020/21. In 2021/22 the gross savings are now reported and shown as delivered. - £1.445m remaining savings will be delivered through the completion of outstanding cases and the balance of any permanent reductions in high cost packages. - £0.390m phase 2, embedding the learning from phase 1 of review work There has been a delay in delivery due to the ongoing pandemic affecting health partners, and the service supporting the care sector in the ongoing challenges faced. | The remaining pressure of £1.100m is anticipated to be delivered in 2022/23 through the remaining Ordinary Residence and Continuing Helathcare claims which will now be processed next year. |
| Previous partly-undelivered saving | Working with partners to ensure appropriate funding of costs by relevant partners is recognised to ensure a joined up approach to service provision. | People | (0.125) | 0.000 | 0.125 | Red | Recharges to the CCGs for 100% health task Generic Domiciliary Care packages, will not be progressed in this year. The service has seen significant growth during the COVID pandemic as a key tool in the Discharge to Assess process. Proposals are in place to end new admissions to service with cases to be reviewed into 2022/23. | Cases to be reviewed and charges to be progressed in 2022/23. |
| Previous partly-undelivered saving | Car Park Income | Economy & Infrastructure | (0.050) | (0.050) | 0.000 | Green | Saving met in full | |
| Previous partly-undelivered saving | Integrated Transport Planning function | Economy & Infrastructure | (1.000) | (0.500) | 0.500 | Red | This is a Council wide saving which requires 6 key changes to deliver the saving in full, this includes the establishment of a core operational transport management functionality to plan and manage transport across the County, supply chain intervention in relation to SEND transport, Logistics and Warehousing and reduction in costs associated with staff travel. To date the supply chain intervention key change has been progressed. Savings have been achieved through this, but they are currently mitigating the SEND transport overspend. The saving is forecast to be temporarily part met by a reduction in grey mileage as a consequence of COVID new ways of working. Work continues to meet in full. Including £0.240 reduction in SEND transport, this is currently offsetting an increase in SEND Costs. The £0.500m delivered in 2021/22 was on a one-off basis and therefore permanent delivery is required in 2022/23. | A proposal to reduce all service grey mileage budgets on a permanent basis by £500k has been approved in principle by the Executive Directors. This is being progressing ahead of 2022/23. This needs to be agreed prior to the year end by the Financial Challenge Group. £350k of the remaining £500k has been met through school transport retendering but is offsetting pressures in SEND transport - therefore has not delivered a cashable saving. To help meet the remaining a consultant has been appointed to review our transport policies, route optimisation of school and SEND Transport to establish if additional savings can be made on procurement. |
| Previous partly-undelivered saving | Customer Service Transformation | Corporate Customer and Community Services | (0.273) | 0.000 | 0.273 | Red | No delivery plan currently in place to deliver this saving. | This Savings was included in the 2022/23 budget approved by Council on 10 February 2022 as a pressure and has been mitigated, therefore delivery is not required in 2022/23. |
| Previous partly-undelivered saving | Digital Transformation | Corporate Customer and Community Services | (0.800) | (0.177) | 0.623 | Red | Delays to the delivery of SPA saving as a result of the transfer of the service, into the service centre. Potential for saving opportunities to be delivered are being explored. Brokerage element of the £0.450m saving has been identified as undeliverable as a result of increased demand on the service. | £0.450m of this saving was included in the 2022/23 budget approved by Council on 10 February 2022 as a pressure and has been mitigated, therefore delivery is not required in 2022/23. The remaining £0.173m is being offset by Directorate Underspends in 2021/22. Given the work ongoing around LGR it is unlikely that any transformation work will result in the achievement of this saving. This will be identified as a budget pressure in 2022/23. |
| Step up in Existing Savings | Income Generation - Increase in advertising revenue | Economy & Infrastructure | (0.070) | (0.070) | 0.000 | Green | Saving met in full on a one-off basis. | |
| Previous partly-undelivered saving | Information, Advice & Guidance | Other Corporate Items | (0.291) | 0.000 | 0.291 | Red | Cannot be achieved as originally intended, reported in 2019/20 and 2020/21. | £0.110m of this saving was included in the 2022/23 budget approved by Council on 10 February 2022 as a pressure and has been mitigated, therefore delivery is not required in 2022/23. There is currently no plan in place to delivery the remaining £0.181m and therefore there is a risk around this for 2022/23. |
| Previous partly-undelivered saving | Deep Dive service reviews | Other Corporate Items | (1.390) | (0.181) | 1.209 | Red | Cannot be achieved as originally intended, reported in 2019/20 and 2020/21. | This Savings was included in the 2022/23 budget approved by Council on 10 February 2022 as a pressure and has been mitigated, therefore delivery is not required in 2022/23. |
| TOTAL PREVIOUS YEARS UNDELIVERED SAVINGS | | | (10.757) | (6.162) | 4.595 | | | |
| TOTAL SAVINGS TO BE DELIVERED | | | (30.018) | (24.364) | 5.654 | | | |

| SUMMARY OF EARMARKED RESERVES | | | | | | | | | |
|--|---|--------------------|---|---|----------------------------|----------------------------|-------------------------|-----------------------|--------------------|
| As at 31st March 2022 | | | | | | | | | |
| Description | Comment | Balance at 31/3/21 | Budgeted appropriations to reserves 2021/22 | Budgeted appropriations from reserves 2021/22 | Budgeted Balance at 1/4/21 | Transfers Between Reserves | Transfers from reserves | Transfers to Reserves | Balance at 31/3/22 |
| | | £m | £m | £m | £m | £m | £m | £m | £m |
| RING FENCED EARMARKED RESERVES: | | | | | | | | | |
| REVENUE GRANTS & CONTRIBUTIONS RESERVES | | | | | | | | | |
| CNDR Grant in Advance | PFI Grant received in year but not spent in that year | (20.584) | (0.366) | 0 | (20.950) | 0 | 0.929 | (1.678) | (21.699) |
| Fire PFI Reserve | | (1.758) | (0.078) | 0 | (1.836) | 0 | 0 | 0 | (1.836) |
| Waste Contract (Shanks Unitary Charge) | To smooth variation in contract payments between years | (0.939) | 0 | 0 | (0.939) | 0 | 0.431 | 0 | (0.508) |
| Directorate Revenue Grants in advance | | (29.540) | (13.836) | 0 | (43.376) | 0 | 29.920 | (10.164) | (23.620) |
| TOTAL REVENUE GRANTS RESERVES | Grant received in year with no conditions but not spent in that year | (52.821) | (14.280) | 0 | (67.101) | 0 | 31.280 | (11.842) | (47.663) |
| CAPITAL FUND - Earmarked Revenue Reserves for Capital Purposes | | (1.546) | 0 | 0 | (1.546) | 0 | 0 | (0.910) | (2.456) |
| LONG TERM INVESTMENT RESERVE | | (2.813) | 0 | 0 | (2.813) | 0 | 0.307 | 0 | (2.506) |
| INSURANCE RESERVE | To meet unquantified insurance claims and future insurance losses | (9.851) | 0 | 0 | (9.851) | 0 | 0 | (5.034) | (14.885) |
| TOTAL RING FENCED EARMARKED RESERVES | | (67.031) | (14.280) | 0 | (81.311) | 0 | 31.587 | (17.786) | (67.510) |
| OTHER RESERVES: | | | | | | | | | |
| CENTRALLY HELD RESERVES | | | | | | | | | |
| Elections | Built up to meet the cost of Council elections every four years (election May 2022) | (0.790) | (0.230) | 0 | (1.020) | 1.020 | 0 | 0 | 0 |
| Highways Fleet Replacement | | 0 | (0.875) | 0 | (0.875) | 0 | 0 | 0 | (0.875) |
| Local Government Review | | 0 | (3.000) | 0 | (3.000) | (5.750) | 0 | (8.786) | (17.536) |
| Transformation and Innovation Fund | | (5.674) | 0 | 0.345 | (5.329) | 5.324 | 1.221 | (2.500) | (1.284) |
| Volatility Reserve | | (4.092) | 0 | 0.359 | (3.733) | 0 | 0.051 | (0.115) | (3.797) |
| Inflation Risk Reserve | | 0 | 0 | 0 | 0 | 0 | 0 | (5.554) | (5.554) |
| Financial Volatility Reserve | | (15.960) | 0 | 0 | (15.960) | 0 | 0.288 | (3.507) | (19.179) |
| Strengthening Short-Term Capacity | | (3.060) | 0 | 0 | (3.060) | (0.594) | 1.711 | (0.580) | (2.523) |
| CENTRALLY HELD RESERVES | | (29.576) | (4.105) | 0.704 | (32.977) | 0 | 3.271 | (21.042) | (50.748) |
| MODERNISATION RESERVE | To meet one-off costs of re-shaping the Council including potential redundancy and actuarial strain costs. The balance is expected to be adequate but is fully committed for planning purposes re MTFP. | (4.867) | 0 | 0 | (4.867) | 0 | 1.070 | 0 | (3.797) |
| DIRECTORATE RESERVES | | (6.477) | 0 | 0 | (6.477) | 0 | 1.963 | (11.675) | (16.189) |
| TOTAL OTHER EARMARKED RESERVES | | (40.920) | (4.105) | 0.704 | (44.321) | 0 | 6.304 | (32.717) | (70.734) |
| TOTAL REVENUE EARMARKED RESERVES (EXCLUDING DSG) | | (107.951) | (18.385) | 0.704 | (125.632) | 0 | 37.891 | (50.503) | (138.244) |
| DSG FUNDED RESERVES | | | | | | | | | |
| Delegated Funds - Schools | Accumulated funds held by schools solely for schools' use in accordance with legislation. Projected balances are based on forecasts provided by schools. | (8.477) | 0 | 0 | (8.477) | 0 | 0 | (3.552) | (12.029) |
| Central HQ Budgets - DSG | Balance from Dedicated Schools Grant which is ring fenced for schools purposes. Considered by Schools Forum as part of the budget consultation. | 9.641 | 0 | 0 | 9.641 | 0 | 5.195 | (0.144) | 14.692 |
| DSG FUNDED RESERVES | | 1.164 | 0 | 0 | 1.164 | 0 | 5.195 | (3.696) | 2.663 |
| TOTAL REVENUE EARMARKED RESERVES | | (106.787) | (18.385) | 0.704 | (124.468) | 0 | 43.086 | (54.199) | (135.581) |
| Movement in total reserves | | | | (17.681) | | | (11.113) | | |
| | | | | | 28.794 | | | | |

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APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|--|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|----------------|-------------------------|---------------|--------------|--------------|--------------|--------------|---------------|---|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | £000 | |
| People Directorate - Childrens | | | | | | | | | | | | | | | | | |
| Prioritised Capital Maintenance Projects/Schools Maintenance | 8,475 | (1,334) | 0 | 0 | 7,141 | 7,196 | 55 | | | 55 | 5,700 | 2,700 | 2,700 | 2,700 | 2,700 | 23,641 | Financed by grant and borrowing Small outturn overspend in 2021/22, requiring acceleration of budget from 2022/23 2022/23 profiling to be reviewed. The higher than usual profile for 2022/23 is a result of COVID-19 causing delays in previous years. Much of this delayed work is already scoped and ready for delivery, meaning there is potential to deliver those alongside work initially planned for 2022/23, as these now require less input from the team, this will allow multiple schemes to be delivered on the ground at the same time to catch-up with the delayed work, there may still be a requirement to re-profiling some of this budget across future years. This will be reported as part of Q1 monitoring. |
| Devolved Formula Capital 14/15 | 0 | 7 | 0 | 0 | 7 | 0 | (7) | | | (7) | 0 | 0 | 0 | 0 | 0 | 7 | Financed by grant. The programme of work is demand led by the individual school |
| Devolved Formula Capital 15/16 | 0 | 86 | 0 | 0 | 86 | 1 | (85) | | | (85) | 0 | 0 | 0 | 0 | 0 | 86 | the funding is received for. The budget is drawn down once the school provides |
| Devolved Formula Capital 16/17 | 0 | 23 | 0 | 0 | 23 | 10 | (13) | | | (13) | 0 | 0 | 0 | 0 | 0 | 23 | an application for qualifying capital works, of the schools choosing. Schools have |
| Devolved Formula Capital 17/18 | 0 | 106 | 0 | 0 | 106 | 36 | (70) | | | (70) | 0 | 0 | 0 | 0 | 0 | 106 | yet to draw down the remaining funding which requires the budget to be slipped |
| Devolved Formula Capital 18/19 | 0 | 912 | 0 | 0 | 912 | 678 | (234) | | | (234) | 0 | 0 | 0 | 0 | 0 | 912 | into futures years so that this remains available for its approved purpose |
| Devolved Formula Capital 19/20 | 0 | 684 | 0 | 0 | 684 | 381 | (303) | | | (303) | 0 | 0 | 0 | 0 | 0 | 684 | The exact timing of expenditure was not know at Q3 and the forecast assumed all |
| Devolved Formula Capital 20/21 | 0 | 983 | 0 | 0 | 983 | 315 | (668) | | | (668) | 0 | 0 | 0 | 0 | 0 | 983 | on-going works being undertaken by the school would be complete by the end of |
| Devolved Formula Capital 21/22 | 0 | 1,147 | 0 | 0 | 1,147 | 269 | (878) | | | (878) | 0 | 0 | 0 | 0 | 0 | 1,147 | the year, this has not been the case meaning additional slippage is being reported |
| North Carlisle Primary School - Design | 0 | 500 | 0 | 0 | 500 | 0 | (500) | | | (500) | 0 | 0 | 0 | 0 | 0 | 500 | Financed by external contribution. Until discussion with the s106 developer are |
| | | | | | | | | | | | | | | | | | finalised, this scheme cannot progress. Discussions are on-going and it is |
| | | | | | | | | | | | | | | | | | expected that these will be finalised during 2022/23. No change since Q3 |
| Inclusion Strategy | 6,437 | (2,437) | 0 | 0 | 4,000 | 1,145 | (2,855) | | | (2,855) | 8,085 | 0 | 0 | 0 | 0 | 12,085 | Financed by grant and borrowing. The planning process for a Carlisle based |
| | | | | | | | | | | | | | | | | | scheme experienced delays that were not anticipated at Q3, combined with |
| | | | | | | | | | | | | | | | | | contractors experiencing supply chain issues that had not been factored into the |
| | | | | | | | | | | | | | | | | | initial programme of work. This has resulted in the scheme being 3 months behind |
| | | | | | | | | | | | | | | | | | schedule but will be delivered -and the outputs realised- during 2022/23 |
| | | | | | | | | | | | | | | | | | 2022/23 profiling to be reviewed. There is commitments against this scheme for |
| | | | | | | | | | | | | | | | | | AP hub work that is underway, with the remaining budget being subject to further |
| | | | | | | | | | | | | | | | | | scheme development work and may require re-profiling across future years. This |
| | | | | | | | | | | | | | | | | | will be reported as part of Q1 monitoring. |
| High Needs Provision | 0 | 253 | 0 | 0 | 253 | 5 | (248) | | | (248) | 3,172 | 3,665 | 0 | 0 | 0 | 7,090 | Financed by grant. Profile of spend was not certain when budget was approved, |
| | | | | | | | | | | | | | | | | | the budget remaining unspent will be slipped to 2022/23 to allow the works to be |
| | | | | | | | | | | | | | | | | | undertaken |
| Basic Need schemes | 1,305 | (805) | 0 | 0 | 500 | 651 | 151 | | | 151 | 2,344 | 934 | 0 | 0 | 0 | 3,778 | Financed by grant. Profile of spend was not certain when the budget was |
| | | | | | | | | | | | | | | | | | approved, the actual position has required some of the budget to be accelerated |
| | | | | | | | | | | | | | | | | | from 2022/23 |
| SEND facilities | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | 650 | 0 | 0 | 0 | 0 | 650 | N/A |
| Basic Need schemes - Pennine Way 3 classrooms | 600 | (300) | 0 | 0 | 300 | 241 | (59) | | | (59) | 1,200 | 0 | 0 | 0 | 0 | 1,500 | Financed by grant. The project has been delayed due to issues on site with the |
| | | | | | | | | | | | | | | | | | relocation of the service mains and the availability of supplies. The work is now |
| | | | | | | | | | | | | | | | | | progressing, and the main contract has commenced and work has progressed |
| | | | | | | | | | | | | | | | | | ahead of the Q3 projection, resulting in a reduction of the slippage to 2022/23 |
| Early Education for two year olds | 244 | (204) | 0 | 0 | 40 | 10 | (30) | | | (30) | 203 | 0 | 0 | 0 | 0 | 243 | Financed by Revenue Contribution. Minor slippage not reported at Q3 |
| Residential Provision for Children & Young People | 0 | 91 | 0 | 0 | 91 | 114 | 23 | | | 23 | 332 | 0 | 0 | 0 | 0 | 423 | Financed by Borrowing. Small overspend requiring acceleration of budget from |
| | | | | | | | | | | | | | | | | | 2022/23 |
| Campus Whitehaven | 0 | 113 | 0 | 0 | 113 | 113 | 0 | | | | 0 | 0 | 0 | 0 | 0 | 113 | N/A |
| VA Schools Capital Projects | 0 | 0 | 0 | 304 | 304 | 304 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 | 304 | N/A |
| Rural Gigabit Connectivity Programme | 0 | 197 | 0 | 0 | 197 | 168 | (29) | | | (29) | 0 | 0 | 0 | 0 | 0 | 197 | Financed by grant. Minor slippage not reported at Q3. Demand led and timing is |
| | | | | | | | | | | | | | | | | | dependant on claims made by individual schools. At Q3 it was assumed that all |
| | | | | | | | | | | | | | | | | | claims would be settled by the 31 March 2022, however, there are some minor |
| | | | | | | | | | | | | | | | | | payments remaining and it is expected that these will now be finalised during |
| | | | | | | | | | | | | | | | | | 2022/23 |
| Healthy Pupil Capital Fund | 0 | 2 | 0 | 0 | 2 | 0 | (2) | | | (2) | 0 | 0 | 0 | 0 | 0 | 2 | Financed by grant. Only a nominal budget remains as a result of a small |
| | | | | | | | | | | | | | | | | | underspend being carried over from previous years. The budget is not required |
| | | | | | | | | | | | | | | | | | and will be removed from the Capital Programme. The grant will be held and |
| | | | | | | | | | | | | | | | | | reviewed for any pay-back clauses, if this does not need to be returned to the |
| | | | | | | | | | | | | | | | | | funder it will remain available to finance future capital programmes |
| TOTAL FOR PEOPLE - CHILDRENS | 17,061 | 24 | 0 | 304 | 17,389 | 11,636 | (5,753) | (2) | (5,980) | 229 | 21,686 | 7,299 | 2,700 | 2,700 | 2,700 | 54,474 | |
| People Directorate - Adults | | | | | | | | | | | | | | | | | |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|---|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|--------------|-------------------------|---------------|--------------|--------------|--------------|------------|---------------|--|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| Extra Care Housing / Accommodation with Care & Support- available for new schemes | 2,652 | (2,119) | 0 | 0 | 533 | 639 | 106 | | | 106 | 3,151 | 0 | 0 | 0 | 0 | 3,684 | Financed by grant and borrowing. Small acceleration of budget required from 2022/23 2022/23 profiling to be reviewed. Some of the expenditure currently profiled to be spent in 2022/23 is subject to further scheme development work and may require re-profiling across future years. This will be reported as part of Q1 monitoring. |
| Reprovision of Cavendish House respite facility | 495 | 0 | 0 | 0 | 495 | 0 | (495) | (495) | | | 0 | 0 | 0 | 0 | 0 | 495 | Financed by borrowing. No further work is required and the remaining budget is no longer required. The approved Prudential Borrowing will be added to the existing approved, but unallocated, Prudential Borrowing balance for re-allocation to future capital programme schemes |
| Chronically Sick and Disabled Persons Adaptations | 250 | 50 | 0 | 2,201 | 2,501 | 2,665 | 164 | | | 164 | 3,244 | 250 | 250 | 250 | 250 | 6,745 | Financed by borrowing and capital receipts, the budget is for adaptations to private dwellings. Expenditure is demand led and has been higher than the approved budget due to a combination of increased demand and unit costs. This is being reviewed to identify whether this will be replicated in future years. Any impact on the future years budget will be reported as part of Q1 monitoring. Community Equipment provided by Cumbria Care's Community Equipment Service previously reported as revenue expenditure has also been added to this budget as part of the outturn. This will be financed by existing approved, but previously unallocated, Prudential Borrowing |
| Cumbria Care Residential | 2,000 | (1,000) | 0 | 0 | 1,000 | 26 | (974) | (974) | | | 5,000 | 2,000 | 2,000 | 2,000 | 0 | 12,000 | Financed by borrowing. The slippage reflects a delay in works at Eskdale House and Parkside care homes. Work has commenced on these schemes, but this was later than had been anticipated at Q3 due to the impact of COVID19 and the homes being in lockdown conditions, delays have been reprogrammed with the service and new completion dates agreed 2022/23 profiling is to be reviewed. Some of the budget is committed to approved scheme, but the remaining budget is subject to further scheme development work and may require re-profiling across future years. The capital programme team are supporting Cumbria Care to develop/review scheme options. The impact of this work on the budget profile will be reported as part of the Q1 monitoring. |
| Changing Places | 53 | (53) | 0 | 0 | 0 | 0 | 0 | | | | 0 | 0 | 0 | 0 | 0 | 0 | N/A |
| TOTAL FOR PEOPLE - ADULTS | 5,450 | (3,122) | 0 | 2,201 | 4,529 | 3,330 | (1,199) | (495) | (974) | 270 | 11,395 | 2,250 | 2,250 | 2,250 | 250 | 22,924 | |
| Economy and Infrastructure | | | | | | | | | | | | | | | | | |
| Highways | | | | | | | | | | | | | | | | | |
| Engineering Safety Studies and implementation measures | 300 | 150 | 0 | 0 | 450 | 364 | (86) | (86) | | | 300 | 300 | 300 | 300 | 300 | 1,950 | Financed by grant. It was noted at Q3 that the forecast Q4 expenditure related to speed camera systems. The procurement of these systems is underway but was not complete by 31 March 2022. This has resulted in budget slippage into 2022/23, when delivery is now expected. This scheme can be delivered alongside work currently scheduled for 2022/23 and will therefore have no impact on the 2022/23 profile |
| Infrastructure Deficit Support to District Councils | 385 | 26 | 0 | 75 | 486 | 593 | 107 | (0) | | 107 | 0 | 0 | 0 | 0 | 0 | 486 | Financed by grant. A number of schemes have progressed ahead of the schedule forecast at Q3, resulting in acceleration of budget that had been allocated to these schemes in 22/23. Additional funding has also been received from Barrow Town Deal for expenditure incurred during 2021/22 in relation to the Barrow cycling and walking project, this has now been added to the budget as part of the outturn. As per the budget report presented to Council in February 2022, future years IDS schemes will be reported as part of the Major Projects line. |
| Countryside Access Improvements | 100 | 70 | 0 | 40 | 210 | 210 | 0 | | | | 100 | 100 | 100 | 100 | 100 | 710 | Financed by external grant/contribution and internal revenue contributions. Additional expenditure was incurred during 2021/22, but additional external financing has been applied to fully off-set this expenditure, meaning there is no impact on Council resources |
| Traffic signals and Network traffic systems | 190 | (9) | 0 | 0 | 181 | 181 | 0 | 0 | | | 190 | 190 | 190 | 190 | 190 | 1,131 | N/A |
| Total ITB schemes | 975 | 237 | 0 | 115 | 1,327 | 1,348 | 21 | (0) | (86) | 107 | 590 | 590 | 590 | 590 | 590 | 4,277 | |
| Highways & Transport - Principal Road Network (PRN) Schemes | 7,261 | 763 | 0 | 0 | 8,024 | 7,120 | (904) | (904) | | | 7,261 | 7,261 | 7,261 | 4,165 | 4,165 | 38,137 | Financed by grant. The Q3 report noted potential for further slippage on internal schemes if the team needed to respond to severe weather / storm works during Q4, as emergency situations such as this requires resources to be deployed as a priority instead of delivering previously programmed works. This was the case and is the reason for most of the increased slippage being reported. The delayed work will be completed early in 2022/23 with some of that work having already been completed in April. The impact of this on the delivery of already scheduled work for 2022/23 will be reviewed and reported as part of the Q1 budget monitoring report. |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|--|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|----------------|-------------------------|---------------|---------------|---------------|---------------|---------------|----------------|--|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| Highways & Transport - Bridges & Structures | 4,006 | 776 | 0 | 0 | 4,782 | 3,796 | (986) | | (986) | | 4,006 | 4,006 | 4,006 | 2,293 | 2,293 | 21,386 | Financed by grant. The Q3 report noted potential for further slippage on internal schemes if the team needed to respond to severe weather / storm works during Q4, as emergency situations such as this requires resources to be deployed as a priority instead of delivering previously programmed works. This was the case and is the reason for most of the increased the slippage being reported. The delayed work will be completed early in 2022/23 with some of that work having already been completed in April The impact of this on the delivery of already scheduled work for 2022/23 will be reviewed and reported as part of the Q1 budget monitoring report |
| Local Committee Schemes: | | | | | | | | | | | | | | | | | |
| Devolved to Local Committees - Non-Principal Road Network (NPRN) | 13,918 | 1,382 | 0 | 0 | 15,300 | 14,892 | (408) | | (408) | | 13,918 | 13,918 | 13,918 | 13,918 | 13,918 | 84,890 | Financed by grant. The Q3 report noted potential for further slippage on internal schemes if the team needed to respond to severe weather / storm works during Q4, as emergency situations such as this requires resources to be deployed as a priority instead of delivering previously programmed works. This was the case and is the reason for most of the increased the slippage being reported. The delayed work will be completed early in 2022/23 with some of that work having already been completed in April. The impact of this on the delivery of already scheduled work for 2022/23 will be reviewed and reported as part of the Q1 budget monitoring report. |
| Additional Pot Hole Action funding | 10,219 | 1,561 | 0 | 0 | 11,780 | 11,470 | (310) | | (310) | | 10,219 | 10,219 | 10,219 | 0 | 0 | 42,437 | Financed by grant. The Q3 report noted potential for further slippage on internal schemes if the team needed to respond to severe weather / storm works during Q4, as emergency situations such as this requires resources to be deployed as a priority instead of delivering previously programmed works. This was the case and is the reason for most of the increased the slippage being reported. The delayed work will be completed early in 2022/23 with some of that work having already been completed in April The impact of this on the delivery of already scheduled work for 2022/23 will be reviewed and reported as part of the Q1 budget monitoring report |
| Highways Incentive Fund - Strategic Asset Maintenance Fund | 155 | 725 | 0 | 0 | 880 | 772 | (108) | | (108) | | 0 | 0 | 0 | 0 | 0 | 880 | Financed by grant. Slippage was reported at Q3. The final position shows some recovery of the budgeted profile of expenditure since Q3 but still requires some slippage to 2022/23 to allow the on-going defects process to be finalised. |
| Other | | | | | | | | | | | | | | | | | |
| DfT funded Flood Recovery Scheme - Challenge Fund | 2,165 | 2,385 | 0 | 0 | 4,550 | 2,608 | (1,942) | | (1,942) | | 0 | 0 | 0 | 0 | 0 | 4,550 | Financed by a grant. Slippage was reported at Q3. Final position shows some recovery of the profiled budget position, but still requires some slippage. Schemes are planned for delivery in 2022/23. |
| Total Transport Block funding | 38,699 | 7,829 | 0 | 115 | 46,643 | 42,005 | (4,638) | (0) | (4,744) | 107 | 35,994 | 35,994 | 35,994 | 20,966 | 20,966 | 196,557 | |
| Other Highways Schemes | | | | | | | | | | | | | | | | | |
| Carlisle Southern Link Road (CSLR) | 20,804 | (8,619) | 0 | 0 | 12,185 | 10,643 | (1,542) | | (1,542) | | 67,020 | 51,381 | 5,978 | 984 | 0 | 137,548 | Financed by grant and borrowing. Slippage is the result of statutory diversion works being re-schedule to 2022/23, to allow additional time to undertake the required processes with landowners which has taken longer than originally anticipated at Q3 2022/23 profiling is to be reviewed and will be reported as part of Q1 monitoring. |
| Penrith Junction Improvements | 150 | (30) | 0 | 0 | 120 | 92 | (28) | | (28) | | 30 | 0 | 0 | 0 | 0 | 150 | Financed by external Contribution. Minor slippage not reported at Q3, works are substantially complete and slippage relates to some small final elements only |
| Active Travel Fund Barrow | 638 | (11) | 0 | 0 | 627 | 182 | (445) | | (445) | | 4,752 | 0 | 0 | 0 | 0 | 5,379 | Financed by grant. Slippage was not reported at Q3 and is the result of contractor resource delays which were only identified in January 22. Works now scheduled for in 2022/23 |
| Barrow Town Deal - Cycling and Walking | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | | 3,700 | 0 | 0 | 0 | 0 | 3,700 | N/A |
| Barrow Levelling Up Fund (LUF) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | | 200 | 0 | 0 | 0 | 0 | 200 | N/A |
| National Productivity Investment Fund | 0 | 26 | 0 | 0 | 26 | 7 | (19) | | (19) | | 0 | 0 | 0 | 0 | 0 | 26 | Financed by grant. Slippage was reported at Q3 due to finalisation of snagging and defects for Siddick Bridge requiring a response from Network Rail before progressing, that had taken longer than anticipated when the budgeted profile was approved |
| DfT funded Flood Recovery Scheme | 5,628 | (1,128) | 0 | 0 | 4,500 | 2,800 | (1,700) | | (1,700) | | 1,657 | 0 | 0 | 0 | 0 | 6,157 | Financed by grant. Slippage was reported at Q3, when the position in relation to Gooseholme footbridge in Kendal was reported. This scheme was delayed as a result of a statutory utility provider encountering supply issues delaying ability to progress on site. This situation has continued to delay the scheme through Q4 and has resulted in an increase to the slippage previous reported. |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|--|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|----------|-------------------------|---------|---------|---------|---------|---------|-------|---|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| Whitehaven North Shore Access (NPIF/BEC) | 0 | 55 | 0 | (13) | 42 | 42 | 0 | 0 | | | 0 | 0 | 0 | 0 | 0 | 42 | Financed by grant. Forecast underspend due to construction works being completed under budget. This has resulted in a reduction to the external funding for the scheme and the budget has been adjusted to reflect this. |
| Live Labs Project | 662 | 182 | 0 | 0 | 844 | 678 | (166) | (166) | | | 100 | 0 | 0 | 0 | 0 | 944 | Financed by grant. Works have now been complete as expected. This has been delivered slightly underbudget and slightly under what was projected at Q3. The external grant funding provider has approved the use of the remaining budget for future years testing/monitoring of the trial sites, to strengthen the final outcomes of this trial. |
| Windermere Ferry re-fit | 0 | 3 | 0 | 0 | 3 | 0 | (3) | (3) | | | 0 | 0 | 0 | 0 | 0 | 3 | Financed by borrowing. Only a nominal budget remains as a result of a small underspend being carried over from previous years. The budget is not required and will be removed from the Capital Programme. The approved Prudential Borrowing will be added to the existing approved, but unallocated, Prudential Borrowing balance for re-allocation to future capital programme schemes |
| Windermere Ferry replacement | 0 | 100 | 0 | 0 | 100 | 73 | (27) | (27) | | | 5,007 | 1,000 | 0 | 0 | 0 | 6,107 | 2021/22 financed by revenue contribution, with future years financed from borrowing. Minor slippage not reported at Q3. The exact profiling of spend was not certain at Q3, but the remaining budget is still required to continue with the procurement, design and build of the replacement ferry. |
| Street Lighting | 0 | 201 | 0 | 0 | 201 | 201 | (0) | (0) | | | 0 | 0 | 0 | 0 | 0 | 201 | N/A |
| Lead Local Flood Authority | 500 | 2,672 | 0 | 0 | 3,172 | 1,254 | (1,918) | (1,918) | | | 300 | 0 | 0 | 0 | 0 | 3,472 | Financed by grant. As reported at Q3, there was potential for delay on some large schemes. This is due to the complex nature of the permissions needed from landowners impacted by the improvement works. This process can take a significant amount of time and it is difficult to predict exactly how long it will take, there is therefore always an element of uncertainty regarding the profile of spend. Although this process has taken longer than anticipated at Q3, resulting in additional slippage, a conclusion is being reached and work is expected to progress in Q1/Q2 2022/23 |
| Highways Fleet Replacement | 0 | 2,075 | 0 | 0 | 2,075 | 602 | (1,473) | (1,473) | | | 0 | 875 | 0 | 0 | 0 | 2,950 | Mainly financed by borrowing (with some revenue contribution). Slippage was reported at Q3. The current fleet within Highways consists of aging stock, which in the main is over 10 years old. The intention has been to replace these vehicles over a number of years. Orders have been placed (in some cases over a year ago) but due to the various challenges, Covid, relay production and now wiring loom production issues due to the war in the Ukraine, delivery of these vehicles continues to be effected. Some major manufacturers in this field have already indicated that their order books are now closed until 2025. Every attempt is being made to resolve these issues and secure delivery of our much needed new fleet. The impact on service delivery and revenue budgets can be managed over this short-term period. |
| SEND Fleet Vehicles | 1,036 | 184 | 0 | 0 | 1,220 | 0 | (1,220) | (1,220) | | | 0 | 0 | 0 | 0 | 0 | 1,220 | Financed by revenue contribution. Slippage was reported at Q3. The delay is due to supply chain issues outside the Councils control. Alternative provision has been made available over the short-term, which has ensured the service continues to be delivered. This position will continue to be monitored and managed until the additional vehicles are received. Procurement will continue in to 2022/23 alongside spot purchase of appropriate SEND vehicles as they become available in the supply chain (following market research and visits to confirm suitability) |
| A592/A684 Road Safety Foundation Schemes | 4,526 | (2,090) | 0 | 0 | 2,436 | 841 | (1,595) | (1,595) | | | 6,147 | 0 | 0 | 0 | 0 | 8,583 | Financed by a grant. Slippage was reported at Q3, but the final slippage is higher than was anticipated at that time. Several A684 work packages were delayed and were not complete by year end and design work was delayed on the A592 road safety barriers package which will result in budget slippage into 2022/23 2022/23 profiling is to be reviewed in conjunction with the design work being finalised for the A592. Works on this road is impacted by a working window restriction caused by winter weather and school holiday periods, as well as some of the work potentially being labour intensive due to the heritage nature of the landscape setting. Once this review has been undertaken, any impact of the budgeted expenditure profile will be reported as part of the Q1 monitoring. |
| A595 Bothel | 4,550 | (3,350) | 0 | 0 | 1,200 | 1,581 | 381 | | 381 | | 4,001 | 0 | 0 | 0 | 0 | 5,201 | Financed by grant. There is a small overspend not reported at Q3. This is due to delivery of the Torpenhow junction 'design' being accelerated into Q4., This has been approved as part of a change control with the grant funder. This has resulted in budget being accelerated from 2022/23 |
| A595 Grizebeck | 831 | 64 | 0 | 0 | 895 | 1,063 | 168 | | 168 | | 2,628 | 0 | 0 | 0 | 0 | 3,523 | Financed by grant. There is a small overspend not reported at Q3. This is as a result of additional work being undertaken during Q4 to prepare scheme for planning submission and design / build tender. 2022/23 budget to be accelerated to finance this overspend. |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|--|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|-----------------|-------------------------|----------------|---------------|---------------|---------------|---------------|----------------|---|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| Carlisle Future High Streets Fund (FHSF) | 0 | 100 | 0 | 0 | 100 | 12 | (88) | (88) | | | 1,860 | 1,303 | 0 | 0 | 0 | 3,263 | Financed by grant. Slippage was reported at Q3 to reflect the delay in securing a Grant Funding Agreement (GFA) with Carlisle City Council. This was delayed due to resources availability. Scheme delivery is now programmed to commence in 2022/23 and will delay completion by approximately 3 months. There is sufficient float within the programme to accommodate these changes |
| Kendal Northern Access Route (KNAR) | 0 | 209 | 0 | 0 | 209 | 80 | (129) | (129) | | | 300 | 0 | 0 | 0 | 0 | 509 | Financed by grant. Slippage was reported at Q3 due to delay in project commencement. The revised programme demonstrates that key programme deadlines can still be achieved and some of the spend profile has been recovered since Q3, but some slippage is still required |
| Environment Fund | 0 | 379 | 0 | 0 | 379 | 0 | (379) | (379) | | | 0 | 0 | 0 | 0 | 0 | 379 | Financed by grant and revenue contribution. Slippage is in-line with Q3 report. Tree planting in Barrow is currently out to tender for contractors. The time scale for completion of work was not known when the budget was set and so this was all budgeted for 21/22, it is now expected that a realistic time for work to be complete is May 2022. The budget will be slipped to 22/23 to reflect this |
| Carlisle Station Gateway | 800 | (450) | 0 | 0 | 350 | 343 | (7) | (7) | | | 3,506 | 4,200 | 2,501 | 2,715 | 0 | 13,272 | Financed by grant and borrowing. Very minor slippage in spend profile not reported at Q3 |
| Major Projects Match Funding | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | 2,194 | 2,385 | 2,385 | 2,385 | 2,385 | 11,734 | N/A |
| Strategic Highways Match Funding | 2,000 | 1,659 | 0 | 0 | 3,659 | 1,864 | (1,795) | (1,795) | | | 0 | 0 | 0 | 0 | 0 | 3,659 | Financed by borrowing. Request to reprofile remaining budget into future years to reflect timing of scheme delivery. These schemes include Carlisle Station Gateway. Very slight change from Q3 |
| Storm Damage | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | 1,000 | 0 | 0 | 0 | 0 | 1,000 | N/A |
| TOTAL FOR HIGHWAYS | 80,824 | 60 | 0 | 102 | 80,986 | 64,364 | (16,622) | (3) | (17,275) | 656 | 140,396 | 97,138 | 46,858 | 27,050 | 23,351 | 415,779 | |
| Economic Development (non LEP) | | | | | | | | | | | | | | | | | |
| Citadels Borderlands | 0 | 10 | 0 | 17 | 27 | 27 | (0) | (0) | | | 0 | 0 | 0 | 0 | 0 | 27 | N/A |
| Carlisle Southern Gateway | 0 | 100 | 0 | 0 | 100 | 118 | 18 | | 18 | | 30 | 0 | 0 | 0 | 0 | 130 | Financed by grant and borrowing. Spend for 2021/22 slightly higher than forecast are Q3 to reflect acceleration of design work to ensure the project is aligned with other projects progressing in the area. |
| Maryport Future High Street Fund | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 192 | 784 | 0 | 0 | 0 | 976 | N/A |
| Bowness Promenade | 0 | 414 | 0 | 0 | 414 | 361 | (53) | (53) | | | 114 | 0 | 0 | 0 | 0 | 528 | Financed by grant. Q2 reported forecast slippage to reflect latest programme that projects completion in Spring 2022. The final date is has not moved, but, works have progressed quicker than anticipated at Q3 to ensure that the impact on the school holiday period is minimised. This has resulted in lower slippage than expected to Q3, but some slippage is still required |
| Total for Economic Development (non LEP) | 0 | 524 | 0 | 17 | 541 | 506 | (35) | (0) | (53) | 18 | 336 | 784 | 0 | 0 | 0 | 1,661 | |
| Economic Development - LEP schemes | | | | | | | | | | | | | | | | | |
| LEP - South Ulverston | 0 | 25 | 0 | 0 | 25 | 22 | (3) | (3) | | | 0 | 0 | 0 | 0 | 0 | 25 | Financed by grant. Minor slippage not forecast at Q3 to carry forward into 2022/23 to conclude final scheme handover activity |
| Marina Village | 0 | 600 | 0 | 0 | 600 | 234 | (366) | (366) | | | 4,900 | 0 | 0 | 0 | 0 | 5,500 | Financed by grant. Slippage was not forecast at Q3 and reflects an unanticipated delay to monitoring works, which will now commence in June 2022. Overall milestones from funder is still achievable. |
| Barrow Community Hubs | 0 | 166 | 0 | 0 | 166 | 114 | (52) | (52) | | | 29 | 0 | 0 | 0 | 0 | 195 | Financed by grant. Minor slippage not forecast at Q3 to carry forward into 2022/23 to conclude final scheme handover activity due to the delay in receiving the grant funding approvals. |
| Total for LEP schemes | 0 | 791 | 0 | 0 | 791 | 370 | (421) | 0 | (421) | 0 | 4,929 | 0 | 0 | 0 | 0 | 5,720 | |
| TOTAL FOR ECONOMIC DEVELOPMENT | 0 | 1,315 | 0 | 17 | 1,332 | 876 | (456) | (0) | (473) | 18 | 5,265 | 784 | 0 | 0 | 0 | 7,381 | |
| Leachate Management - various closed landfill sites | 54 | (14) | 0 | 0 | 40 | 27 | (13) | (13) | | | 62 | 0 | 0 | 0 | 0 | 102 | Financed by borrowing. Minor slippage not forecast at Q3 to carry forward into 2022/23 to conclude closed landfill sites work. |
| Land/Port/Waste Statutory Standards | 3,255 | (2,045) | 0 | 0 | 1,210 | 915 | (295) | (295) | | | 4,660 | 1,800 | 1,800 | 1,000 | 1,000 | 11,470 | Financed by borrowing, grant and revenue contribution. Some slippage was reported at Q3 as a result of resource availability. This position was based on an estimate as the exact spend was not known. The outturn slippage is higher than had been forecast due to a delay in the delivery programme. The exact spend achievable in 21/22 was estimated at Q3 with the final position being slightly different resulting in slippage into 2022/23. |
| TOTAL FOR ENVIRONMENT OTHER | 3,309 | (2,059) | 0 | 0 | 1,250 | 942 | (308) | 0 | (308) | 0 | 4,722 | 1,800 | 1,800 | 1,000 | 1,000 | 11,572 | |
| Property | | | | | | | | | | | | | | | | | |
| Corporate Property Planned Maintenance and improvement | 5,500 | (500) | 0 | 0 | 5,000 | 3,468 | (1,532) | (1,532) | | | 4,575 | 3,000 | 3,000 | 3,000 | 3,000 | 21,575 | Financed by borrowing. Slippage was reported at Q3, but the final position shows an increase in that slippage. This is due to care homes being in and out of outbreak lockdown procedure, which means the Council has been unable to progress with any significant internal work, such as re-wiring and re-heating programmes. |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|---|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|-----------------|-------------------------|----------------|----------------|---------------|---------------|---------------|----------------|--|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| County Hall Car Park | 0 | 36 | 0 | 0 | 36 | 0 | (36) | (36) | | | 0 | 0 | 0 | 0 | 0 | 36 | Financed by revenue contribution. Slippage was not reported at Q3, as it was expected all remaining work would be complete. The remaining budget will remain in place to resolve remaining minor issues on this scheme. This will be resolved in 2022/23 when it is expected work with contractor will be finalised. |
| Area Planning/Whitehaven Library/Barrow Library | 1,767 | (767) | 0 | 0 | 1,000 | 277 | (723) | (723) | | | 767 | 0 | 0 | 0 | 0 | 1,767 | Financed by borrowing. Slippage was reported at Q3, but the final position shows a very minor increase in that slippage. This slippage is as a result of the cancellation of the Whitehaven Library/Archives project. Options are still being considered as to the future of Area Planning projects in Whitehaven |
| DSG Funded CMF - Contributions to Schools | 1,000 | 258 | 0 | 0 | 1,258 | 944 | (314) | (314) | | | 0 | 0 | 0 | 0 | 0 | 1,258 | Financed by revenue contribution. Budget is used for reactive work that emerged during the year. During 2021/22 there was less unanticipated spend than previously forecast. Remaining budget to be slipped to 2022/23 to be used to support SEN adaptations in schools |
| Modernising the Estate | 3,000 | (1,000) | 0 | 0 | 2,000 | 100 | (1,900) | (1,900) | | | 3,000 | 2,000 | 2,000 | 2,000 | 2,000 | 13,000 | Financed by borrowing. Slippage was reported at Q3 and is due to ongoing assessment of Corporate Properties and the impact of LGR. The Coroners replacement has been delayed due to changes in scope and ongoing discussions with the service. 2022/23 profiling is to be reviewed. Some of the budget currently profiled for spend in 2022/23 is subject to further scheme development work and may require re-profiling across future years. This will be reported as part of Q1 monitoring. |
| Demolitions | 0 | 339 | 0 | 0 | 339 | 339 | (0) | (0) | | | 0 | 0 | 0 | 0 | 0 | 339 | N/A |
| Electric Vehicle Charging Points | 0 | 181 | 0 | 0 | 181 | 28 | (153) | (153) | | | 0 | 0 | 0 | 0 | 0 | 181 | Financed by revenue contribution. Slippage was not expected at Q3. Completion of the scheme has been delayed and the unspent budget is committed to expenditure that will be incurred in early 2022/23. |
| Applethwaite Green Car Park, Windermere | 0 | 150 | 0 | 0 | 150 | 0 | (150) | (150) | | | 200 | 0 | 0 | 0 | 0 | 350 | Financed by revenue contribution. Slippage was not expected at Q3. The scheme requires planning and the process has taken longer than anticipated. Planned delivery is now scheduled for 2022/23. |
| Strategic Acquisition and Investment Fund | 1,000 | 105 | 0 | 0 | 1,105 | 637 | (469) | (469) | | | 2,000 | 1,000 | 1,000 | 0 | 0 | 5,105 | Financed by borrowing and capital receipts. Slippage was not forecast at Q3. This is because the budget has no specific allocation but is instead used to provide agility and flexibility to emerging issues, opportunities and priorities. It was not known for certain at Q3 that none of the remaining budget would be required in 2021/22 2022/23 profiling is to be reviewed. Some of the budget currently profiled for spend in 2022/23 is subject to further scheme development work and may require re-profiling across future years. This will be reported as part of Q1 monitoring. |
| TOTAL FOR PROPERTY | 12,267 | (1,198) | 0 | 0 | 11,069 | 5,793 | (5,276) | (0) | (5,276) | 0 | 10,542 | 6,000 | 6,000 | 5,000 | 5,000 | 43,611 | |
| Additional Inflation Risk allowance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 8,160 | 0 | 0 | 0 | 0 | 8,160 | N/A |
| TOTAL FOR ECONOMY AND INFRASTRUCTURE | 96,400 | (1,882) | 0 | 119 | 94,637 | 71,976 | (22,661) | (3) | (23,332) | 674 | 169,085 | 105,722 | 54,658 | 33,050 | 29,351 | 486,503 | |
| Corporate, Customer & Community Services | | | | | | | | | | | | | | | | | |
| ICT Investment/Additional ICT Investment | 1,675 | (32) | 0 | 0 | 1,643 | 102 | (1,541) | (1,541) | | | 1,941 | 1,000 | 1,000 | 1,000 | 1,000 | 7,584 | Financed by borrowing. Slippage was reported at Q3 due to de-prioritising of some capital investment pending LGR discussions and some changing project timescales. The slippage has increase since that position was reported. The change since Q3 is due to the spend profile still being uncertain at Q3 and being based on best judgement and estimates. This has delayed improvement work but has had no impact on the existing service offer 2022/23 profiling is to be reviewed and may require re-profiling across future years. This will be reported as part of Q1 monitoring. |
| Library Van Vehicle Replacement | 100 | 0 | 0 | 0 | 100 | 0 | (100) | (100) | | | 25 | 0 | 0 | 0 | 0 | 125 | Financed by a revenue contribution. Slippage was reported at Q3 due to a new van being ordered, but delivery was not expected until 2022/23. This was still in planning stage when the budgeted expenditure profile was approved so the exact timescales were uncertain. This delay will have no impact on the current service being delivered as the existing vehicle is still in use and the delay and has had no adverse revenue impact. |
| TOTAL FOR CORPORATE, CUSTOMER & COMMUNITY SERVICES | 1,775 | (32) | 0 | 0 | 1,743 | 102 | (1,641) | 0 | (1,641) | 0 | 1,966 | 1,000 | 1,000 | 1,000 | 1,000 | 7,709 | |
| Fire & Rescue Service | | | | | | | | | | | | | | | | | |

APPENDIX 4 - Capital Programme

| Capital schemes | 2021/22 Capital Programme Per Approved Budget February 2020 | Changes between February 2021 and Q3 | Outturn Changes | | Revised Budget 2021/22 | Outturn as at 31st March 2022 | Variance to Budget | Over / (Under) Spends | Slippage | Accelerated Expenditure | 2022/23 | 2023/24 | 2024/25 | 2025/26 | 2026/27 | Total | Comments |
|--|---|--------------------------------------|-------------------|---------------------------------|------------------------|-------------------------------|--------------------|-----------------------|-----------------|-------------------------|----------------|----------------|---------------|---------------|---------------|----------------|--|
| | | | Virements Outturn | Grant / Finance Changes Outturn | | | | | | | | | | | | | |
| Fire Vehicle replacement | 1,710 | 2,145 | 0 | 0 | 3,855 | 1,601 | (2,254) | | (2,254) | 0 | 1,165 | 1,330 | 0 | 350 | 0 | 6,700 | Financed by borrowing. Slippage was reported at Q3 due to delay in global supply chain for vehicle parts. The slippage has increase since that position was reported. The change since Q3 is due to the spend profile still being uncertain at Q3 and being based on best judgement and estimates. The increased delay will have no impact on the current service being delivered and has had no adverse revenue impact. |
| TOTAL FOR FIRE & RESCUE SERVICE | 1,710 | 2,145 | 0 | 0 | 3,855 | 1,601 | (2,254) | 0 | (2,254) | 0 | 1,165 | 1,330 | 0 | 350 | 0 | 6,700 | |
| Total County Council Capital Programme Schemes | 122,396 | (2,867) | 0 | 2,624 | 122,153 | 88,645 | (33,508) | (500) | (34,180) | 1,172 | 205,297 | 117,601 | 60,608 | 39,350 | 33,301 | 578,310 | |
| Accountable Bodies Programme | | | | | | | | | | | | | | | | | |
| LEP schemes | | | | | | | | | | | | | | | | | |
| North Cultural Regeneration Fund | 0 | 546 | 0 | 0 | 546 | 50 | (496) | | (496) | 0 | 0 | 0 | 0 | 0 | 0 | 546 | Project Grant Agreement not yet executed so payment of grant has slipped into 2022/23 |
| Lillyhall North | 0 | 358 | 0 | 0 | 358 | 0 | (358) | | (358) | 0 | 0 | 0 | 0 | 0 | 0 | 358 | A late decision was made to retain the payment until project amendments were approved. The grant won't be paid out until 2022/23 |
| Non-LEP schemes | | | | | | | | | | | | | | | | | |
| Cumbria Coastal Community Forest | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 895 | 850 | 850 | 0 | 0 | 2,595 | Cumbria County Council will be Accountable Body for this scheme, which is to be delivered by Cumbria Woodlands. The scheme is expected to be delivered over the next three years and has both revenue and capital elements. These forests not only contribute to climate change adaption and mitigation but have direct benefits for the people living in these areas. |
| Total Accountable Bodies | 0 | 904 | 0 | 0 | 904 | 50 | (854) | 0 | (854) | 0 | 895 | 850 | 850 | 0 | 0 | 3,499 | |
| TOTAL CAPITAL PROGRAMME WITH ACCOUNTABLE BODIES | 122,396 | (1,963) | 0 | 2,624 | 123,057 | 88,695 | (34,362) | (500) | (35,034) | 1,172 | 206,192 | 118,451 | 61,458 | 39,350 | 33,301 | 581,809 | |

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Appendix 5 - Requests to create or increase to Directorate Earmarked Reserves

| Directorate | Description | £m |
|----------------------------|--|--------------|
| Economy and Infrastructure | Scheme Development: to support development of future Economy and Environment schemes (business case development). | 0.218 |
| | Waste Dispute Resolution: To transfer waste underspend into a reserve to reflect the risk of ongoing dispute with a service provider. | 0.629 |
| | Corporate Maintenance Fund - Non Schools: Increase to previously approved EMR of in-year underspend. | 0.473 |
| | Corporate Maintenance Fund - Schools: Increase to previously approved EMR of in-year underspend. | 0.389 |
| | Corporate Maintenance Fund - Asset Maintenance: Transfer of property underspend to reserves to address an urgent backlog maintenance issue identified which must be resolved in 2022/23. | 0.620 |
| People | Adoption Inter Agency Fees: It is requested that this underspend is moved to reserve in anticipation of costs associated with delayed adoption hearings being an additional pressure in 2022/23. | 0.593 |
| Other Corporate Items | Inflation Risk Reserve: In-year underspend on the inflation budget which is recommended to be contributed to the Inflation Risk Reserve. This will provide further robustness to the 2022/23 revenue and capital budgets due to the anticipated impact of rising inflation. | 1.054 |
| Total | | 3.976 |

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Appendix 6 - Summary of COMF Spend

| Allocation Description | Allocation Value £ | Spend to 31 March 2022 £ | Variance £ |
|---|-----------------------|--------------------------------|---------------|
| Supporting those on low incomes to self-isolate when to do so would create financial hardship. Supporting self-isolation with £500 payments to individuals who don't qualify for national payments. | 660,000 | 660,000 | 0 |
| 2020/21 Investment to enable regulatory authorities (County Council, District Councils and LDNPA) to carry out their function at an appropriate level, i.e. through employment of enforcement officers/COVID marshalls etc. | 532,200 | 532,200 | 0 |
| 2020/21 allocation to Enhanced Contract Tracing – employment of local contact tracers and index case interviewers etc. | 1,476,000 | 1,476,000 | 0 |
| To support communities in consultation with partners on the Local Resilience Forums e.g. providing grants to local organisations and groups such as local Covid-19 support groups and the community and voluntary sector involved in delivering support. 2020/21 allocation to Local Committees. | 300,000 | 300,000 | 0 |
| Visitor Management - Funding to help redirect tourism out of main Lake District hotspots during Summer months including communications and infrastructure projects. | 390,000 | 390,000 | 0 |
| 2021/22 allocation to Enhanced Contract Tracing – employment of local contact tracers and index case interviewers etc. | 1,854,000 | 1,070,106 | 783,894 |
| Improving Outdoor Spaces and Area Based Events. To carry out works, sponsor events and activities, and fund local groups to encourage residents to enjoy their local area safely and attract new visitors away from the honey pot areas, encouraging them to try something outside their usual experiences to help avoid crowds. This is administered through Local Committees. | 1,550,000 | 1,139,786 | 410,214 |
| Family Connector Programme: the programme is a preventive approach providing practical direct hands-on support to families, schools and communities. It proposes to encourage family members, including teenagers to access vaccinations and reduce risk taking behaviour around transmission of the virus. | 497,100 | 0 | 497,100 |
| To support communities in consultation with partners on the Local Resilience Forums e.g. providing grants to local organisations and groups such as local Covid-19 support groups and the community and voluntary sector involved in delivering support. 2021/22 allocation to Local Committees. | 600,000 | 564,744 | 35,256 |
| To deliver a feed and read programme across Cumbria through the library and archive service. Linked to the existing National Summer Reading Challenge, the Library and Archive Service will provide lunches and a safe place to meet, learn and read for the most vulnerable young people in our communities. | 40,000 | 0 | 40,000 |
| Allocation to community-based organisations that support victims of Domestic Abuse and Sexual Abuse as Nationally and locally it has been recognised that Covid, and in particular, lockdowns, have had a large impact on the incidence and reporting of domestic abuse. | 551,000 | 536,005 | 14,995 |
| Early Years: to support early years providers in the Private, Voluntary and Independent (PVI) sector across the county until the end of March 2022 with costs and activities associated with contain outbreak management. | 300,000 | 276,065 | 23,935 |
| Communications activity aimed at attracting visitors to the County safely and communications to residents to encourage them to explore less visited areas of the County to reduce pressure on hotspots during Summer months. | 280,000 | 280,000 | 0 |
| Changing Futures to fund activity to develop a new model for support to people with multiple complex needs and, in particular, develop a sustainable supported accommodation model. | 490,000 | 176,946 | 313,054 |
| Support for Children and Young People's emotional and mental wellbeing. | 423,000 | 406,246 | 16,754 |
| Communications for ethnically diverse communities to support the Strategic Media Advisory Cell (SMAC), leading on multi-agency Covid related communications. | 15,000 | 0 | 15,000 |
| 2021/22 Investment to enable regulatory authorities (County Council, District Councils and LDNPA) to carry out their function at an appropriate level, i.e. through employment of enforcement officers/COVID marshalls etc. | 450,000 | 450,000 | 0 |
| Cost associated with supporting the gypsy and traveller community in Allerdale with COVID-19 related issues. | 11,434 | 11,434 | 0 |
| To support people who have moved to Cumbria as part of the Home Office UK Global Resettlement Programme in COVID-19 compliance. | 13,000 | 0 | 13,000 |
| Contract between Cumbria County Council and North Cumbria Integrated Care NHS Trust to enable continuation of PCR Covid-19 testing in care homes and other residential settings from 1/8/21 - 31/3/22. | 384,000 | 383,577 | 423 |
| Operational capacity / Programme leadership and management costs internal to Cumbria County Council. | 246,390 | 0 | 246,390 |
| Digital device and education: device loan service targeting the most vulnerable and isolated members of our communities and a range of online and accessible adult education courses and family learning courses. | 40,000 | 0 | 40,000 |
| Improve outdoor facilities at both older people and children and young people's residential facilities to aid with social distancing and safe visiting spaces. | 25,000 | 15,619 | 9,381 |
| Digital devices and data packages to support vulnerable learners to attend Community learning courses. | 7,350 | 7,350 | 0 |
| To extend and build on the existing Community Resilience Network infrastructure and enhance it through additional Community Development resources. | 465,000 | 422,270 | 42,730 |
| To provide support to young people aged 16 – 24 years old, who are living in supported accommodation within the Positive Housing Pathway. | 140,000 | 126,000 | 14,000 |
| Cumbria Family Support and Safe Families to develop a volunteering scheme which will provide sustainable support to families. | 100,000 | 100,000 | 0 |
| Kendal PCR Testing site costs | 25,260 | 18,618 | 6,642 |
| Additional cost of Security and Traffic Management to enable the Household Waste Recycling Centres to remain open after the first lockdown; and to operate under the Government's COVID-19 and the Waste Industry Safety and Health (WISH) Forum guidelines to keep the public safe. | 224,689 | 224,689 | 0 |
| Market Sustainability Payments to support the social care market with recruitment and retention challenges to help the Council meet its care duties over the winter period. | 1,200,000 | 120,765 | 1,079,235 |
| Upgrade to Infection Prevention Control Audit devices | 5,868 | 0 | 5,868 |
| Performance and intelligence team costs for the provision of data and intelligence to support the COVID-19 response. | 79,610 | 79,610 | 0 |
| Covid related costs associated with Occupational Health provision for Council staff during Covid response and recovery. | 162,000 | 0 | 162,000 |
| 0-19 Settings: to upgrade some of the key Children's Centres open spaces to provide additional undercover well ventilated capacity to facilitate the delivery of 1 to 1 and small group support work. | 274,200 | 0 | 274,200 |
| Lake District National Park Authority - Safer Cumbria Officer | 62,000 | 62,000 | 0 |

| | | | |
|-------------------------------------|-------------------|------------------|------------------|
| Other urgent COVID-19 related spend | 60,482 | 60,482 | 0 |
| Unallocated funding | 79,367 | 0 | 79,367 |
| Total | 14,013,950 | 9,890,510 | 4,123,440 |

APPENDIX 7

SCHEDULE OF FEES AND CHARGES 2022/23

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m |
|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| PEOPLE | | | | | | |
| Residential and Nursing Care | | | | | | |
| A person's personal contributions to the cost of providing care is calculated on an individual basis and is based on the ability of the person to pay. The value of people's houses are taken into account. The staff who undertake this calculation also look to make sure that people are claiming all the benefits to which they are entitled. Where the person is a full fee payer the cost to the Council will be payable. | | | | | | |
| Independent Sector (based on the cost paid to providers) | | | | | | |
| Residential | S | £563.00 | £625.00 | 11.0% | £23.718 | £24.499 |
| Physically Frail - Residential and nursing | S | £652.00 | £706.00 | 8.3% | | |
| Residential Dementia | S | £680.00 | £746.00 | 9.7% | | |
| Nursing dementia | S | £698.00 | £773.00 | 10.7% | | |
| Cumbria Care | | | | | | |
| Residential | S | £683.00 | £719.00 | 5.3% | £0.040 | £0.040 |
| Physically Frail - Residential | S | £725.00 | £763.00 | 5.2% | | |
| Residential Dementia | S | £794.00 | £835.00 | 5.2% | | |
| Meals | | | | | | |
| Cumbria Care day care - meal charge | C | £2.80 | £2.90 | 3.6% | £0.040 | £0.040 |
| Community Meals - charge per meal | C | £4.40 | £4.60 | 4.5% | | |
| Non Residential Care | | | | | | |
| The Council's subsidy and a person's personal contributions to the cost of providing care are calculated on an individual basis and are based on the ability of the person to pay. The value of people's houses is not taken into account. The staff who undertake this calculation also look to make sure that people are claiming all the benefits to which they are entitled. The maximum cost of care is limited to an amount equivalent to the Physically Frail -Residential and Nursing band of £706 per week for 2022/23. Further information can be found on the Councils website as follows https://www.cumbria.gov.uk/healthsocialcare/ccs/costs.asp | | | | | £11.270 | £11.371 |
| Arrangement fee for non-residential full fee payers (per week) | C | £4.90 | £5.10 | 4.1% | £0.078 | £0.088 |
| Deferred Payment Agreements (DPA) | | | | | | |
| Setting up a DPA - maximum charge | C | £250.00 | £250.00 | 0.0% | £0.020 | £0.020 |
| Annual review | C | £50.00 | £50.00 | 0.0% | | |
| Termination of DPA | C | £50.00 | £50.00 | 0.0% | | |
| Abortive costs | C | £50.00 | £50.00 | 0.0% | | |
| Interest charge (reviewed 6 monthly in line with Government Gilt rates) | C | | | | | |
| Schools | | | | | | |
| Schools converting to academy status | C | £5,643.00 | £5,852.00 | 3.7% | £0.017 | £0.017 |
| Schools converting to academy status - VA and Foundation Schools | C | £2,257.00 | £2,341.00 | 3.7% | £0.004 | £0.004 |
| Educational Support Services include the provision of Teaching Assistants, Outdoor Education and the Music Service and are available on a traded basis to schools, academies and other educational or childcare settings. The services are available through either Service Level Agreements or pay as you go purchases. Further information can be found on the Council web-site as follows : http://www.cumbria.gov.uk/childrensservices/schoolsandlearning/lss/tradedservices.asp | | | | | £1.738 | £1.773 |
| TOTAL PEOPLE | | | | | £36.885 | £37.812 |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m |
|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| CORPORATE, CUSTOMER & COMMUNITY SERVICES | | | | | | |
| Registration Services - NOTE that statutory fees are determined by the General Register Office, please refer to the Registrars page on CCC Website | | | | | | |
| Cumbria Registration Service - Statutory Registry Office Fees Offices with witnesses only and restricted times | | | | | | |
| Monday - Friday (Kendal statutory office only) | S | £46.00 | S | N/A | | |
| Cumbria Registration Service - Internal Ceremony Rooms - Weddings & Civil Partnerships (and 2 stage conversions from civil partnership to marriage) - Traditional | | | | | | |
| Monday to Friday only (small offices - e.g. Penrith & Kendal (non restricted times)) | C | £110.00 | £114.10 | 3.7% | | |
| Monday to Friday (main ceremony rooms - e.g. Barrow & Carlisle) | C | £220.00 | £228.20 | 3.7% | | |
| Saturday | C | £330.00 | £342.30 | 3.7% | | |
| Cumbria Registration Service - Internal Ceremony Rooms - Weddings & Civil Partnerships (and 2 stage conversions from civil partnership to marriage) - Enhanced | | | | | | |
| Monday to Friday only (small offices - e.g. Penrith & Kendal (non restricted times)) | C | £120.00 | £124.50 | 3.8% | | |
| Monday to Friday (main ceremony rooms - e.g. Barrow & Carlisle) | C | £230.00 | £238.60 | 3.7% | | |
| Saturday | C | £340.00 | £352.60 | 3.7% | | |
| Cumbria Registration Service - External Ceremony Rooms - 3rd Party Partnerships -Weddings & Civil Partnerships (e.g. Cocker mouth Town Hall, Kendal Town Hall, Whitehaven Civic Centre & Wigton Market Hall) - Traditional | | | | | | |
| Monday to Friday | C | £310.00 | £321.50 | 3.7% | | |
| Saturday | C | £390.00 | £404.50 | 3.7% | | |
| Sunday & Bank Holiday Fees | C | £470.00 | £487.40 | 3.7% | | |
| Cumbria Registration Service - External Ceremony Rooms - 3rd Party Partnerships -Weddings & Civil Partnerships (e.g. Cocker mouth Town Hall, Kendal Town Hall, Whitehaven Civic Centre & Wigton Market Hall) - Enhanced | | | | | | |
| Monday to Friday | C | N/A | N/A | N/A | | |
| Saturday | C | N/A | N/A | N/A | | |
| Sunday & Bank Holiday Fees | C | N/A | N/A | N/A | | |
| Cumbria Registration Service - Approved Premises Weddings & Civil Partnerships (and 2 stage conversions from civil partnership to marriage) - Traditional | | | | | | |
| Monday to Friday | Both | £495.00 | £513.40 | 3.7% | | |
| Saturday | Both | £585.00 | £606.70 | 3.7% | | |
| Sunday & Bank Holiday Fees | Both | £660.00 | £684.50 | 3.7% | | |
| Cumbria Registration Service - Approved Premises Weddings & Civil Partnerships - Bespoke 1 | | | | | | |
| Monday to Friday | C | £675.00 | £700.00 | 3.7% | | |
| Saturday | C | £825.00 | £855.60 | 3.7% | | |
| Sunday & Bank Holiday Fees | C | £875.00 | £907.40 | 3.7% | | |
| Cumbria Registration Service - Approved Premises Weddings & Civil Partnerships - Bespoke 2 | | | | | | |
| Monday to Friday | C | £550.00 | £570.40 | 3.7% | | |
| Saturday | C | £700.00 | £725.90 | 3.7% | | |
| Sunday & Bank Holiday Fees | C | £750.00 | £777.80 | 3.7% | | |
| Cumbria Registration Service - Internal Ceremony Rooms - Renewal of Vows and Naming Ceremonies - non-statutory | | | | | | |
| Monday to Friday (main ceremony rooms - e.g. Barrow & Carlisle) | C | £100.00 | £103.70 | 3.7% | | |
| Saturday | C | £150.00 | £155.60 | 3.7% | | |

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|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| Cumbria Registration Service - External Ceremony Rooms - 3rd Party Partnerships - Renewal of Vows & Naming Ceremonies (e.g. Cockermouth Town Hall, Kendal Town Hall, Whitehaven Civic Centre & Wigton Market Hall) - non-statutory | | | | | | |
| Monday to Friday | C | £155.00 | £160.80 | 3.7% | | |
| Saturday | C | £220.00 | £228.20 | 3.7% | | |
| Sunday & Bank Holiday Fees | C | £295.00 | £306.00 | 3.7% | | |
| Cumbria Registration Service - Approved Premises Renewal of Vows & Naming Ceremonies | | | | | | |
| Monday to Friday | C | £155.00 | £160.80 | 3.7% | £1.765 | £1.830 |
| Saturday | C | £220.00 | £228.20 | 3.7% | | |
| Sunday & Bank Holiday Fees | C | £295.00 | £306.00 | 3.7% | | |
| Cumbria Registration Service - Deposit - weddings & civil partnerships | | | | | | |
| Standard/Enhanced/Bespoke | C | £100.00 | £103.70 | 3.7% | | |
| Cumbria Registration Service - Notice of Intent for Wedding or Civil Partnerships | S | £35.00 | S | N/A | | |
| Cumbria Registration Service - Amendment Fees | | | | | | |
| Amendment Fee | C | £35.00 | £36.30 | 3.7% | | |
| Cumbria Registration Service - certificates | | | | | | |
| <i>Statutory Fees are subject to change by the General Register Office, any changes will be published online.</i> | | | | | | |
| Registry of Births and Deaths certificate at time of Registration | S | £11.00 | S | N/A | | |
| Registry of Births and Deaths certificate after Registration | S | £11.00 | S | N/A | | |
| SR Certificate - statutory service only | S | £11.00 | S | N/A | | |
| Statutory Priority (issue within 24 hours) Certificate Fee wef 16.2.2019 | S | £35.00 | S | N/A | | |
| Commemorative certificate | C | £5.00 | £5.20 | 4.0% | | |
| Cumbria Registration Service - Enhanced Postage Charges | | | | | | |
| Traceable & Trackable (incl Royal Mail fee of £1.99) | C | £2.50 | £2.60 | 4.0% | | |
| Guaranteed Delivery (incl Royal Mail Fee of £6.45) | C | £7.50 | £7.80 | 4.0% | | |
| Cumbria Registration Service - Approved Venue Licence | | | | | | |
| New 3 Year licence | C | £1,500.00 | £1,555.50 | 3.7% | | |
| Renewal 3 year licence | C | £1,500.00 | £1,555.50 | 3.7% | | |
| Renewal 5 year licence | C | £2,300.00 | £2,385.10 | 3.7% | | |
| Additional Room | C | £100.00 | £103.70 | 3.7% | | |
| Revisit for missed licence appointments | C | £55.00 | £57.10 | 3.8% | | |
| Cumbria Registration Service - Private Citizenship Service | | | | | | |
| Monday to Friday | C | £100.00 | £103.70 | 3.7% | | |
| Cumbria Registration Service - Late payment Fee - Ceremonies | | | | | | |
| If fees not paid 12 weeks prior to the ceremony | C | £25.00 | £26.00 | 4.0% | | |
| Other | | | | | | |
| Memorial Ceremonies | C | £100-£150 | £104-£156 | 4.0% | | |
| Funeral Ceremonies | C | £150-£200 | £156-£208 | 4.0% | | |
| Commitment Ceremonies | C | £100-£150 | £104-£156 | 2.0% | | |
| Pre-Ceremony Meeting (30 mins) | C | £25.00 | £26.00 | 4.0% | | |
| Twilight Ceremonies | C | £25.00 | £26.00 | 4.0% | | |
| Other General Register Office Statutory Services | | | | | | |
| Fees for attendance at the ceremony at a registered building | S | £86.00 | S | N/A | | |
| Consideration by Senior Registrar of a divorce or civil partnership dissolution obtained outside the British Isles | S | £50.00 | S | N/A | | |
| Consideration by the Registrar General of a divorce or civil partnership dissolution obtained outside the British Isles | S | £75.00 | S | N/A | | |
| Entry of each notice of marriage or civil partnership for persons subject to Home Office Referral and Investigation Scheme | S | £47.00 | S | N/A | | |
| Conversion of Civil Partnership to Marriage at The Register Office | S | £45.00 | S | N/A | | |
| Conversion of Civil Partnership to Marriage two stage process - completing the declaration | S | £27.00 | S | N/A | | |

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|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| Conversion of Civil Partnership to Marriage two stage process - Signing the declaration in a religious building registered for the marriage of same sex couples | S | £91.00 | S | N/A | | |
| Change of forename added within 12 months of the birth registration | S | £40.00 | S | N/A | | |
| Consideration by Registrar/Superintendent Registrar of a correction | S | £75.00 | S | N/A | | |
| Consideration by the Registrar General of a correction | S | £90.00 | S | N/A | | |
| General search in the indexes by the applicant personally for not more than six successive hours | S | £18.00 | S | N/A | | |
| Libraries Service | | | | | | |
| Libraries Service - Hire of audio-visual material: | | | | | | |
| Orchestral Sets (per collection) | C | £24.45 | £25.40 | 3.9% | | |
| Orchestral Sets (per collection) - Inter Library Loan | C | £47.50 | £49.30 | 3.8% | | |
| Vocal Scores | C | £1.90 | £2.00 | 5.3% | | |
| Vocal Scores - Inter Library Loan | C | £2.45 | £2.60 | 6.1% | | |
| Requests for Out of County Stocks (British Library) - as per the rate from the British Library and is updated in September | C | £17.55 | £18.20 | 3.7% | | |
| Requests for Out of County Stocks (non British Library) | C | £13.80 | £14.40 | 4.3% | | |
| Renewal for British Library Books (at cost) - will be updated in September by the British Library | C | £5.35 | £5.60 | 4.7% | | |
| Miscellaneous items | | | | | | |
| Replacement Membership Card | C | £3.00 | £3.20 | 6.7% | | |
| PC Hire - first 2 hours free, charge for each 15 minutes thereafter | C | £0.50 | £0.60 | 20.0% | | |
| Room Hire:- Rates will vary across the county to reflect facilities available at each location. | C | £17.30-£41.00 | £17.95-£42.50 | 4.0% | | |
| Items charged for but not listed, e.g. photocopying, flash drives, headphones, will be charged on the basis of full cost recovery | C | | | | | |
| Libraries Other Items | | | | | | |
| Fax. | | | | | | |
| Send (per sheet) UK | C | £1.15 | £1.20 | 4.3% | £0.275 | £0.218 |
| Send (per sheet) Europe | C | £1.65 | £1.80 | 9.1% | | |
| Send (per sheet) Rest of the world | C | £2.25 | £2.40 | 6.7% | | |
| Receiving (per sheet) | C | £0.30 | £0.40 | 33.3% | | |
| Photocopies | | | | | | |
| A4 Mono | C | £0.25 | £0.40 | 60.0% | | |
| A4 Colour | C | £1.30 | £0.50 | -61.5% | | |
| A3 Mono | C | £0.35 | £0.50 | 42.9% | | |
| A3 Colour | C | £1.80 | £0.60 | -66.7% | | |
| Prints from reader printers | C | £0.75 | £0.80 | 6.7% | | |
| Laminating A4 | C | £1.65 | £1.70 | 3.0% | | |
| Laminating A3 | C | £2.25 | £2.30 | 2.2% | | |
| Ordnance Survey Mapping Charges | | | | | | |
| 1:500 A4 block plan | C | £16.45 | £17.10 | 4.0% | | |
| 1:2,500 A4 site plan - for rural area | C | £21.55 | £22.40 | 3.9% | | |
| 1:2,500 A4 site plan - for urban area | C | £26.70 | £27.70 | 3.7% | | |
| 1:2,500 A4 site plan - for rural area | C | £26.70 | £27.70 | 3.7% | | |
| 1:2,500 A4 site plan - for urban area | C | £62.60 | £65.00 | 3.8% | | |
| Archives - Digital Camera Fees:- For the use of personal digital cameras to record documents. | | | | | | |
| - Session licence | C | £6.00 | £6.30 | 5.0% | | |
| - 2 session licence | C | £10.00 | £10.40 | 4.0% | | |
| - 5 session licence | C | £23.00 | £23.90 | 3.9% | | |
| - 10 session licence | C | £41.00 | £42.60 | 3.9% | | |
| - Annual licence (business use) | C | £166.00 | £172.20 | 3.7% | | |
| Digital Imaging Service: - Carried out by Archives staff (hourly charge) | C | £52.00 | £54.00 | 3.8% | | |

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|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| Archives - Other items | | | | | | |
| Photocopies from archives, printed sources & Ordnance Survey maps | | | | | | |
| A4 | C | £0.40 | N/A | 0.0% | £0.004 | £0.004 |
| A3 | C | £0.50 | N/A | 0.0% | | |
| A4 Mono | C | N/A | £0.40 | 0.0% | | |
| A4 Colour | C | N/A | £0.50 | 0.0% | | |
| A3 Mono | C | N/A | £0.50 | 0.0% | | |
| A3 Colour | C | N/A | £0.60 | 0.0% | | |
| School Rate (for under 16s) | C | £0.25 | £0.30 | 20.0% | | |
| Printouts from reader prints | | | | | | |
| A4 | C | £0.75 | £0.80 | 6.7% | | |
| A3 | C | £0.90 | £1.00 | 11.1% | | |
| Printouts from digital microfilm | | | | | | |
| A4 black and white | C | £0.15 | £0.20 | 33.3% | | |
| A4 colour | C | £0.25 | £0.30 | 20.0% | | |
| Scanning service by search room staff | | | | | | |
| Standard scans (small/simple orders) (5 minutes/1-scans maximum, including email to researcher) | C | £5.25 | £5.50 | 4.8% | | |
| Copying orders placed by post/email/telephone | | | | | | |
| Processing fee per hour (pro rata) £12.50 minimum charge | C | £52.00 | £54.00 | 3.8% | | |
| Digitisation Service per hour (pro rata), £25 minimum charge | C | £52.00 | £54.00 | 3.8% | | |
| | C | £26.00 | £27.00 | 3.8% | | |
| Talks to groups | | | | | | |
| At the Archives Centre | C | £32.00 | £33.20 | 3.8% | | |
| Outside Venues | C | £63.00 | £65.40 | 3.8% | | |
| Commercial groups | C | £105.00 | £108.90 | 3.7% | | |
| Service Centre | | | | | | |
| Blue Badge parking permits | C | £12.00 | £12.50 | 4.2% | £0.128 | £0.133 |
| TOTAL CORPORATE, CUSTOMER AND COMMUNITY SERVICES | | | | | £2.172 | £2.185 |

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|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|--|--|
| ECONOMY & INFRASTRUCTURE | | | | | | | | |
| Highways - Charge to utilities and contractors working within the public highway as part of the Cumbria Highway Works Permitting Scheme wef 1.9.2019 | | | | | | | | |
| Cumbria Highway Works Permitting Scheme - Category 0, 1 & 2 Roads - All Streets (Any time and / or location) | | | | | | | | |
| PAA | S | £101.00 | S | N/A | £1.585 | £1.821 | | |
| Major - (over 10 days and all major works requiring a traffic regulation order) | S | £204.00 | S | N/A | | | | |
| Major - (4 to 10 days) | S | £126.00 | S | N/A | | | | |
| Major - (up to 3 days) | S | £62.00 | S | N/A | | | | |
| Standard | S | £126.00 | S | N/A | | | | |
| Minor | S | £62.00 | S | N/A | | | | |
| Immediate | S | £57.00 | S | N/A | | | | |
| Variations | S | £45.00 | S | N/A | | | | |
| Cumbria Highway Works Permitting Scheme - Category 3 & 4 Roads - Traffic Sensitive at certain times/locations. Wholly or partly within traffic sensitive times and or locations | | | | | | | | |
| PAA | S | £101.00 | S | N/A | | | | |
| Major - (over 10 days and all major works requiring a traffic regulation order) | S | £204.00 | S | N/A | | | | |
| Major - (4 to 10 days) | S | £126.00 | S | N/A | | | | |
| Major - (up to 3 days) | S | £62.00 | S | N/A | | | | |
| Standard | S | £126.00 | S | N/A | | | | |
| Minor | S | £62.00 | S | N/A | | | | |
| Immediate | S | £57.00 | S | N/A | | | | |
| Variations | S | £45.00 | S | N/A | | | | |
| Cumbria Highway Works Permitting Scheme - Category 3 & 4 Roads - Traffic Sensitive at certain times/locations. Wholly outside of traffic sensitive times and or locations (30% discount) | | | | | | | | |
| PAA | S | £70.70 | S | N/A | | | | |
| Major - (over 10 days and all major works requiring a traffic regulation order) | S | £142.80 | S | N/A | | | | |
| Major - (4 to 10 days) | S | £88.20 | S | N/A | | | | |
| Major - (up to 3 days) | S | £43.40 | S | N/A | | | | |
| Standard | S | £88.20 | S | N/A | | | | |
| Minor | S | £43.40 | S | N/A | | | | |
| Immediate | S | £39.90 | S | N/A | | | | |
| Variations | S | £45.00 | S | N/A | | | | |
| Cumbria Highway Works Permitting Scheme - Category 3 & 4 Roads - Non-Traffic Sensitive (Any time and / or location) | | | | | | | | |
| PAA | S | £68.00 | S | N/A | | | | |
| Major - (over 10 days and all major works requiring a traffic regulation order) | S | £113.00 | S | N/A | | | | |
| Major - (4 to 10 days) | S | £64.00 | S | N/A | | | | |
| Major - (up to 3 days) | S | £31.00 | S | N/A | | | | |
| Standard | S | £64.00 | S | N/A | | | | |
| Minor | S | £31.00 | S | N/A | | | | |
| Immediate | S | £30.00 | S | N/A | | | | |
| Variations | S | £35.00 | S | N/A | | | | |

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|--|--|--|--|---------------------------------------|--------------------------|--------------------------|
| Highways - Charge to utilities for NRSWA activities:- | | | | | | |
| Sample inspections | S | £50.00 | S | N/A | | |
| Defect joint inspections | S | £47.50 | S | N/A | | |
| Remedial works inspection | S | £47.50 | S | N/A | | |
| Inspection of completed remedial works | S | £47.50 | S | N/A | | |
| Investigatory inspection | S | £68.50 | S | N/A | | |
| Street works licence | S | £410.00 | S | N/A | | |
| Street works consent | S | £355.00 | S | N/A | | |
| Street works permit | S | £355.00 | S | N/A | | |
| Change of Contractor | C | £34.00 | £36.00 | 5.9% | | |
| Re-activation (+ inspection fee where applicable) | C | £34.00 | £36.00 | 5.9% | | |
| Pre Site Inspection | C | £57.00 | £60.00 | 5.3% | | |
| Sponsorship of signs | C | £100 per month/roundabout | N/A | 0.0% | £0.100 | £0.000 |
| Highways - Traffic Regulation Orders:- | | | | | | |
| Temporary road closures (complex closures will incur additional charges at cost) | C | £680.00 | £706.00 | 3.8% | £0.137 | £0.142 |
| Amend Traffic Regulation Order | C | £378.00 | £392.00 | 3.7% | £0.006 | £0.007 |
| Arranging re-advertising of Traffic Regulation Order | C | £82.00 | £86.00 | 4.9% | £0.001 | £0.001 |
| Emergency or urgent works | C | £431.00 | £447.00 | 3.7% | £0.019 | £0.020 |
| Clearance of Accident Debris | C | cost + 10% | cost + 10% | 0.0% | £0.000 | £0.000 |
| Re-activation cost of issuing a road closure | C | £378.00 | £392.00 | 3.7% | £0.041 | £0.042 |
| Highways - Public Path Orders:- | | | | | | |
| Processing of Public Path orders | C | N/A | £2,000.00 | 0.0% | tbc | tbc |
| Highways - Charges for permits etc. | | | | | | |
| Vehicle Access (individual residential property) | C | £270.00 | £280.00 | 3.7% | £0.110 | £0.121 |
| Vehicle Access (multiple properties and commercial use) | C | £755.00 | £783.00 | 3.7% | £0.006 | £0.007 |
| Scaffolding (max 28 days) | C | £82.00 | £86.00 | 4.9% | £0.103 | £0.114 |
| Hoardings at building work adjacent to the highway | C | £108.00 | £112.00 | 3.7% | £0.010 | £0.011 |
| Hoarding Inspection (cost per inspection) | C | £36.00 | £38.00 | 5.6% | £0.000 | £0.000 |
| Street cafes - excluding legal fee | C | £163.00 | £170.00 | 4.3% | £0.005 | £0.006 |
| Advertising kiosks, rotundas, banners etc. | C | £136.00 | £142.00 | 4.4% | £0.000 | £0.000 |
| Ramps | C | £270.00 | £280.00 | 3.7% | £0.004 | £0.005 |
| Licence for adjoining landowners to plant trees, shrubs, etc. on highway | C | £136.00 | £142.00 | 4.4% | £0.000 | £0.000 |
| Skips | C | £33.00 | £35.00 | 6.1% | £0.163 | £0.173 |
| Deposition of building materials | C | £65.00 | £68.00 | 4.6% | £0.001 | £0.001 |
| Agreement for district / parish councils to place furniture in the highway | C | £82.00 | £86.00 | 4.9% | £0.000 | £0.000 |
| Application for Direction signs to premises (excluding Tourism Signs) | C | £270.00 | £280.00 | 3.7% | £0.000 | £0.000 |
| Permission to erect sign (per 3 months) | C | £56.00 | £59.00 | 5.4% | £0.000 | £0.000 |
| Provision of H - bar at private driveway | C | £180.00 | £187.00 | 3.9% | £0.000 | £0.000 |
| Licence for projections / building over highway | C | £324.00 | £336.00 | 3.7% | £0.000 | £0.000 |
| Temporary excavation in the highway | C | £324.00 | £336.00 | 3.7% | £0.034 | £0.038 |
| Stage 1 - Initial Assessment of Tourism signage | C | £136.00 | £142.00 | 4.4% | | |
| Stage 2 - Private Works Agreement - actual price is dependant on value of scheme | C | P.O.A | P.O.A | 4.0% | £0.006 | £0.007 |
| Contractors waivers for parking on street and suspension of parking bays (per day) | C | Equivalent to full day off street parking charge | Equivalent to full day off street parking charge | N/A | £0.015 | £0.017 |

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|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| Highways - Charges for searches | | | | | | |
| CON29R (full highways) | C | £22.00 | £22.90 | 4.1% | £0.141 | £0.146 |
| CON29R (individual questions) | C | £10.00 | £10.40 | 4.0% | | |
| CON29O Question 4 | C | £6.00 | £6.30 | 5.0% | | |
| CON29O Question 5 | C | £10.00 | £10.40 | 4.0% | | |
| Service 1 | C | £20.00 | £20.80 | 4.0% | £0.003 | £0.003 |
| Service 2 | C | £56.00 | £58.10 | 3.8% | £0.005 | £0.005 |
| Service 3 | C | £65.00 | £67.50 | 3.8% | £0.001 | £0.001 |
| Service 4 | C | £107.00 | £111.00 | 3.7% | £0.016 | £0.016 |
| Service 5 | C | £POA | £POA | N/A | £0.001 | £0.001 |
| Minor Developments fee | C | £230.00 | £238.60 | 3.7% | £0.025 | £0.026 |
| Major Developments fee | C | £462.00 | £479.10 | 3.7% | £0.030 | £0.032 |
| Highways - Windermere Ferry charge for single crossing:- (Quarterly / annual contracts and bulk purchase discounts are also available) | | | | | | |
| Pedestrian | C | £1.00 | £1.00 | 0.0% | £0.655 | £0.655 |
| Pedal Cycle (including cyclist) | C | £2.00 | £2.00 | 0.0% | | |
| Moped, Motorcycle, Horse | C | | | | | |
| Vehicles up to 6m | C | £5.00 | £5.00 | 0.0% | | |
| Vehicles over 6m | C | £10.00 | £10.00 | 0.0% | | |
| Highways - Passenger Transport | | | | | | |
| Voluntary Car Scheme:- Passenger fares per mile. | S | £0.45 | £0.45 | 0.0% | £0.087 | £0.087 |
| Rural Wheels:- Passenger fares per mile. | S | £0.45 | £0.45 | 0.0% | £0.048 | £0.048 |
| Community Minibuses:- Hire through the provision of brokerages. | C | £1.05 | £1.05 | 0.0% | £0.085 | £0.085 |
| Issue of Section 19 Community minibus permits | C | £8.00 | £8.30 | 3.8% | £0.001 | £0.001 |
| Rural Wheels - joining the scheme, the price charges will generates the same amount of credit on the card. | C | £5.00 | £5.00 | 0.0% | | |
| Rural Wheels Replacement card charge | C | £5.00 | £5.00 | 0.0% | | |
| ENCTS - Replacement charge per card | C | £10.00 | £10.00 | 0.0% | | |
| A2BNoW (Single fare/journey) | C | £1.80 | £1.80 | 0.0% | £0.002 | £0.002 |
| A2BNoW (Return fare/journey) | C | £3.00 | £3.00 | 0.0% | | |
| A2BNoW (funded by South Lakeland Local Committee, increase to be agreed by SLLC) | C | £10.00 | £10.00 | 0.0% | £0.000 | £0.000 |
| A2BNoW Replacement | C | £10.00 | £10.00 | 0.0% | | |
| DBS | C | £63.00 | N/A | 0.0% | £0.043 | £0.045 |
| DBS Admin Fee | C | N/A | £25.40 | 0.0% | | |
| DBS Enhanced Check | S | N/A | £40.00 | 0.0% | | |
| DBS Replacement Badge | C | £11.50 | £12.00 | 4.3% | | |
| Highways - Home to School / College Transport | | | | | | |
| Spare Seats | C | £442.00 | £458.40 | 3.7% | £0.246 | £0.246 |
| Faith Schools | C | £442.00 | £458.40 | 3.7% | £0.011 | £0.011 |
| School Bus Pass Replacements | C | £12.00 | £12.50 | 4.2% | £0.003 | £0.003 |
| Highways - Fleet Services | | | | | | |
| Fleet Maintenance Hourly Rate (office hours) | C | £57.15 | £59.30 | 3.8% | £0.098 | £0.102 |
| Fleet Maintenance Hourly Rate (out of hours) | C | £86.00 | £89.20 | 3.7% | | |
| Fleet Management professional advice | C | N/A | N/A | N/A | | |
| Driver Training/Assessment - per hour - CCC Vehicle | C | £68.00 | £70.60 | 3.8% | | |
| Driver Training/Assessment - per hour - Customer Vehicle | C | £57.00 | £59.20 | 3.9% | | |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m |
|--|--|----------------------------------|----------------------------------|---------------------------------------|--------------------------|--------------------------|
| Highways - Development Management | | | | | | |
| s38 establishment charges £0-250k | C | 9% of green field site figure | 9% of green field site figure | 0.0% | £0.455 | £0.645 |
| s38 establishment charges £251-500k | C | 7% of green field site figure | 7% of green field site figure | 0.0% | | |
| s38 establishment charges £501-700k | C | 6.5% of green field site figure | 6.5% of green field site figure | 0.0% | | |
| s38 establishment charges £701k-1m | C | 6% of green field site figure | 6% of green field site figure | 0.0% | | |
| s38 establishment charges £1m+ | C | 5.5% of green field site figure | 5.5% of green field site figure | 0.0% | | |
| s38 establishment charges after work commences £0-250k | C | 11% of green field site figure | 11% of green field site figure | 0.0% | | |
| s38 establishment charges after work commences £251-500k | C | 9% of green field site figure | 9% of green field site figure | 0.0% | | |
| s38 establishment charges after work commences £501-700k | C | 8.5% of green field site figure | 8.5% of green field site figure | 0.0% | | |
| s38 establishment charges after work commences £701-1m | C | 8% of green field site figure | 8% of green field site figure | 0.0% | | |
| s38 establishment charges after work commences £1m+ | C | 7.5% of green field site figure | 7.5% of green field site figure | 0.0% | | |
| S228 adoptions after execution of street works | C | £175.00 | £181.50 | 3.7% | | |
| S38 Legal Costs (fixed fee) NEW 22/23 | C | actual cost | £1,000.00 | N/A | | tbc |
| Highways - Section 278 | | | | | | |
| S278 Legal Costs (fixed fee) NEW Fixed fee 22/23 previously actual cost | C | actual cost | £1,500.00 | N/A | | tbc |
| Planning | | | | | | |
| Planning Application Fees:- For applications regarding minerals and waste, county council and school developments. | S | Statutory charge per application | Statutory charge per application | 0.0% | £0.180 | £0.180 |
| Monitoring fees:- For minerals and waste sites - active site. | S | £397.00 | S | N/A | £0.012 | £0.012 |
| Monitoring fees:- For minerals and waste sites - non active site. | S | £132.00 | S | N/A | | |
| Pre Application Discussions - minor schemes | C | £415.00 | £425.00 | 2.4% | £0.003 | £0.003 |
| Pre Application Discussions - major schemes | C | £1,030.00 | £1,050.00 | 1.9% | | |
| Commons Registration - Landowner Statement / Declaration under Section 15A (Growth and Infrastructure Act 2013) | C | £304.00 | £316.00 | 3.9% | | |
| Commons Registration search (CON29):- Fees for the provision of information on common land and village greens (VAT charged on top of fee) | C | £25.00 | £26.00 | 4.0% | | |
| Commons Registration - Search of Common Land registers for grazing rights. | C | £25.00 | £26.00 | 4.0% | | |
| Commons Registration:- Fees for the provision of a copy of the register. | C | £13.00 | £14.00 | 7.7% | | |
| Commons Registration:- Fees for the provision of a map of Common Land | C | £7.00 | £7.00 | 0.0% | | |
| Commons Registration - Creation of a right of common under Section 6 of the 2006 Act, resulting in the registration of new common land | S | £0.00 | S | N/A | | |
| Commons Registration - Creation of a right of common over existing common land | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Variation of a right of common | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Variation of a right of common resulting in the registration of new common land | S | £0.00 | S | N/A | | |
| Commons Registration - Apportionment of a right of common | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Attachment of a right of common | S | £0.00 | S | N/A | | |
| Commons Registration - Re-allocation of attached rights | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Transfer of a right in gross | C | £97.00 | £100.60 | 3.7% | | |
| Commons Registration - Surrender or extinguishment of a right of common | C | £193.00 | £201.00 | 4.1% | | |
| Commons Registration - Statutory disposition pursuant to section 14 of the 2006 Act (including the exchange of land for land subject to a statutory disposition) | C | £358.00 | £372.00 | 3.9% | | |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m |
|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| Commons Registration - Registration of a new town or village green other than by the owner | S | £0.00 | S | N/A | £0.025 | £0.026 |
| Commons Registration - Registration of a new town or village green by the owner | S | £0.00 | S | N/A | | |
| Commons Registration - Correction, for the purpose of section 19(2)(a) (of a mistake made by the registration authority) and 19 (2)(c) removing a duplicate entry from the register | S | £0.00 | S | N/A | | |
| Commons Registration - Correction, for a purpose described in section 19(2)(b) or (e) | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Correction, for a purpose described in section 19(2)(d) | C | £41.00 | £43.00 | 4.9% | | |
| Commons Registration - Severance by transfer to public bodies | C | £192.00 | £200.00 | 4.2% | | |
| Commons Registration - Severance authorised by order | C | £192.00 | £200.00 | 4.2% | | |
| Commons Registration - Non-registration of common land or town or village green | S | £0.00 | S | N/A | | |
| Commons Registration - Waste land of a manor not registered as common land | S | £0.00 | S | N/A | | |
| Commons Registration - Town or village green wrongly registered as common land | S | £0.00 | S | N/A | | |
| Commons Registration - Deregistration of certain land registered as common land or as a town or village green | C | £1,187.00 | £1,231.00 | 3.7% | | |
| Commons Registration - Historic Creation of a right of common | C | £454.00 | £471.00 | 3.7% | | |
| Commons Registration - Historic Surrender or extinguishment of a right of common. | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Historic Variation of a right of common | C | £426.00 | £442.00 | 3.8% | | |
| Commons Registration - Historic Apportionment of a right of common (to facilitate any other purpose) | C | £378.00 | £392.00 | 3.7% | | |
| Commons Registration - Historic Severance of a right of common | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Historic Transfer of a right in gross | C | £239.00 | £248.00 | 3.8% | | |
| Commons Registration - Historic Statutory disposition (including the exchange of land for land subject to a statutory disposition) | C | £426.00 | £442.00 | 3.8% | | |
| Commons Registration - Declaration of entitlement to exercise a right of common | C | £62.00 | £65.00 | 4.8% | | |
| Mines & Minerals Searches | C | £48.00 | £49.80 | 3.8% | | |
| Archaeology:- HER Searches - hourly rate | C | £70.00 | £73.00 | 4.3% | | |
| Trading Standards - Explosives. NOTE the Explosives and Petroleum Licences fees are Statutory and determined in early April, therefore please refer to Trading Standards Website after this date | | | | | | |
| Explosives - new registration 5 - 250kg NEQ (1 year's duration) | S | £111.00 | S | N/A | £0.013 | £0.013 |
| Explosives - new licence 250-2000kg NEQ (1 year's duration) | S | £189.00 | S | N/A | | |
| Explosives - renewal of registration 5 - 250kg NEQ (1 year's duration) | S | £55.00 | S | N/A | | |
| Explosives - renewal of registration 5 - 250kg NEQ (2 year's duration) | S | £88.00 | S | N/A | | |
| Explosives - renewal of registration 5 - 250kg NEQ (3 year's duration) | S | £123.00 | S | N/A | | |
| Explosives - renewal of registration 5 - 250kg NEQ (4 year's duration) NEW 22/23 Not previously included on Schedule | S | £155.00 | S | N/A | | |
| Explosives - renewal of registration 5 - 250kg NEQ (5 year's duration) NEW 22/23 Not previously included on Schedule | S | £189.00 | S | N/A | | |
| Explosives - renewal of licence 250-2000kg NEQ (1 year's duration) | S | £88.00 | S | N/A | | |
| Explosives - renewal of licence 250-2000kg NEQ (2 year's duration) | S | £150.00 | S | N/A | | |
| Explosives - renewal of licence 250-2000kg NEQ (3 year's duration) | S | £211.00 | S | N/A | | |
| Explosives - renewal of licence 250-2000kg NEQ (4 year's duration) NEW 22/23 Not previously included on Schedule | S | £272.00 | S | N/A | | |
| Explosives - renewal of licence 250-2000kg NEQ (5 year's duration) NEW 22/23 Not previously included on Schedule | S | £333.00 | S | N/A | | |
| Explosives - amendment to licence/registration | S | £36.00 | S | N/A | | |
| Explosives - all year round licence | S | £500.00 | S | N/A | | |
| Trading Standards - Petroleum Licences | | | | | | |
| Licence/renewal for up to 2,500 litres (price pa) | S | £45.00 | S | N/A | £0.013 | £0.013 |
| Licence/renewal for up to 50,000 litres (price pa) | S | £61.00 | S | N/A | | |
| Licence/renewal for over 50,000 litres (price pa) | S | £128.00 | S | N/A | | |
| Transfer of Licence | S | £8.00 | S | N/A | | |
| Conveyancing fees (VAT to be added at standard rate) | C | £68.00 | £71.00 | 4.4% | | |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m | | |
|--|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|--|--|
| Trading Standards - General (hourly rate) | | | | | | | | |
| Trading Standards Business Advice & Training | C | £68.00 | £71.00 | 4.4% | £0.001 | £0.001 | | |
| Primary Authority | C | £68.00 | £71.00 | 4.4% | | | | |
| Safety at Sports Grounds (Special Safety Certificate) | C | £68.00 | £71.00 | 4.4% | | | | |
| Trading Standards - Weights and Measures | | | | | | | | |
| Special Weighing & Measuring Equipment (plus VAT) | | | | | | | | |
| Weights & Measures Testing Fees - Link to Weights & Measures charges - http://www.cumbria.gov.uk/tradingstandards/businesses/weightsandmeasurescalibrationverificationtestingservices.asp | | | | | £0.004 | £0.004 | | |
| Calibration Charges will include Test And Report (price in per hour) | C | £68.00 | £71.00 | 4.4% | | | | |
| Weighing Instruments (price in per hour) | C | £68.00 | £71.00 | 4.4% | | | | |
| Measuring Equipment (price in per hour) | C | £68.00 | £71.00 | 4.4% | | | | |
| EV Charging points within Off Street Pay and Display car parks | | | | | | | | |
| EV Charging points within Off Street Pay and Display car parks (rate per kWh) NEW 22/23 | C | | £0.30 | 0.0% | | £0.047 | | |
| Off Street Pay and Display and Pay by phone charges | | | | | | | | |
| County Councils office car park CA1 1SL | | | | | | | | |
| 6pm on Friday to Midnight on Sunday (including public & bank holidays): | | | | | | | | |
| Up to 1 hour | C | £1.00 | £1.10 | 10.0% | £0.072 | £0.124 | | |
| 1-2 hours | C | £1.60 | £1.70 | 6.3% | | | | |
| 2-3 hours | C | £2.10 | £2.20 | 4.8% | | | | |
| 3-4 hours | C | £2.50 | £2.60 | 4.0% | | | | |
| 4-6 hours | C | £3.00 | £3.20 | 6.7% | | | | |
| All day | C | £3.50 | £3.70 | 5.7% | | | | |
| Any period between 6pm and midnight and midnight and 8am - Free | C | £0.00 | £0.00 | 0.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | 0.0% | | | | |
| Annual parking permit | C | N/A | £350.00 | N/A | | | | |
| Cecil Street car park, Carlisle CA1 1NT | | | | | | | | |
| All day, Monday to Sunday (i.e. 24 hours) (including public and bank holidays) | | | | | | | | |
| Up to 1 hour | C | £1.00 | £1.10 | 10.0% | | | | |
| 1-2 hours | C | £1.60 | £1.70 | 6.3% | | | | |
| 2-3 hours | C | £2.10 | £2.20 | 4.8% | | | | |
| 3-4 hours | C | £2.50 | £2.60 | 4.0% | | | | |
| 4-6 hours | C | £3.00 | £3.20 | 6.7% | | | | |
| All day | C | £3.50 | £3.70 | 5.7% | | | | |
| Any period between 6pm and midnight and midnight and 8am - Free | C | £0.00 | £0.00 | 0.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | N/A | | | | |
| Citadel car park, Carlisle | | | | | | | | |
| All day, Monday to Sunday (i.e. 24 hours) (including public and bank holidays) | | | | | | | | |
| Up to 1 hour | C | £1.10 | £1.20 | 9.1% | £0.040 | £0.041 | | |
| 1-2 hours | C | £1.90 | £2.00 | 5.3% | | | | |
| 2-3 hours | C | £2.60 | £2.70 | 3.8% | | | | |
| 3-4 hours | C | £3.10 | £3.30 | 6.5% | | | | |
| 4-6 hours | C | £5.10 | £5.30 | 3.9% | | | | |
| All day (between 08:00 hours and 18:00 hours each day) | C | £10.00 | £10.40 | 4.0% | | | | |
| Any 24 hour period | C | £15.00 | £15.60 | 4.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | N/A | | | | |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m | | |
|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|--------|--|
| County Hall car park, Kendal, LA9 4RQ - Areas 1&2 08:00-18:00 Saturday, 13:00-18:00 Sunday (including public and bank holidays) All day, Monday to Sunday (i.e. 24 hours) (including public and bank holidays) | | | | | | | | |
| Up to 1 hour | C | £1.20 | £1.30 | 8.3% | £0.135 | £0.135 | | |
| 1-2 hours | C | £2.20 | £2.30 | 4.5% | | | | |
| 2-3 hours | C | £3.20 | £3.40 | 6.3% | | | | |
| 3-4 hours | C | £3.90 | £4.10 | 5.1% | | | | |
| 4-6 hours | C | £5.00 | £5.20 | 4.0% | | | | |
| All day (08:00 to 18:00 each Saturday) | C | £6.00 | £6.30 | 5.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | N/A | | | | |
| County Hall car park, Kendal, LA9 4RQ - Area 4 08:00-18:00 Monday to Saturday, 13:00-18:00 Sunday (including public and bank holidays) All day, Monday to Sunday (i.e. 24 hours) (including public and bank holidays) | | | | | | | £0.130 | |
| Up to 1 hour | C | £1.20 | £1.30 | 8.3% | | | | |
| 1-2 hours | C | £2.20 | £2.30 | 4.5% | | | | |
| 2-3 hours | C | £3.20 | £3.40 | 6.3% | | | | |
| 3-4 hours | C | £3.90 | £4.10 | 5.1% | | | | |
| 4-6 hours | C | £5.00 | £5.20 | 4.0% | | | | |
| All day (08:00 to 18:00 each day Monday to Saturday) | C | £6.00 | £6.30 | 5.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | N/A | | | | |
| County Hall car park, Kendal, LA9 4RQ - Area 5 09:15 to 18:00 Monday-Friday (including public and bank holidays) , 08:00-18:00 Saturday, 13:00-18:00 Sunday All day, Monday to Sunday (i.e. 24 hours) (including public and bank holidays) | | | | | | | | |
| Up to 1 hour | C | £1.20 | £1.30 | 8.3% | | | | |
| 1-2 hours | C | £2.20 | £2.30 | 4.5% | | | | |
| 2-3 hours | C | £3.20 | £3.40 | 6.3% | | | | |
| 3-4 hours | C | £3.90 | £4.10 | 5.1% | | | | |
| 4-6 hours | C | £5.00 | £5.20 | 4.0% | | | | |
| All day (09:15 to 18:00 each day Monday to Friday, 08:00 to 18:00 each Saturday) | C | £6.00 | £6.30 | 5.0% | | | | |
| Blue badge holders:- Free for first 3 hours (no return within 1 hour of waiting) Any additional waiting to be paid for in accordance with the charges outlined above | C | N/A | N/A | N/A | | | | |
| Annual parking permit | C | N/A | £350.00 | N/A | | | | |
| NEW CAR PARKS - fees and charges any new Council operated car parks will be published on the Councils website | | | | | | | | |
| Penalty Charge Notice (PCN) | | | | | | | | |
| Lower level | | | | | £1.054 | £1.284 | | |
| If paid within 14 days | S | £25.00 | £25.00 | N/A | | | | |
| If paid within 15 days and service of notice to owner | S | £50.00 | £50.00 | N/A | | | | |
| If paid between service of notice to owner and service of charge certificate | S | £50.00 | £50.00 | N/A | | | | |
| If paid after service of charge certificate | S | £75.00 | £75.00 | N/A | | | | |
| Higher level | | | | | | | | |
| If paid within 14 days | S | £35.00 | £35.00 | N/A | | | | |
| If paid within 15 days and service of notice to owner | S | £70.00 | £70.00 | N/A | | | | |
| If paid between service of notice to owner and service of charge certificate | S | £70.00 | £70.00 | N/A | | | | |
| If paid after service of charge certificate | S | £105.00 | £105.00 | N/A | | | | |
| TOTAL ECONOMY & INFRASTRUCTURE | | | | | £5.878 | £6.602 | | |

| Description | Council Discretion (C) / Statutory (S) | from April 2021 | from April 2022 | Actual Percentage Change 2021 to 2022 | Annual Income 2021/22 £m | Annual Income 2022/23 £m |
|---|--|-----------------|-----------------|---------------------------------------|--------------------------|--------------------------|
| CUMBRIA FIRE & RESCUE | | | | | | |
| Personnel - Charges are per hour or part hour | | | | | | |
| Firefighter | C | £43.00 | £44.60 | 3.7% | £0.000 | £0.000 |
| Crew Manager | C | £48.00 | £49.80 | 3.8% | | |
| Watch Manager | C | £53.00 | £55.00 | 3.8% | | |
| Station Manager | C | £73.00 | £75.80 | 3.8% | | |
| Group Manager | C | £86.00 | £89.20 | 3.7% | | |
| Area Manager | C | £98.00 | £101.70 | 3.8% | | |
| Miscellaneous | | | | | | |
| Standard Fire Reports (minimum charge) | S | £152.00 | £157.70 | 3.8% | | |
| Fire Investigation Reports (Based on hourly rate) | S | £152.00 | £157.70 | 3.8% | | |
| Professional Consultation (minimum charge) | C | £152.00 | £157.70 | 3.8% | | |
| Plan Examination (minimum charge) | C | £152.00 | £157.70 | 3.8% | | |
| Inspection of Premises (minimum charge) | C | £152.00 | £157.70 | 3.8% | | |
| Personnel interview (minimum charge) | C | £152.00 | £157.70 | 3.8% | | |
| Report preparation re the above (per hour) | C | £84.00 | £87.20 | 3.8% | | |
| Admin Charge - % of total cost | C | 10% | 10% | 0.0% | | |
| TOTAL CUMBRIA FIRE & RESCUE | | | | | £0.000 | £0.000 |
| TOTAL CUMBRIA COUNTY COUNCIL | | | | | £44.935 | £46.599 |

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Committee: Cabinet

Date of meeting: 9 June 2022

Title of Report: Corporate Performance Position Report of refreshed Council Plan Delivery Plan - Quarter 4 2021/22

Report by: Pam Duke, Director of Finance (s151 Officer)

Cabinet Member: Stewart Young, Leader of the Council

What is the Report About? (Executive Summary)

1. The purpose of this report is to provide Cabinet with an update on corporate performance, incorporating position on:
 - The refreshed Council Plan Delivery Plan for the three-month period from 1 January 2022 to 31 March 2022.
2. This report focuses on the refreshed Council Plan Delivery Plan and position at Quarter 4 2021/22. The Council Plan Delivery Plan has been revised to better reflect the contextual changes arising from Local Government Reorganisation (LGR). The new Delivery Plan sets out a series of indicators supporting the four Council Plan outcomes, alongside an additional set of 'context indicators'.
3. Reporting of performance is essential so that Cabinet is informed about progress that is being made, where improvements are required and what action is being taken to address performance challenges both on operational and strategic issues. This report suggests an overall positive position of performance at Quarter 4 21/22 for the refreshed Council Plan Delivery Plan.

Recommendation of the Director

4. That Cabinet note overall performance relating to delivery of the implementation of the four Council Plan outcomes and context indicators, focusing on the indicators identified in the Council Plan Delivery Plan 2022/23 for the Quarter ending 31 March 2022.

Background to the Proposals

5. As a result of Local Government Reorganisation (LGR) within Cumbria, a 12-month extension to the existing four-year Council Plan was agreed by Cabinet in February 2022, and the Council Plan 2018-2022 will now run until 31 March 2023. This supports a consistent focus on delivery of the broader outcomes across the Council in a rapidly changing working landscape.

6. To reflect the changing parameters within the Council, a new Council Plan Delivery Plan 2022-23 was agreed by Cabinet in March 2022. The purpose of the Delivery Plan remains the same: to set out the key actions that the Council has committed to in order to successfully deliver the Council Plan.
7. Reporting of the new Council Plan Delivery Plan 2022-23 has been implemented this Quarter (Quarter 4 2021/22) and the previous 50 actions and 41 performance measures are replaced with 67 broader indicators, combining both qualitative and quantitative performance information.
8. These 67 indicators are categorised into eleven new themed areas split across the existing four Council Plan outcomes. There is also a set of new 'context indicators' - indicators that the council contribute to but do not fully own, providing context for the performance landscape of the Council. The new themed areas and their alignment to Council Plan outcomes are shown in Table 1.

Table 1 - CPDP 2022/23 Outcomes and Themed Areas

| Council Plan Outcome | Themed area | No. of Indicators |
|--|--------------------------------|-------------------|
| New ways of working and achieving financial sustainability | Customer focus | 5 |
| | Service delivery | 7 |
| | Workforce & Infrastructure | 4 |
| People in Cumbria are Healthy and Safe | Adults and Older People | 8 |
| | Children and Young People | 5 |
| | Fire and Community Safety | 4 |
| | Population health | 3 |
| Places in Cumbria are Well Connected and Thriving | Educational Attainment/Outcome | 8 |
| | Thriving places | 5 |
| | Transport | 6 |
| The Economy in Cumbria is growing and benefits everyone | Economy | 8 |
| Context | Context indicators | 4 |

9. Appendix 1 includes a summary for each themed area for the Quarter ending 31 March 2022. Each summary provides an update on relevant indicators, a summary of related Corporate Risks and financial impacts. Taken together, these provide an overview of the resource and management of the Council in delivering the Council Plan and fulfilling its statutory duties. Cabinet is briefed on the Corporate Risk Register on a quarterly basis which is then subject to assurance review by the Audit and Assurance Committee.
10. During Quarter 4 21/22 the Omicron variant of Covid continued to have a direct and disruptive impact on staff shortages, with increased absence levels between January and March. Alongside this, Local Government Reorganisation preparations have continued to accelerate providing additional competing priorities.

11. This covering report includes the highlights of achievements, areas of improvement, items with short term issues and updates on the longer-term issues for indicators at Quarter 4 2021/22.

Corporate Performance Overview

12. The Council Plan Delivery Plan Quarter 4 2021/22 provides qualitative and quantitative information to describe how well the Council is performing against 67 performance indicators aligned to the Council Plan outcomes.
13. A summary of the position of these indicators as at the end of Quarter 4 2021/22 is provided in Figure 1.

Figure 1 - CPDP Summary Position of Indicators at End of Quarter 4 2021/22



14. The overall position by the end of Quarter 4 2021/22 was that the majority, 36 of the 63 (57%) Council Plan Delivery Plan indicators had delivered, met or were on track to meet the planned milestone and rated green, 19 (30%) were in progress and at risk of missing the milestone and rated amber. For the same period 8 indicators (13%) were expected to miss a key milestone or not fully deliver as intended and therefore rated red.
15. A comparison with Quarter 3 is not valid because of the significant changes made to the list of indicators reported against.
16. The outcome of 'The economy in Cumbria is growing and benefits everyone' has the highest proportion of green RAG rated indicators (75%), whereas 'Places in Cumbria are Well Connected and Thriving' has the highest proportion of Red RAG rated indicators (21%).

Performance across the broader context

17. The Council Plan Delivery Plan Quarter 4 2021/22 includes four contextual indicators that the council contribute to but do not fully own, providing context for the performance landscape of the Council.
18. A summary of the position of these indicators as at the end of Quarter 4 2021/22 is provided in Figure 2.

Figure 2 - CPDP Summary Position of Contextual Indicators at End of Quarter 4 2021/22



19. These context indicators suggest there is not significant variance from national trends and no indicators are RAG rated Red at this time. The claimant counts are still impacted from COVID-19 related factors. Volumes in South Lakeland are still 81% higher than two years ago and in Eden and Carlisle they are 32% and 37% higher respectively. Although employment rates are similar in Cumbria (74.0%) compared to national (74.5%), there has been a fall in the employment rate compared to previous years where it has fallen from 79.0% a year ago and 79.4% two years ago and this is greater than the national decline in employment rate of around 1%. Cumbria's working age population qualified to at least level 2 is similar to national figures. The 2020/21 data showed that the number of Apprenticeship starts by Cumbrian residents fell by 70 in 2020/21 to 3,580 (-2%) following a fall of 685 (16%) the previous year.

20. **Summary of Achievements as at Quarter 4 2021/22**

| Action | Indicator description | Summary of update |
|--------|--|--|
| 2.19 | The number of accidental primary dwelling fires to be less than 241 in 2021/22 | There were 222 accidental primary dwelling fires across Cumbria throughout 2021/22. On-going prevention and protection work is further integrated with a revised communications strategy. There were no increases in the number of fatalities at primary dwelling fires during 2021/22 compared to 2020/21. CFRS will be applying a 5% reduction based on the 5-year average to the accidental primary dwelling fires target for 2022/23. |
| 3.11 | Maintenance of Level 3 funding from the Department for Transport. | Band 3 (Highest Band) was confirmed by DfT in March 2022 along with confirmation of funding for 2022/23. Highways improvements as set out in the Highways Asset Management Strategy have progressed adopting a risk-based approach and whole life costs of all highway assets. Submission of the next annual self-assessment is expected to be completed in Qtr 4. |
| 3.18 | The 'September Guarantee' duty (where all young people aged 16 and 17 receive a suitable offer of learning) to 98% or higher for 2021/22. | This is measured annually and 2021 data was released in January 2022 showing that 97.6% of young people had received a suitable offer of training/education in Cumbria in 2020/21. Of the remainder, an offer was not appropriate for 1.5%, no offer was made to 0.2% and no information was available for 0.7%. The proportion receiving a suitable offer in Cumbria was up by 1.1 percentage points from 2020 (when it was 96.5%) and was above the national average of 95.5%. |
| 4.5 | We will continue to take the lead enabling role for multi-agency recovery from COVID-19 in Cumbria maximising opportunities and facilitating recovery across the county, with our communities. | The Recovery Strategy Outcomes Framework is now fully embedded in the plans for the Strategic Partnerships and monitoring is through the relevant mechanisms for the partnerships. Any issues can be escalated to the Chief Executive's Group. |

21. **Areas displaying improvement as at Quarter 4 2021/22**

| Action | Indicator description | Summary of update |
|--------|--|---|
| 1.1 | Complete the digital service projects including Single Point of Access (SPA) and website development (to ensure that our website content is ready for the 2 new authorities) | <p>Both the SPA and website project are advanced and will be completed within timescale. All of the SPA teams have now transitioned into the service centre. A new customer contact system has been put in place and new ways of working are being established across the team. Unanswered calls have been significantly reduced. Partners are now being engaged and further improvements made across the system.</p> <p>The website project is advanced - significant improvements have been made in content including work across the highways content. Further content improvement is being rolled out.</p> |
| 1.2 | Complete the library workforce and infrastructure development programme, continued transformation programme for Carlisle and Kendal libraries | <p>The Library and Archives reshape is now complete. Ongoing work is underway to establish new ways of working including customer interaction. Work is underway with partners and Council services to further establish Libraries as community centres. Kendal Library refurbishment is on target. The Carlisle Community and Digital Hub is on target. An architect is due to be appointed in April 2022. There is an effective working group that includes partners.</p> |
| 1.11 | In Children and Families services further embed our signs of safety practice methodology by implementing the ICT module to increase consistency of practice. | <p>Children Looked After (CLA) updated forms in our system are live, and Practice Development Workers have attended teams across county to gain direct feedback around Signs of Safety practice, which is fed into the Implementation Group.</p> <p>The Signs of Safety Practice Development Team deliver training, coaching and mentoring in groups and one-to-one sessions.</p> <p>There was an 'IT system alignment' initial meeting on 11th April 2022 involving Cumbria County Council Senior Leaders, Elia and Liquid Logic. The Signs of Safety Implementation Group is in place and a development plan is being finalised and communicated.</p> <p>A 'Practice Champions' network is in place.</p> <p>Six-Day Signs of Safety training is planned for Signs of Safety Practice Champions, Practice Educators, and Leaders who have not previously completed this.</p> <p>Two-day Signs of Safety Training for staff who haven't already completed will be delivered in May and July 2022. The Signs of Safety Core Training is available to all staff and partners on the Learning Pool.</p> <p>Appreciative Inquiry training delivered as Cumbria's leaning method, to inform organisational learning so we can learn from "what works".</p> |

| | | |
|------|---|--|
| 2.17 | The County Council will ensure that it is meeting its new and emerging statutory duties on Community Safety, including the duties in the Domestic abuse Act and the Police, Crime, Sentencing and Courts Act. | <p>The County Council has fulfilled its duties under section 4 of the Domestic Abuse Act 2021 by publishing a needs assessment and strategy on Domestic Abuse. It has also convened the Local Partnership Board as required under the act.</p> <p>Officers are currently working with partners to commission services for 2022/23 to utilize the DLUHC grant.</p> <p>CMT has recently considered a report about the Council's anticipated duties under the Police, Crime, Sentencing and Courts Bill. It is also receiving a wider report on the work of Safer Cumbria. The impact of LGR on this area of work is also being worked on. The Signs of Safety Practice Development Team deliver training, coaching and mentoring in groups and one-to-one sessions.</p> |
| 2.4 | Develop the use and understanding of measures for young people's emotional health and wellbeing in Cumbria, ensuring wider engagement with partners | The multi-agency group, under the Children and Families Partnership (formerly the Children's Trust Board), has developed a set of shared indicators across the system to offer assurance across all levels of Children's Emotional Health and Wellbeing services, accessibility and pathways. Baselines are to be established and a reporting schedule developed throughout the year to show progress against the measures. |
| 3.6 | Complete implementation of customer highways system and demonstrate improved customer experience and improved processes. | New customer front end reporting system for Highways now fully implemented with positive feedback from both public and members. Further work progressing to improve member interface through My Account. It is recognised that there remain areas for development and these are being progressed. |
| 3.17 | The proportion of 16-17 year olds Not in Employment, Education and Training (NEET) are lower or follow similar trends to national figures. | <p>The period December 21 to February 22 is considered the most appropriate time period to assess young people's activity as most will be settled in their chosen post 16 route and this forms the official "scorecard" period. DfE have not yet released the official data but we calculate Cumbria's scorecard rate to be 3.4% compared to a national average of 4.7% and an average for our statistical neighbours of 4.6%. This measure should be considered in conjunction with 3.18 which has also shown positive results.</p> <p>This represents an average of 345 young people not in employment, education or training (or whose status is unknown and are therefore assumed to be NEET). Both the rate and count at county level are the lowest they've been in the past 7 years. Five of Cumbria's districts have NEET rates below the national average for the scorecard period, the exception being Carlisle with a rate of 5.4% which is 0.7 percentage points above the national average and is the first time Carlisle's Dec-Feb rate has been above the national average in recent years.</p> |

| | | |
|-----|--|---|
| 4.1 | Establish an organisation level Carbon baseline by 2022. | <p>The Scope 3 Baseline has been received in draft for review. A further organisational action plan is being developed to help identify key areas of focus to support the requisite reduction in carbon.</p> <p>Cabinet will receive a report in Qtr 3 2022/23 setting out the findings of the report and the action plan for approval.</p> |
| 4.7 | Continue to secure and implement investment for future regeneration. Working with local partners to attract investment from Towns Deals, Future High Street Funding and the Levelling up Fund with at least 3 projects started by March 2023 | <p>Positive progress continues to be made in the development and delivery of Town Deals, Levelling Up Fund and Future High Street Fund proposals across the county. Investment has been secured in County Council priorities around active and sustainable travel. The Borderlands Inclusive Growth Deal continues to progress with Carlisle Station and Citadels moving to delivery. Good progress is also being made with respect to See More Lake District, Place Programme, Hadrians Wall and Energy Masterplanning. Work is ongoing to refresh project pipelines in readiness for future opportunities. The Council is also supporting a number of districts in the appraisal of Towns Deal schemes.</p> |

22. Areas with shorter term issues as at Quarter 4 2021/22

| Action | Indicator description | Summary of update |
|--------|---|--|
| 3.1 | Cumbria Fire and rescue will audit all high risk premises over a 3 year cycle as per our Risk Based Inspection Programme and will also sample medium risk. | <p>The team completed 460 audits (high, medium and low) resulting in 18 enforcement notices and 7 prohibitions being issued. The overall number is below target (800) as the early part of the year saw difficulties in engaging with businesses affected by lockdown and COVID-19 restrictions, we also detached a number of our team to support the vaccination programme in the mass vaccination centres.</p> <p>This year we have refreshed our Risk Based Inspection Programme to include all parent and child properties. This has meant that we now have 1,530 high-risk premises to audit over the 3-year period.</p> <p>The addition of three Level 3 advisors has resulted in projected increases to the number of medium risk audits we complete.</p> |
| 2.20 | Develop, pilot and implement alternative models of Cumbria Fire and Rescue Service delivery that are more suitable to the risk, demand and geography of our county, whilst maintaining the delivery of a minimum 10,000 Safe and Well visits. | <p>In 21/22 we completed 7,395 Safe and Well visits, which is under the 10,000 target. The figure was affected by restrictions in place in the early part of the year due to Covid and some long-term sickness absences within the Community Safety Team.</p> <p>Two fire-stations run a pilot during Qtr 3 and Qtr 4 where targets were removed to evaluate a move towards the NFCC Person-Centred Framework examining the balance between a qualitative and quantitative approach. The outcome is still being evaluated.</p> |
| 2.13 | Assistive technology utilisation maintained at 4,166 service users in 2020/21 | Data for February 2022 shows 4,389 service users which is above the target of 4,166. Automated data extraction is still problematic and is aiming to be resolved by Q1 2022/23. |
| 3.9 | The number of days taken to close a Highways customer enquiry to reduce from 7.43 days. | Historical enquiries data was pulled from Highways Information Management System (HIMS) which was decommissioned last year and superseded by Highways Integrated Asset Management System (HIAMS). The figure stated within this indicator is essentially now obsolete and there is no way of updating this value. Enquiries data is now logged on Liberty Create but currently there is limited reporting functionality available. Data expected to be available for Qtr 1 2022/23. |
| 1.4 | 90% of FOI and EIR requests dealt with within 20 day statutory timescale. | Performance has dipped slightly to 82% due to staff absence and a large volume of requests received (295). A new Service Lead has been recruited who will undertake a more intensive tracking and monitoring system (including strong quality monitoring and liaison with the different directorates). |

23. **Updates for areas with longer term challenges as at Quarter 4 2021/22**

| Action | Indicator Description | Summary of update |
|--------|---|---|
| 2.2 | Reduce prevalence of overweight and obesity in reception years to 22% by 2022/23 and progress to reduce the proportion of overweight and obese children in year 6 to the lowest district rate in Cumbria (29%). | <p>The most recent data (20/21) has shown a significant increase in both age ranges. The data shows that we now have 30.4% of Reception children and 40.1% of Year 6 children classed as 'Overweight'. This includes those who are obese and severely obese. It is a significant increase compared to our previous full data set from 18/19 – Reception 25.6% and Year 6 33.5%. Some areas nationally are hitting 50%.</p> <p>Obesity, including severely obese numbers, have increased by around 5 percentage points in both age ranges from the previous 2 years. Cumbria has never hit these levels previously since National Child Measurement Programme (NCMP) began and is considered to be due to the impact of COVID-19 and lockdowns.</p> <p>Due to the fantastic effort by our NCMP Screeners Cumbria was one of the few counties that were able to get their data with 86.4% of Reception and 84.5% of Year 6 children screened. The screeners did this in roughly 3 months when they could get back into schools.</p> <p>The NCMP screeners will call parents/carers direct where their child is severely obese to signpost to support. The proposed pathway will help ensure we are directing parents/carers to the right support where possible, although the challenge is there isn't any support. All letters sent to parent/carers regarding their child's weight now signposts to E-School nurse so there is a starting point at least.</p> <p>The Healthy Habits For Life (HH4L) will only cover a handful of Reception children alone and is a pilot. We need to ensure we learn from the programme so we can ensure this is commissioned in the future as part of a larger, more robust Healthy Weight offer.</p> <p>We are also developing a clearer offer to schools and settings, so when there is a specific issue, we have a package of support for them to address Healthy Weight as part of a whole school approach. This has always been available, but we recognize the need to revisit and refresh this and use the intelligence we have through NCMP to be more responsive.</p> |

| Action | Indicator Description | Summary of update |
|--------|---|---|
| 2.6 | Bring numbers of Children Looked After and those supported with a child protection plan (1,343 children) to be more in line with statistical neighbours (953 children). | <p>The picture over the last year has been one of a small increase quarter-on-quarter. At the end of Qtr 1 2021-22 there were 1,149 children looked after or supported with a child protection plan and, by Qtr 2 2021-22 (end of June), this figure had increased to 1,168, followed by another increase to 1,194 in December. The figure for February this year is 1,227. There has been a slight reduction in the rate of Looked After Children in Cumbria over the last year (79.4 per 10,000 aged 0-18 in February 2022) but this figure remains above the national (65 per 10,000) and statistical neighbour (61 per 10,000) rates (both from the year 2018-19) and we know demand has increased in most Local Authorities due to Covid 19.</p> <p>The number of children with a protection plan has increased over the last year (to 53.3 per 10,000 aged 0-18 in February 2022) and remains above the statistical neighbour rate of 42.1 per 10,000 aged 0-18. Rates have fluctuated over the year in the Districts, but Barrow (80.9 per 10,000) and Copeland (75.5 per 10,000) figures are higher than the other 4 districts. It is considered that the impact of COVID-19 has influenced recent increase in child protection numbers. Embedding our early help strategy and implementation plan will help to identify and meet children's needs and reduce risk sooner, and we are still proactively working on our child protection planning and further embedding our practice framework (Signs of Safety) as we know when applied this is having positive outcomes for children and families.</p> |

Options Considered and Risks Identified

24. Where performance is flagged red, amber or deteriorating in performance direction of travel, service managers will consider options to ensure performance is back on track, within target, or to increase the pace of improvement.
25. Where performance is flagged green, Service Managers will consider the options for delivering further improvement, setting more ambitious targets, or reducing performance in some areas to an acceptable level to invest in other lower performing services
26. A number of performance risks have been flagged red in Appendix 1. These indicators could have an adverse impact on the Council's reputation, service standards and / or Council budget and therefore mitigating actions are required to reduce these risks; details of which are included and linkage to corporate risks are highlighted in Appendix 1 (where appropriate).

Reasons for the recommendation/key benefits

27. The recommendations are intended to:
 - Ensure public accountability for corporate performance and that the Council can demonstrate a good level of public performance reporting.
 - Enable Cabinet to celebrate areas of Council services that are performing well and to consider appropriate action if performance is not at an acceptable level, or the pace of improvement is slower than expected.
 - Ensure that there is accountability at officer level for corporate performance and for the delivery of Council Plan priorities.

Financial – what resources will be needed and how will it be funded?

28. The Council's Corporate Performance Report provides progress on the 2022/23 Council Plan Delivery Plan for the period ending 31st March 2022. There are a number of indicators flagged as red or amber and Service Managers are expected to consider options to get performance back on track. Where indicators are green, service managers are expected to consider the options for delivering further improvement, setting more ambitious targets, or reducing performance to an acceptable level. In preparing these options, resource and value for money implications of each option are considered.
29. The Council Plan 2018-2023 sets out the Council's priorities and aspirations. The resource and value for money implications of the plan are considered as part of the annual budget planning process.
30. Appendix 1 includes summary commentary in relation to direct financial impacts of those indicators set out against the Outcomes, New Ways of Working and Achieving Financial Sustainability. More detailed commentary regarding overall financial monitoring is contained in the report elsewhere on today's agenda.

Legal Aspects – what needs to be considered?

31. It is a function of Cabinet to implement the Council Plan and to consider and review reports on the Council's performance. The report is for noting and there are no direct legal implications.

Health and Safety – what needs to be considered/noted?

32. The Council has a responsibility under the Health & Safety at Work Act 1974 to ensure, as far as is reasonably practicable, that adequate health and safety provisions are in place.
33. Whilst there are no direct health and safety related implications arising from the performance report itself, it is noted that a number of performance indicators have consideration for ensuring a strong health, safety and wellbeing culture in line with the Council's corporate health, safety and wellbeing policy statement last agreed by Cabinet in July 2021.

Council Plan Priority

34. The revised Council Plan Delivery Plan 2022/23, and Qtr 4 2021/22, sets out the Council's key programmes of work and activity to deliver on the outcomes set out in the Council Plan 2018/23. The content of this report highlights the extent to which commitments and targets have been met.

What is the Impact of the Decision on Health Inequalities and Equality and Diversity Issues?

35. An Equality Impact Assessment was carried out in respect of the Council Plan 2018/22.
36. It is the responsibility of the relevant Assistant Director to ensure that the considerations raised in any Service Equality Impact Assessment are considered when planning and delivering services.

Appendices and Background Documents

Appendix 1 – Council Plan Delivery Plan Position Report - Quarter 4 2021/22.

Key Facts

Electoral Division(s): All

| Executive Decision | Key Decision Included in Forward Plan | Exempt from call-in | Exemption agreed by scrutiny chair | Considered by scrutiny, if so detail below | Environmental or sustainability assessment undertaken? | Equality impact assessment undertaken? |
|--------------------|---------------------------------------|---------------------|------------------------------------|--|--|--|
| √ | √ | No | N/A | No | N/A | N/A |

Approved by the relevant Cabinet Member/s on 29/04/22

Previous relevant Council or Executive decisions

None

Consideration by Overview & Scrutiny

None

Background Papers

None

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12 May 2022

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**COUNCIL PLAN DELIVERY
 PLAN POSITION REPORT**
 (Position at 31 Mar 2022 - Quarter 4 2021/22)

| RAG Alert | Qualitative Action | Quantitative Action |
|-----------|--|---|
| RED | Has missed or is expected to miss a key milestone date in the current plan | Off target by greater than 10% (urgent action required to improve performance) |
| AMBER | At risk of missing a key milestone date but recovery plan in place | Off target but within target by 5-10% (some action required to improve performance) |
| GREEN | On schedule or key milestone date met | On target, exceeding target, or within 5% of target (action may be required to achieve further improvement) |

Direction of Travel arrows indicates whether performance is

better  worse  stayed the same  *since the last quarter*

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 1: New ways of working and achieving financial sustainability
Themed Area: Customer focus

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|--|
| 1.1 | Complete the digital service projects including Single Point of Access (SPA) and website development (to ensure that our website content is ready for the 2 new authorities) | Green | Sustaining |
| 1.2 | Complete the library workforce and infrastructure development programme, continued transformation programme for Carlisle and Kendal libraries | Green | Improving |
| 1.3 | 80% of corporate complaints dealt with satisfactorily at informal stage. | Green | Sustaining |
| 1.4 | 90% of FOI and EIR requests dealt with within 20 day statutory timescale. | Red | Sustaining |
| 1.5 | 75% of Subject Access Requests responded to within 1 calendar month. | Amber | Sustaining |

Key Indicator Updates
Outcome 1: New ways of working and achieving financial sustainability
Themed Area: Customer focus

Commentary for areas of progress:

1.1: Both the SPA and website project are advanced and will be completed within timescale. All of the SPA teams have now transitioned into the service center. A new customer contact system has been put in place and new ways of working are being established across the team. Unanswered calls have been significantly reduced. Partners are now being engaged and further improvements made across the system.

The website project is advanced - significant improvements have been made in content including work across the highways content. Further content improvement is being rolled out.

1.2: The Library and Archives reshape is now complete. Ongoing work is underway to establish new ways of working including customer interaction. Work is underway with partners and Council services to further establish Libraries as community centers. Kendal Library refurbishment is on target. The Carlisle Community and Digital Hub is on target. An architect is due to be appointed in April 2022. There is an effective working group that includes partners.

1.3: 236 concerns received - 198 resolved within the quarter

Commentary for areas for improvement and detail of ongoing action:

1.4: Performance has dipped slightly due to staff absence and a large volume of requests received (295). A new Service Lead has been recruited who will undertake a more intensive tracking and monitoring system (including strong quality monitoring and liaison with the different directorates).

1.5: Slight drop in performance (2% from target). A new Service Lead has been recruited to ensure quality monitoring and tracking.

Commentary for Risk updates:

There are currently no corporate risks in relation to these measures.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23

Quarter 4 2021/22

Key Indicator Updates

Outcome 1: New ways of working and achieving financial sustainability

Themed Area: Service delivery

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|---|----------------------------|--|
| 1.6 | Strengthen support for and increase operational availability in Cumbria Fire and Rescue On-call stations through ongoing recruitment, development of managers and different working arrangements to ensure availability of appliances by December 2022. | Amber | Sustaining |
| 1.7 | Ensure that the Cumbria Fire and Rescue Service has sufficient capacity, resources and a succession plan to ensure it can meet its legal obligations and future demand from the Grenfell Tower Investigation and changes to legislation. | Green | Sustaining |
| 1.8 | Whilst continuing to respond to continuing COVID-19 demands review new Adult Social Care structure to inform future delivery models and manage future demand. | Amber | Sustaining |
| 1.9 | Take actions to mitigate the impact of increasing demands of SEND travel and reduce the % spend over budget in 2022/23 compared to previous year | Amber | Sustaining |
| 1.10 | Maintain or increase in the percentage of Council commissioned spend with Cumbrian SMEs from 35%. | Green | Sustaining |
| 1.11 | In Children and Families services further embed our signs of safety practice methodology by implementing the ICT module to increase consistency of practice. | Green | Improving |
| 1.12 | All services delivered in line with the agreed 2022/23 revenue budget, including allocations of COVID-19-related grant. | Green | Improving |

Key Indicator Updates

Outcome 1: New ways of working and achieving financial sustainability

Themed Area: Service delivery

Commentary for areas of progress:

1.7: We have managed to employ 3 full time fire protection advisors who can audit medium risk premises and who are working towards their Level 4 qualification. This has been financed from base budget. Thanks to an ongoing Home Office grant we are also able to have 2 temporary (fixed term) crew managers who are working towards level 3. These roles mean that we have succession planning in place for both our non uniform and uniformed inspectors.

1.10: In quarter 4, 32% of spend was with Cumbrian SMEs, unchanged from quarter 3 and just below the target of 35%. Overall, 56% of spend was with SMEs (local and national) and 48% of spend was with Cumbrian based organisations (all sizes).

1.11: Children Looked After (CLA) updated forms in our system are live, and Practice Development Workers have attended teams across county to gain direct feedback around Signs of Safety practice, which is fed into the implementation group. The Signs of Safety Practice Development Team deliver training, coaching and mentoring in groups and one-to-one sessions.

There was an 'IT system alignment' initial meeting on 11th April 2022 involving Cumbria County Council Senior Leaders, Elia and Liquid Logic. The Signs of Safety Implementation Group is in place in place and a development plan is being finalised and communicated.

A 'Practice Champions' network is in place.

Six-Day Signs of Safety training is planned for Signs of Safety Practice Champions, Practice Educators, and Leaders who have not previously completed this.

Two-day Signs of Safety Training for staff who haven't already completed will be delivered in May and July 2022. The Signs of Safety Core Training is available to all staff and partners on the Learning Pool.

Appreciative Inquiry training delivered as Cumbria's leaning method, to inform organisational learning so we can learn from "what works".

Independent Reviewing Officer (IRO) development sessions have been completed, with a focus on conference agenda, testing safety plans and creating solution-focused questions to do this. Practice Guidance in place for IRO service.

1.12: In relation to 2021/22 budget at Outturn, against a revised net revenue budget spend of £416.848m, the forecast outturn position is £408.347m which is an underspend of (£8.501m) before transfers to reserves, taking into account the impacts of COVID-19 and drawdown of available COVID-19 funding. The outturn position includes significant pressures of £58.613m, £44.137m of which are COVID-19 related and £14.476m of other pressures, these have been partly, but not fully met, by specific grant funding, other contributions and COVID-19 emergency funding received from Government for this financial year. One-off delays in expenditure in some directorates have led to temporary underspends, which are mitigating the pressures to give an underspend outturn position at 31st March 2022.

Commentary for areas for improvement and detail of ongoing action:

1.6: This is an ongoing piece of work. CFRS have recruited Whole-time firefighters to bring our establishment levels up to the required amount. We are instigating a new recruitment campaign to find 74 new on call firefighters to ensure that our on call stations are at the expected levels. Manager development is ongoing.

1.8: An Adult Social Care Vision and Strategy is now in place which sets out the strategic direction of the service up to 2023. A review of the new ASC structure implemented in November 2019 is ongoing. The review will support the service to understand the demand and workload of teams during the Covid response period and inform the development of service models post LGR. Due to LGR there will be limited opportunity to make changes to the existing structures prior to vesting day.

1.9: During 2022/23 we will continue to consider the use of our in-house transport delivery team where there is financial betterment for the Council. We will continue to rationalise transport routes where it is appropriate to do so ensuring that the Council fulfils its statutory obligations. We will continue to offer grants to parents and carers where it is more appropriate for them to provide the transport.

Commentary for Risk updates:

1.8 is aligned to the risk related to the Health & Social Care Demand & System Failure and this risk score has remained at 25 over the last quarter.

1.11 is aligned to both the risk Increasing Demand on Children's Services and the risk related to the Health & Social Care Demand & System Failure, the Children's Services risk retains a score of 16 at the end of Q4 and the Health & Social Care risk retains a risk score of 25.

1.12 aligns to the risk to Deliver a Financially Sustainable Authority and at the end of Q4 this risk retains a rating of 20.

Commentary for Finance updates:

No further update

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 1: New ways of working and achieving financial sustainability
Themed Area: Workforce & Infrastructure

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|---|----------------------------|--|
| 1.13 | Deliver ICT improvement and investment profile across key frontline services (particularly libraries) – to improve technology and maintain cyber security arrangements. | Green | Sustaining |
| 1.14 | Deliver the workforce plan “Our People Plan” for 2022/23. | Green | Sustaining |
| 1.15 | Ensure CCC is contributing to and working with 6 district councils to transition to two unitary councils on 1 April 2023. | Green | Sustaining |
| 1.16 | Deliver a fully funded Cumbria Local Government Pension Scheme (CLGPS) by ensuring the target for administration casework performance is achieved (or exceeded) and investment performance is in line with (or outperforms) CLGPS’s bespoke investment benchmark over 10 years during 2022/23 | Green | Sustaining |

Key Indicator Updates

Outcome 1: New ways of working and achieving financial sustainability

Themed Area: Workforce & Infrastructure

Commentary for areas of progress:

1.13: The success of the ICT response to the Covid-19 response programme continues to be sustained with strong ICT performance continuing across priority ICT programmes within allocated budgets and to agreed timescales. Further investments into the County Council network capacity has been a success to enable high numbers of users and to work from home and connect digitally with appropriate resilience.

The new Highways Information and Asset Management system continues to be embedded with Phase 2 of the new People Management case management system now in place and wider programmes progressing to plan.

All system and network maintenance performance issues are managed promptly to minimise impact on the customer.

ICT and Cyber Security remains a top focus with resources in place for daily monitoring and exception reporting where required. The annual ICT security health check is complete with the 2022 PSN submission being considered by Government. All recent high-risk Cyber Security threats are closely monitored and managed through controls outlined in the strategic risk register.

County Council ICT resources remain focused on priority investments to ensure Council services remain fit for purpose and highest quality possible during 2022/23. Significant focus and CCC resources are however now allocated to lead and manage key ICT and systems elements of the LGR and Fire Reform programmes so important limited ICT resources are focused on priority projects.

1.14: The development of the new Our People Plan 2022-23 which outlines how we will support, engage, enable and develop our workforce to deliver quality services to our communities has been agreed by Cabinet. A delivery plan and workforce launch is in progress.

1.15: The council is continuing to work with Government and the six district councils to deliver the joint LGR Programme to transition to the two new unitary councils on 1 April 2023. Following a reset of the programme's structure and governance, additional CCC senior officers are now included in the membership of the LGR Programme Board. In addition, CCC officers make up a significant part of the programme management team and are performing many of the technical lead roles. CCC Cabinet members have also taken up the council's three positions on each of the Joint Committees, in line with the Structural Changes Order.

1.16: Draft figures indicate that the pension Fund continues to meet its overall indicator target and remains a fully funded scheme at over 100% as at last valuation at the end of Qtr 3. Despite challenging global investment markets, it is anticipated that investment performance will continue to be in line with the Fund's 10 year bespoke investment benchmark (as at 31st December Fund investment performance was ahead of its bespoke benchmark, delivering a return of 9.7% per annum versus a benchmark of 8.7%). There has been a slight worsening in administration performance but the target is still being met with Administration casework performance: 96.39% against a target of 95% (previous quarter performance was 98.6%). This was anticipated and is expected to be temporary.

Commentary for areas for improvement and detail of ongoing action:

Commentary for Risk updates:

1.13 This action aligns with the Information Security Arrangements risk and has retained a score of 15 as per Quarter 3. 1.14 aligns to the Workforce Capacity risk and this risk retains a score of 20.

1.15 aligns with the Local Government Reform Organisational risk for 2021/22 and this risk score has not changed over the last quarter and remains at 16.

Commentary for Finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe
Themed Area: Population health

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|--|
| 2.1 | Continued development of a whole system approach addressing the common areas of obesity through continued engagement and accountability across all partners. Within the approach, maintain and develop Cumbria's universal proportionate pathway for children, young people and their families which includes prevention and early intervention. | Amber | Improving |
| 2.2 | Reduce prevalence of overweight and obesity in reception years to 22% by 2022/23 and progress to reduce the proportion of overweight and obese children in year 6 to the lowest district rate in Cumbria (29%). | Red | Worsening |
| 2.3 | Continue to develop a flexible and robust system for outbreak management and infection prevention and control, focused on COVID-19 during 2022/23 but applicable in the longer term to wider health protection support. | Amber | Sustaining |

Key Indicator Updates

Outcome 2: People in Cumbria are Healthy and Safe

Themed Area: Population health

Commentary for areas of progress:

Commentary for areas for improvement and detail of ongoing action:

2.2: The most recent data (20/21) has shown a significant increase in both age ranges. The data shows that we now have 30.4% of Reception children and 40.1% of Year 6 children classed as 'Overweight'. This includes those who are obese and severely obese. It is a significant increase compared to our previous full data set from 18/19 – Reception 25.6% and Year 6 33.5%. Some areas nationally are hitting 50%.

Obesity, including severely obese numbers, have increased by around 5 percentage points in both age ranges from the previous 2 years. Cumbria has never hit these levels previously since National Child Measurement Programme (NCMP) began and is considered to be due to the impact of COVID-19 and lockdowns.

Due to the fantastic effort by our NCMP Screeners Cumbria was one of the few counties that were able to get their data with 86.4% of Reception and 84.5% of Year 6 children screened. The screeners did this in roughly 3 months when they could get back into schools.

The NCMP screeners will call parents/carers direct where their child is severely obese to signpost to support. The proposed pathway will help ensure we are directing parents/carers to the right support where possible, although the challenge is there isn't any support. All letters sent to parent/carers regarding their child's weight now signposts to E-School nurse so there is a starting point at least.

The Healthy Habits For Life (HH4L) will only cover a handful of Reception children alone and is a pilot. We need to ensure we learn from the programme so we can ensure this is commissioned in the future as part of a larger, more robust Healthy Weight offer.

We are also developing a clearer offer to schools and settings, so when there is a specific issue, we have a package of support for them to address Healthy Weight as part of a whole school approach. This has always been available, but we recognize the need to revisit and refresh this and use the intelligence we have through NCMP to be more responsive.

2.1: The Cumbria Healthy Weight Partnership continues to meet quarterly with engagement from across the system, however sometimes inconsistently. In recognition of this and in follow-up to the Obesity Summit in March 2018, a Healthy Weight Whole System workshop was planned initially for March 2022 but due to low uptake has been rearranged for 26th May 2022. This workshop will aim to identify key activities and shared priorities to take forward a preventative approach to obesity. Current responses to the event look positive.

The Partnership is also looking at key areas such as School Food in response to recent Education White Paper which is revisiting previous measures taken to address school lunches etc as part of the Jamie Oliver campaign to ensure we can support schools and settings.

The Healthy Habits 4 Life (HH4L) programme aimed at Reception children who are very overweight is due to launch in May 2022. 3 District meetings have been held to build locality networks as part of a pathway of support for families – including those as part of the programme and all children/families. Due to a reduction in Tier 2 support as a result of end of current contracts and discontinuation of Government funding the wider family offer is limited as part of the pathway. A Countywide meeting is planned for 10th May with all partners from across the system to agree the wider pathway offer for children and families. This pathway will initially be looking at Reception age group as part of Healthy Habits 4 Life and then be developed further to cover different age ranges.

2.3: It has now been confirmed that there will be no additional national funding for local outbreak management in 2022/23 or recurrently thereafter. However at local level we have both grown our permanent health protection resource and retained sufficient short term resources to maintain a larger flexible outbreak response system for the remainder of 22/23. Arrangements are in place with UK Health Security Agency setting out how our teams will work with them to maintain a greater level of outbreak support for schools and care homes than they would normally be able to provide. Challenges remain about the longer term (post-22/23) arrangements but discussions are ongoing in the context of Local Government Reorganisation.

Commentary for Risk updates:

2.3 aligns to the corporate risk Cumbria COVID-19 Local Outbreak Control Plan and this risk has reduced in score from 12 to 9.

Commentary for Finance updates:

Across Older and Younger Adult services there is a net overspend associated with demand. Demand on Older and Younger Adult services has reduced as a consequence of COVID-19 with fewer clients than the 2019/20 average. The additional demand as a result of the COVID-19 response hospital discharges and the prevention of hospital admissions is supported by additional funding from the Clinical Commissioning Group.

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe
Themed Area: Children and Young People

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|--|
| 2.4 | Develop the use and understanding of measures for young people's emotional health and wellbeing in Cumbria, ensuring wider engagement with partners | Green | Improving |
| 2.5 | Successfully implement the action plan related to our Children Looked After and Care Leavers Strategy by April 2023 | Amber | Sustaining |
| 2.6 | Bring numbers of Children Looked After and those supported with a child protection plan (1,343 children) to be more in line with statistical neighbours (953 children). | Red | Worsening |
| 2.7 | Improve the lived experience of Children and Young People and families with SEND in Cumbria through successful delivery of the partnership SEND Written Statement of Action and secure a positive outcome following the Ofsted re-visit by March 2023. | Amber | Improving |
| 2.8 | Ensure readiness for inspection in relation to SEND, Youth offending Service (YOS), Children and Young People Service, Adult Social Care. | Amber | Sustaining |

Key Indicator Updates

Outcome 2: People in Cumbria are Healthy and Safe

Themed Area: Children and Young People

Commentary for areas of progress:

2.4: The multi-agency group, under the Children and Families Partnership (formerly the Children's Trust Board), has developed a set of shared indicators across the system to offer assurance across all levels of Children's Emotional Health and Wellbeing services, accessibility and pathways. Baselines are to be established and a reporting schedule developed throughout the year to show progress against the measures.

Commentary for areas for improvement and detail of ongoing action:

2.6: The picture over the last year has been one of a small increase quarter-on-quarter. At the end of Qtr 1 2021-22 there were 1,149 children looked after or supported with a child protection plan and, by Qtr 2 2021-22 (end of June), this figure had increased to 1,168, followed by another increase to 1194 in December. The figure for February this year is 1227. There has been a slight reduction in the rate of Looked After Children in Cumbria over the last year (79.4 per 10,000 aged 0-18 in February 2022) but this figure remains above the national (65 per 10,000) and statistical neighbour (61 per 10,000) rates (both from the year 2018-19) and we know demand has increased in most Local Authorities due to Covid 19.

The number on a child protection plan has increased over the last year (to 53.3 per 10,000 aged 0-18 in February 2022) and remains above the statistical neighbour rate of 42.1 per 10,000 aged 0-18. Rates have fluctuated over the year in the Districts, but Barrow (80.9 per 10,000) and Copeland (75.5 per 10,000) figures are higher than the other 4 districts. It is considered the impact of COVID-19 has influenced recent increase in child protection numbers. Embedding our early help strategy and implementation plan will help to identify and meet children's needs and reduce risk sooner, and we are still proactively working on our child protection planning and further embedding our practice framework (Signs of Safety) as we know when applied this is having positive outcomes for children and families.

2.5: A revised action plan is overseen and monitored by the Corporate Parenting Board, chaired by the Lead Member. The board receives quarterly updates on the action plan and this will continue until the end of the strategy in 2023.

2.7: Progress has been made - significant organisation reshaping and development of exiting processes has now taken place to ensure a robust framework for change, which will eventually lead to improvements in lived experience on a wider scale. Notwithstanding these changes, currently 75% of families regularly report positive journeys with their EHCP (Education and Health Care Plan) experience.

2.8: The SEND Improvement activity led by the SEND Partnership Board continues at pace with much of the activity from the original Written Statement of Action (WSOA) completed and Accelerated Progress Plans developed for remaining priority areas. Of the actions within the WSOA, 137 have been completed, 8 are outstanding. A detailed self evaluation has been developed to support the anticipated Ofsted/CQC revisit and a Continuous Improvement Plan is being developed to take forward and sustain the improvement activity beyond the life span of the WSOA. The inspection re-visit is anticipated this calendar year.

Children's social care are anticipating a full inspection under the Inspecting Local Authority Children's Services (ILACS) framework this calendar year. The last focused visit was in 2021 and the actions from this and wider improvement activity are captured in the service Quality and Development Plan. A detailed self evaluation is in place which is regularly updated. A Preparation for Inspection group chaired by the Assistant Director for Children and Young People meet regularly to review datasets and review our readiness for inspection.

Adult Social Care – The Care Quality Commission (CQC) Quality Framework is still under development and we are awaiting publication. Senior managers are participating in CQC sessions around the development of the assurance framework and integrated care partnerships. Adults have also recently completed the North West Association of Directors of Adult Social Services (ADASS) Towards Excellence in Social Care (TEASC) self-assessment and will working with the Local Government Association to identify areas of improvement. A programme of work is being identified as part of Adults Promoting Independence Programme to develop our approach to performance and quality and support us in preparing for future inspections.

Youth Offending Service (YOS): The current Her Majesty's Inspection of Prisons (HMIP) inspection programme will not be completed until May/June 2024. Whilst Cumbria YOS would not fall into the category of having any identified concerns, we have not yet been inspected as part of this programme and so an inspection could be imminent. Over the last 6 months, work has been undertaken on leadership and governance - in particular around our Management Board and partnership working, to ensure we are inspection ready. In addition, our audit programme is well established and continues to identify areas of practice and improvement. A self-assessment will be undertaken in the next three months.

Commentary for Risk updates:

2.4 - 2.8 aligns with the corporate risk Increasing Demand on Children's Services and the risk score has been retained at 16.

Commentary for Finance updates:

The deficit on the Schools High Needs Block at 31st March 2022 is £20.442m an increase of £5.892m from 31st March 2021 and a reduction of (£0.628m) from Q3. An updated High Needs Recovery plan, which was discussed with the DfE on 23rd September 2020, sets out the Authority's plans to reduce the deficit on the High Needs Block through a number of savings and Invest to Save initiatives. Progress on delivery is monitored and reported to School Forum. At outturn, the forecast High Needs Block deficit of £20.442m is £3.334m higher than forecast in the High Needs Recovery Plan.

The outturn position for CLA placements is £4.627m over budget with 735 placements at 31st March 2022, a decrease of 4 from the 739 at 1st April 2021. £3.943m of the net overspend is attributable to COVID-19 and has been fully funded by the COVID-19 emergency grant funding. Therefore, the net CLA placements pressure is £0.684m.

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe
Themed Area: Adults and Older People

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|--|-------------------------|---|
| 2.9 | Continue to develop and improve discharge to assess models throughout 2022/23. | Amber | Sustaining |
| 2.10 | Increased number and % of people with no ongoing needs or reduced needs following reablement | Green | Sustaining |
| 2.11 | Residential occupation rates of Cumbria Care available beds to be 95% or greater. | Amber | Improving |
| 2.12 | The overall market has increased by 25% since the commencement of the pandemic, Cumbria Care will continue to develop a 40% homecare market share within the rapidly changing market conditions. | Amber | Sustaining |
| 2.13 | Assistive technology utilisation maintained at 4,166 service users in 2022/23 and measure for Assistive Technology impact to be developed. | Green | Improving |
| 2.14 | Reduce the number of people who, having been assessed, are not receiving services to meet their care needs | Amber | Sustaining |
| 2.15 | Develop workforce approach for health and social care workforce in the context of the wider health and social care landscape | Green | Sustaining |
| 2.16 | A market position statement for adult social care and cost of care exercise to be completed by March 2023. | Amber | Sustaining |

Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe
Themed Area: Adults and Older People

Commentary for areas of progress:

2.10: The percentage of people with no ongoing needs or reduced care needs following a period of Reablement has been consistent across the quarter with 83% of people going through the service.

2.13: Data for February 2022 shows 4,389 service users which is above the target of 4,166. Automated data extraction is still problematic and is aiming to be resolved by Q1 2022/23.

Commentary for areas for improvement and detail of ongoing action:

2.9: Joint working is in place with health partners to support hospital discharge and admission avoidance. This has included streamlining referrals into services, trusted assessors and development implementation of the service pathways to meet the National NHSE 2 Hour urgent crisis response model. A Discharge to Assess model has been introduced in the North of the County and work is underway to develop a Transfer of Care Hub for South Cumbria to support discharges.

2.11: The occupancy levels within the residential homes are continuing to increase, the trajectory however is still being impacted by covid outbreaks within the services which impacts on the ability of the service to always be able to admit.

2.12: The current market position is being sustained.

2.14: There were 385 people waiting for services in Qtr 3 compared to 341 in Qtr 4. ASC have implemented a system to risk assess and monitor people with unmet need in the community and non community setting to ensure that support is in place to ensure people's safety. This is monitored and reported with Assistant Director oversight. There continues to be unprecedented pressure on the availability of social care services. This is due to the workforce issues being experienced in terms of both the effects of Covid and competition from other market sectors on capacity within the care market. As part of the Winter Plan work has been ongoing across Adults to put measure in place to support and stabilise the care market. This work will now carry forward into the Promoting Independence Programme.

2.16: The LGA have developed a cost of care tool for home care but we are still awaiting guidance on the approach to care homes. Work is underway at a national level to identify an approach to this work and we are anticipating something in the coming months.

Commentary for Risk updates:

2.9 aligns to the corporate risk Health and Social Care Demand and System Failure, and this risk score continues to have the maximum risk score of 25.

2.12 and 2.15 align to the corporate risk Resilience of the Care Sector and impact on meeting care needs, and this risk also has the maximum risk score of 25, the same score as at the end of Q3.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe

Themed Area: Fire and Community Safety

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|---|----------------------------|--|
| 2.17 | The County Council will ensure that it is meeting its new and emerging statutory duties on Community Safety, including the duties in the Domestic abuse Act and the Police, Crime, Sentencing and Courts Act. | Green | Sustaining |
| 2.18 | Transition the Fire Service to a new model of governance/organisation and create the conditions for the Service to continue to improve outcomes for the residents, businesses, partners and the environment' | Amber | Sustaining |
| 2.19 | The number of accidental primary dwelling fires to be less than 241 in 2022/23 | Green | Improving |
| 2.20 | Develop, pilot and implement alternative models of Cumbria Fire and Rescue Service delivery that are more suitable to the risk, demand and geography of our county, whilst maintaining the delivery of a minimum 10,000 Safe and Well visits. | Red | Sustaining |

Key Indicator Updates
Outcome 2: People in Cumbria are Healthy and Safe

Themed Area: Fire and Community Safety

Commentary for areas of progress:

2.17: The County Council has fulfilled its duties under section 4 of the Domestic Abuse Act 2021 by publishing a needs assessment and strategy on Domestic Abuse. It has also convened the Local Partnership Board as required under the act. Officers are currently working with partners to commission services for 2022/23 to utilize the DLUHC grant.

CMT has recently considered a report about the Council's anticipated duties under the Police, Crime, Sentencing and Courts Bill. It is also receiving a wider report on the work of Safer Cumbria. The impact of LGR on this area of work is also being worked on.

2.19: There were 222 accidental primary dwelling fires across Cumbria throughout 2021/22. On-going prevention and protection work is further integrated with a revised communications strategy. There were no increases in the number of fatalities at primary dwelling fires during 2021/22 compared to 2020/21. CFRS will be applying a 5% reduction in the accidental primary dwelling target for 2022/23.

Commentary for areas for improvement and detail of ongoing action:

2.20: In 21/22 we completed 7,395 Safe and Well visits, which is under the 10,000 target. The figure was affected by restrictions in place in the early part of the year due to Covid and some long-term sickness absences within the Community Safety Team.

Two fire-stations run a pilot during Qtr 3 and Qtr 4 where targets were removed to evaluate a move towards the NFCC Person-Centred Framework examining the balance between a qualitative and quantitative approach. The outcome is still being evaluated.

2.18: Police and Crime Commissioner (PCC) have now submitted business case. LGR CFRS transition team in place, workstreams identified and work is on-going.

Commentary for Risk updates:

There are currently no corporate risks in relation to these measures.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 3: Places in Cumbria are Well Connected and Thriving
Themed Area: Thriving places

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|---|
| 3.1 | We will audit all high risk premises over a 3 year cycle as per our Risk Based Inspection Programme and will also sample medium risk | Red | Sustaining |
| 3.2 | Maintain CQC 'good' rating across all County Council provided regulated services whilst aspiring to achieve outstanding. | Green | Sustaining |
| 3.3 | Embed the Early Help strategy and implementation plan and increase the number of Early Help Assessments Initiated from 2,610 each year | Green | Sustaining |
| 3.4 | The percentage of pupils attending an outstanding or good school increased to at least in line with national | Green | Sustaining |
| 3.5 | The percentage of outstanding or good schools increased to be at least in line with national. | Green | Sustaining |

Key Indicator Updates
Outcome 3: Places in Cumbria are Well Connected and Thriving
Themed Area: Thriving places

Commentary for areas of progress:

3.2: One service has been in receipt of a requires improvement rating, this is for a new service with the first registration inspection which can sometimes be difficult, however an action plan is in place for move towards a good rating and all other services have continued to remain with a Good or outstanding rating.

3.3: A further report on progress for the EH Strategy implementation is due to the Children and Families Partnership on 19 April. Internally, the Think Family Board is rolling out the strategic think family training cascading this throughout the relevant teams starting with senior leaders.

3.4: Graded inspections of schools, under the Education Inspection Framework (EIF) from September 2019, were paused in March 2020, because of the Covid pandemic. Overall, the proportion of children attending a good or outstanding school in Cumbria at the end of February 2022 was 84.2%. This is equivalent to the proportion as at the end of Qtr3 and remains close to our April 2020 target of 86%. However, there is a discrepancy between primary and secondary schools, with 92.3% of primary school pupils in good or outstanding schools, but just 74.2% of secondary school pupils. Ofsted inspections re-started in September 2021.

3.5: Graded inspections of schools, under the Education Inspection Framework (EIF) from September 2019, were paused in March 2020, because of the Covid pandemic. There are currently 286 schools judged by Ofsted to be good or outstanding (89.1%). This is the same proportion as at the end of Qtr 3, so the RAG-Rating remains Green and the direction of travel is static. The proportion of good and outstanding schools nationally at the end of the 2019/20 academic year was 86%. It should be noted that Outstanding Schools, previously exempt will now be inspected. Nationally, of the 99 schools inspected in the autumn, 47% were downgraded from outstanding.

Commentary for areas for improvement and detail of ongoing action:

3.1: This year we have refreshed our Risk Based Inspection Programme to include all parent and child properties. This has meant that we now have 1,530 high-risk premises to audit over the 3 year period. The addition of our three Level 3 advisors has meant we have been able to increase the number of medium risk audits we complete. The team completed 460 audits (high, medium and low) resulting in 18 enforcement notices and 7 prohibitions being issued. The overall number is below target as the early part of the year saw difficulties in engaging with businesses affected by lockdown and Covid restrictions, we also detached a number of our team to support the vaccination programme in the mass vaccination centers

Commentary for Risk updates:

3.3 aligns to the corporate risk Increasing demand on Children's Services which has maintained a risk score of 16.

Commentary for Finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 3: Places in Cumbria are Well Connected and Thriving
Themed Area: Transport

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|--|-------------------------|---|
| 3.6 | Complete implementation of customer highways system and demonstrate improved customer experience and improved processes. | Green | Sustaining |
| 3.8 | Percentage of Category 1 defects completed within time to increase from 51%. | Red | Worsening |
| 3.9 | The number of days taken to close a Highways customer enquiry to reduce from 7.43 days. | Red | Sustaining |
| 3.10 | The overall condition of the highway is improved in line with the new Highways Asset Management Strategy by March 2023. | Green | Sustaining |
| 3.11 | Maintenance of Level 3 funding from the Department for Transport. | Green | Sustaining |
| 3.12 | Continue to develop opportunities to provide bus services to communities that have limited or no provision. | Amber | Sustaining |

Key Indicator Updates
Outcome 3: Places in Cumbria are Well Connected and Thriving
Themed Area: Transport

Commentary for areas of progress:

3.6: New customer front end reporting system for Highways now fully implemented with positive feedback from both public and members. Further work progressing to improve member interface through My Account. It is recognised that there remain areas for development and these are being progressed.

3.10: The Highways Asset Management Strategy has identified a number of service improvements including a new customer front end which is now fully implemented along with the implementation of the Highways Integrated Asset Management System (HIAMS) to improve defect reporting and safety inspections along with asset data management for roads bridges and street lighting. All modules progressing to/ or fully implemented. Further improvements progressing with My Account for members.

3.11: Band 3 (Highest Band) was confirmed by DfT in March 2022 along with confirmation of funding for 2022/23. Highways improvements as set out in the Highways Asset Management Strategy have progressed adopting a risk based approach and whole life costs of all highway assets. Submission of the next annual self-assessment is expected to be completed in Qtr 4.

Commentary for areas for improvement and detail of ongoing action:

3.8: A drop of 15% since from last quarter. Typically, this time of year sees winter take priority and a strain on operations staff is common due to winter roster, night shifts and ad-hoc call-outs. Carlisle district were at the high end completing 77% of defects within time, whilst Allerdale were lowest with 11%. Breaking the categories down in to response time: 2hr defects averaged 42.11%. 5 day defects averaged 30.14% and 20 day defects averaged 39.54%.

3.9: Historical enquiries data was pulled from Highways Information Management System (HIMS) which was decommissioned last year and superseded by Highways Integrated Asset Management System (HIAMS). The figure stated within this indicator is essentially now obsolete and there is no way of updating this value. Enquiries data is now logged on Liberty Create but currently there is limited reporting functionality available. Data expected to be available for Qtr 1 2022/23.

3.12: During 2022/23 we will launch a series of scheduled public bus services for areas with limited or no available provision. We will launch a series of digital, demand-responsive transport solutions for the rural areas surrounding Egremont/St Bees, Penrith, Ulverston and Wigton

Commentary for Risk updates:

There are currently no corporate risks in relation to these measures.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 3: Places in Cumbria are Well Connected and Thriving
Themed Area: Educational Attainment/Outcome

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|------|--|----------------------------|--|
| 3.13 | The percentage of pupils in Key Stage 2, achieving the expected standard in Reading Writing and Mathematics combined. Disadvantaged and all pupils | Green | Improving |
| 3.14 | The percentage of pupils attaining GCSE 9-5 in English and Mathematics to increase from 43.3% and be in line with or better than the national level. | Amber | Sustaining |
| 3.15 | The overall Progress 8 score continues to improve from -0.11 and is at least in line with the national level. | Red | Sustaining |
| 3.16 | Outcomes for SEND (school support pupils) at Key Stage 2 achieving the expected standard in Reading Writing and Mathematics to be in line with national level. | Green | Improving |
| 3.17 | The proportion of 16-17 year olds Not in Employment, Education and Training are lower or follow similar trends to national figures. | Green | Improving |
| 3.18 | The 'September Guarantee' duty (where all young people aged 16 and 17 receive a suitable offer of learning) to 98% or higher for 2022/23. | Green | Improving |
| 3.19 | The participation in education, training and employment of 16-17 year olds to exceed 92.6% for 2022/23. | Green | Sustaining |
| 3.20 | The proportion of permanent exclusions reduced from 0.10%. | Green | Sustaining |

Key Indicator Updates

Outcome 3: Places in Cumbria are Well Connected and Thriving

Themed Area: Educational Attainment/Outcome

Commentary for areas of progress:

3.17: The period December 21 to February 22 is considered the most appropriate time period to assess young people's activity as most will be settled in their chosen post 16 route and this forms the official "scorecard" period. DfE have not yet released the official data but we calculate Cumbria's scorecard rate to be 3.4% compared to a national average of 4.7% and an average for our statistical neighbours of 4.6%. This measure should be considered in conjunction with 3.18 which has also shown positive results.

This represents an average of 345 young people not in employment, education or training (or whose status is unknown and are therefore assumed to be NEET). Both the rate and count at county level are the lowest they've been in the past 7 years. Five of Cumbria's districts have NEET rates below the national average for the scorecard period, the exception being Carlisle with a rate of 5.4% which is 0.7 percentage points above the national average and is the first time Carlisle's Dec-Feb rate has been above the national average in recent years.

3.18: This is measured annually and 2021 data was released in January 2022 showing that 97.6% of young people had received a suitable offer of training/education in Cumbria in 2020/21. Of the remainder, an offer was not appropriate for 1.5%, no offer was made to 0.2% and no information was available for 0.7%. The proportion receiving a suitable offer in Cumbria was up by 1.1 percentage points from 2020 (when it was 96.5%) and was above the national average of 95.5%.

3.19: The period Dec-Feb is considered the most appropriate time period to assess young people's activity as most will be settled in their chosen post 16 route. Across Dec 2021-Feb 2022, 92.3% of 16/17 year olds in Cumbria were assessed as meeting the participation requirements under the Duty (in full time education or training, in an apprenticeship, in employment with regulated qualifications or working towards one of those). Cumbria's rate was unchanged from the previous year but was slightly below the national average of 93% and lower than before the pandemic. One of the key reasons for this has been an increase in those participating in employment without regulated qualifications (which is not classed as meeting the requirements of the Duty).

3.20: Following the return to school after closures between January and March 2021 there was an increase in permanent exclusions (PEX) to 16 for Qtr 1 this year. The total for Qtr 2 was 8 PEXs not including those withdrawn from PEX. This is a reduction from 17 in Qtr 1. There were 24 permanent exclusions in Qtr 3 2021-22. The data for Qtr 4 is as follows: January - 14 PEX, 4 withdrawn from PEX, February - 10 PEX, 3 withdrawn, 1 reinstated. March - 23 PEX, 2 withdrawn PEX so far. The total for Qtr 4 is therefore 37 PEXs not including those withdrawn from PEX. Access and Inclusion (A&I) officers continue to work with schools to provide an alternative to permanent exclusion where possible, working closely with colleagues from other teams including SEND. A&I Officers monitor the data against national figures and offer support and challenge if concerns are identified. We continue to offer training to governors and colleagues in schools.

Key Measure Updates

Outcome 3: Places in Cumbria are Well Connected and Thriving

Themed Area: Educational Attainment/Outcome

Commentary for areas for improvement and detail of ongoing action:

3.14: Two years of continued disruption due to Covid will affect all test and exams this year. Strategies for children to 'catch-up' regarding their learning are in place and will continue for the next few years. Curriculum delivery and coverage have been affected due to continuous disruption over the last 2 years. This leaves children at a disadvantage compared with previous cohorts. This information should be used to put into context any patterns of results for this year. Any comparisons to national data will also need to take into account considerable variability in the impact of Covid across the country.

These figures will be most useful for internal use and for the establishment of baselines for future development.

The Standards and Testing Agency and DfE have announced that there will be no accountability measures produced this year (league tables).

Commentary for Risk updates:

There are currently no corporate risks in relation to these measures.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates

Outcome 4: The Economy in Cumbria is growing and benefits everyone

Themed Area: Economy

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|--|
| 4.1 | Establish an organisation level Carbon baseline by 2022. | Green | Sustaining |
| 4.2 | Implementation of Carbon management strategy to achieve decarbonised estate by March 2023 | Green | Sustaining |
| 4.3 | Develop and deliver meaningful initiatives and projects to support key areas including the Environment, Natural Capital, Sustainability, and Biodiversity for the benefit of Cumbria's residents and visitors. <ul style="list-style-type: none"> • Cumbria Coastal Community Forest (CCF) – from March 2022 • Planting for Pollinators (September 2021 - March 2023) | Amber | Sustaining |
| 4.4 | Focus on positive action to attract a more diverse workforce to the Fire and Rescue service so it truly reflects the diverse nature of the communities we serve by December 2022. | Amber | Improving |
| 4.5 | We will continue to take the lead enabling role for multi-agency recovery from COVID-19 in Cumbria maximising opportunities and facilitating recovery across the county, with our communities. | Green | Improving |
| 4.6 | Implement the digital infrastructure strategy ensuring relevant action in the action plan are carried out by March 2023 | Green | Sustaining |
| 4.7 | Continue to secure and implement investment for future regeneration. Working with local partners to attract investment from Towns Deals, Future High Street Funding and the Levelling up Fund with at least 3 projects started by March 2023 | Green | Sustaining |
| 4.8 | Initiate programmes of work relating to delivery Cumbria Transport and Infrastructure Plan | Green | Sustaining |

Key Indicator Updates

Outcome 4: The Economy in Cumbria is growing and benefits everyone

Themed Area: Economy

Commentary for areas of progress:

4.1: The Scope 3 Baseline has been received in draft for review. A further organisational action plan is being developed to help identify key areas of focus to support the requisite reduction in carbon.

Cabinet will receive a report in Qtr 3 2022/23 setting out the findings of the report and the action plan for approval.

4.2: The project team has now been established to deliver the Carbon Management Strategy relating to the Corporate estate. There are ten work packages which include the development of solar and onshore wind farms, heat decarbonisation across the corporate estate, LED light replacement, policy changes to the design and development of buildings and the refurbishment of existing buildings.

4.5: The Recovery Strategy Outcomes Framework is now fully embedded in the plans for the Strategic Partnerships and monitoring is through the relevant mechanisms for the partnerships. Any issues can be escalated to the Chief Executive's Group.

4.6: All elements of the strategy are on track and will be completed within budget and timeframe. Key components including automation and transition of the SPA service are complete.

4.7: Positive progress continues to be made in the development and delivery of Town Deals, Levelling Up Fund and Future High Street Fund proposals across the county. Investment has been secured in County Council priorities around active and sustainable travel. The Borderlands Inclusive Growth Deal continues to progress with Carlisle Station and Citadels moving to delivery. Good progress is also being made with respect to See More Lake District, Place Programme, Hadrians Wall and Energy Masterplanning. Work is ongoing to refresh project pipelines in readiness for future opportunities. The Council is also supporting a number of districts in the appraisal of Towns Deal schemes.

4.8: Positive dialogue continues with DfT, Highways England, Network Rail and TfN in the promotion of schemes and strategic county priorities.

Commentary for areas for improvement and detail of ongoing action:

4.3: Cumbria Coastal Community Forest - following the successful award of partnership funding (£220k) to kickstart the project, this will allow for the creation of a range of woodlands that aims to provide direct benefits to the communities of Cumbria (currently focused in the west), providing opportunities for nature recovery, carbon storage, flood resilience, green jobs and importantly enjoyment and engagement for local communities, helping physical and mental wellbeing.

Planning is currently underway in with a view to developing the 'Forest Plan' which will set the overall objectives and strategy for the CCCF programme.

Planting for Pollinators – the project is progressing well. We continue to work in partnership with the Cumbria Local Nature Partnership, Cumbria Wildlife Trust, the Cumbria Biodiversity Data Centre and local communities, following receipt of a grant of £699,500 for the Planting for Pollinators project.

The partnership is working through projects to increase populations of bees, butterflies and other pollinating insects by restoring 158 hectares of nectar and pollen-rich habitats. The funds are helping to grow wild flowers locally, and Cumbria's verges, burial grounds, farms and cycle routes are among the many green spaces that will be restored. The funds are also being used to develop and deliver a programme of pollinator identification and recording training.

4.4: Current recruitment process has resulted in 16 new Whole-time Firefighters including 9 female firefighters. The service continues pro-active campaigns to continue diversification of workforce to ensure we represent the communities we serve.

Commentary for Risk updates:

4.5 aligns to the Corporate Risk Impact of COVID19 on the provision of Council Services and this risk score has remained at 15 in the last quarter. This measure also aligns to the risk Cumbria COVID19 Local Outbreak Control Plan and at the end of Q4 this risk score has reduced from 12 to 9.

The corporate risk of becoming a Net Zero Climate Resilient Council relates to actions 4.1, 4.2 and 4.3. and the risk score has been retained at 20.

Commentary for finance updates:

No items by exception to report

Delivery of Council Plan Delivery Plan: 2022/23
Quarter 4 2021/22
Key Indicator Updates
Outcome 5: Context

Themed Area: Measures where the council contribute to but do not fully own and the measure provides context for the working landscape of the Council

| Ref | Indicator for 2022/23 | RAG (against milestone) | Direction of travel since last report (improving/ sustained/ declining) |
|-----|--|----------------------------|---|
| 5.1 | An increase in Apprenticeship starts delivered in Cumbria from 4,335 in 2018/19 (academic year). | Amber | Sustaining |
| 5.2 | Employment rates of 16-64 year olds are higher or follow similar trends to national rates. | Amber | Sustaining |
| 5.3 | Claimant Count rates are lower or follow similar trends to national rates. | Green | Improving |
| 5.4 | An increase in proportion of people in Cumbria with skill level 2 or above from 75% of 16-64 year olds | Green | Improving |

Key Indicator Updates
Outcome 5: Context

Themed Area: Measures where the council contribute to but do not fully own and the measure provides context for the working landscape of the Council

Commentary for areas of progress:

5.3: Cumbria's claimant rate in February 2022 was 2.9% which was 1.4 percentage points below the national rate of 4.3% and rates were lower than nationally in all 6 districts. This means that 8,660 working age people were out of work, claiming benefit and actively seeking work in February. The claimant counts and rate have fallen substantially since the height of the pandemic, down by 4,615 (-35%) from a year ago but they remain higher than the same time two years ago (pre-pandemic) with 1,750 more claimants across the county (+25%). At Cumbria level this is a better rate of improvement than nationally. However, volumes in South Lakeland are still 81% higher than two years ago and in Eden and Carlisle they are 32% and 37% higher respectively.

5.4: There is no new data since Qtr 3 report as this is an annual dataset. The most recent estimates available suggest that the proportion of Cumbria's working age population qualified to at least level 2 was 77.2% in the year to Dec 2020 compared to 74.3% a year previously. This remains just below the latest national rate of 78.2% but the margin of error in the survey means both the annual change and the variance from the national data cannot be said to be statistically significant. However, within the data, the proportion qualified to level 4 or above has increased from 32.5% to 38.2%, a margin of increase which exceeds survey error and therefore does indicate some degree of improvement, although there still remains a gap between Cumbria and the UK.

Commentary for areas for improvement and detail of ongoing action:

5.1: There is no new data since quarter 3 report but there will be some interim data for the start of 2021/22 available next quarter. The 2020/21 data showed that the number of Apprenticeship starts by Cumbrian residents fell by 70 in 2020/21 to 3,580 (-2%) following a fall of 685 (16%) the previous year. The number fell in most areas with the exception being Barrow where there were 24 more starts in 2020/21 than in 2019/20. The biggest percentage falls were in South Lakeland and Eden (9% and 6% respectively). However, the picture varies by age group and provides further evidence of the impact of the pandemic on the labour market for young people - apprenticeship starts by those over 25 years increased by 92 in 2020/21 (8%) but fell by 79 for those aged 16-18 (-6%) and by 83 for those aged 19-24 (-8%). In addition, the number of apprenticeship starts by those with low (or no) qualifications prior to starting fell by 8% and the number by those qualified to level 2 or 3 fell by 3% but the number of starts by those already qualified to level 4 or above increased by 14%.

5.2: The employment rate of 16-64 year olds was estimated to be 74.0% in Cumbria in the year to September 2021 which is just below the UK estimate of 74.5%. The survey-based estimates suggest that the employment rate in Cumbria has fallen from 79.0% a year ago and 79.4% two years ago and even allowing for margins of error in the dataset (+/- 3% at Cumbria level) this does appear to be a genuine fall and one which is greater than the national decline in employment rate of around 1%. However, this is not entirely unexpected given the survey estimates still relate to a time when the impacts of Covid on Cumbria's labour market were still particularly significant.

Commentary for Risk updates:

There are currently no corporate risks aligned with these measures.

Commentary for finance updates:

No items by exception to report

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Committee: Cabinet

Date of meeting: 9 June 2022

Title of Report: Review of Appointments to Cabinet Working Groups, Other Bodies and on Outside Bodies

Report by: Dawn Roberts, Executive Director – Corporate, Customer and Community Services

Cabinet Member: Stewart Young, Leader of the Council

What is the Report About? (Executive Summary)

1. This report asks Cabinet to consider its appointments to cabinet working groups and other bodies, and on outside bodies.

Recommendation of the Executive Director

2. **Recommendation 1** – That Cabinet approve the list of outside bodies at Part D of Appendix 1 as the bodies to which a representative will be appointed in 2022/23, and appoint the members to each outside body as set out in Part D of Appendix 1.
3. **Recommendation 2** – That the proposed membership as set out in Appendix 1 Parts A – C of cabinet working groups and other bodies to this report be approved.
4. **Recommendation 3** - That the Monitoring Officer be authorised to make changes to the list of outside bodies and the appointed members as set out in Appendix 1 – Part D – Cabinet appointments to outside bodies as required in consultation with the Leader of the Council.

Background to the Proposals

5. Cabinet annually reviews its appointments to Cabinet working groups, other bodies and outside bodies, including bodies that the County Council is required to establish by law.
6. Following Cabinet's consideration of a report on outside bodies from a Scrutiny Task and Finish Group in May 2012, it was agreed that the number of outside bodies to which the authority appointed member representatives should be regularly reviewed using an appropriate set of criteria for establishing whether Member representation is appropriate.
7. The agreed criteria in terms of deciding whether to appoint members to an outside body are:

- Whether the County Council has a statutory duty to be involved;
 - Is membership of the body essential to the work of the Council and delivery of its service areas;
 - Are Cabinet/Elected Members required to attend because of their specific role within the authority; and/or,
 - Will the outside body/County Council would derive a significant benefit from the engagement of a local Member.
8. In addition, Cabinet also agreed that consideration could be given to adopting a risk based approach when further defining these criteria – the Council should have a clear understanding of the rationale for involvement with an outside body on a case by case basis, and therefore an awareness of any risks the cessation of member representation on, or engagement with, an outside body may pose.
9. A full review of outside bodies was undertaken in June 2013 and Cabinet significantly rationalised the list of approved outside bodies at that time. Given the scale of that review, only ‘light touch’ reviews have been carried out in subsequent years.
10. Executive Directors have been consulted as to the recommendations to be made to Cabinet for appropriate outside bodies for the year 2022/23.
11. Members are asked to note that 3 Local Government Association Boards now appear on the list separately as they are not appointed to by Cabinet but through the LGA’s political proportionality processes at national level. These are the LGA Resources Board, the LGA Community Wellbeing Board, and the LGA Economy, Environment, Housing and Transport Board.
12. Cabinet is also asked to consider appointments to working groups and other bodies as contained in Parts A - C of Appendix 1.

Options Considered and Risks Identified

Option (a)

- Members could approve the recommendations and proposed appointments.

Option (b)

- Members could decide not to appoint members to certain bodies following consideration of the recommendations and rationale provided in this report.

Option (c)

- Members could decide to make other appointments to bodies, providing reasons for their decision.

Risks

The risks and opportunities of engagement in outside and other bodies need to be reviewed regularly to ensure that benefit is derived for the Council from involvement. Withdrawal from specific bodies may not be in the interests of the Council in terms of delivery of priorities and may come with reputational risks.

Reasons for the recommendation/Key benefits

- To ensure that the Council engages effectively in outside and other bodies and that membership of Cabinet working groups is appropriate.

Financial – What Resources will be needed and how will it be Funded?

13. There are sufficient resources available within existing budgets to support the recommendations contained in this report.

Legal Aspects – What needs to be considered?

14. Cabinet has authority under the Constitution to make appointments to bodies associated with Cabinet functions.]

Health and Safety – what needs to be considered/noted?

15. The Council has a legal duty under the Health & Safety at Work Act 1974 to ensure, as far as is reasonably practicable, that adequate health and safety arrangements are in place for employees, members and the community we serve.
16. The appointment of elected members to the outside bodies highlighted in the report provides a positive opportunity for elected members to promote and champion strong health and safety governance arrangements and ensure a positive health and safety culture is actively considered through the outside body work programmes in line with the commitments outlined in the County Council Annual Health and Safety Policy Statement last agreed by Cabinet in September 2020.

Council Plan – How do the proposals contribute to the delivery of the Council's stated outcomes?

17. Engagement in outside and other bodies and the operation of Cabinet working groups support the delivery of all three of the outcomes set out in the Council Plan 2018 - 2022.

What is the Impact of the Decision on Health Inequalities and Equality and Diversity Issues?

18. There are no direct impacts on health inequalities and equality and diversity issues from the appointment of Members to outside and other bodies and Cabinet working groups.

Appendices and Background Documents

Appendix 1 Parts A - C Cabinet Sub Groups and Other Bodies

Appendix 1 Part D – Cabinet Appointments to Outside Bodies

Key Facts

Electoral Division(s): All

| Executive Decision | Key Decision Included in Forward Plan | Exempt from call-in | Exemption agreed by scrutiny chair | Considered by scrutiny, if so detail below | Environmental or sustainability assessment undertaken? | Equality impact assessment undertaken? |
|--------------------|---------------------------------------|---------------------|------------------------------------|--|--|--|
| √ | Non key | | N/A | No | No | No |

Approved by Cabinet Member/s on TBC 19 May 2022

Previous relevant Council or Executive decisions

Cabinet Report Scrutiny Review – Outside Bodies Task & Finish Group – 10 May 2012

Appointment of Cabinet Working Group and Representation on Outside Bodies – 10 June 2021

Consideration by Overview & Scrutiny

Not considered by Overview & Scrutiny

Background Papers

None

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PART A – OTHER APPOINTMENTS, INCLUDING BODIES THE COUNTY COUNCIL IS REQUIRED TO ESTABLISH BY LAW

| OTHER BODIES | NUMBER OF MEMBERS | PURPOSE | EXISTING MEMBERSHIP | PROPOSED |
|-------------------|-------------------|--|---------------------|---------------|
| (1) Schools Forum | Cabinet Member | <p>The County Council will consult the Forum on changes to the funding formula and the financial effect of any such change.</p> <p>The County Council will consult the Forum on the terms of any contract for supplies and services being paid out of the Schools' Budget, at least one month prior to the issues of invitations to tender.</p> <p>The County Council will consult the Forum annually in respect of its functions relating to the Schools' Budget, in connection with the following:</p> <ul style="list-style-type: none"> - <i>the arrangements to be made for the education of pupils with special educational needs;</i> - arrangements for the use of pupil referral units and the education of children otherwise than at school; - arrangements for early years education; - arrangements for insurance; - prospective revisions to the Scheme for Financing Schools; - administrative arrangements for the allocation of central government grants paid to schools via the County Council; - arrangements for Free School Meals; - any other issues which the County Council thinks is relevant. | Sue Sanderson | Sue Sanderson |

| OTHER BODIES | NUMBER OF MEMBERS | PURPOSE | EXISTING MEMBERSHIP | PROPOSED |
|---|--|---|--|--|
| (2) Standing Advisory Council on Religious Education | 5 (2:2:1) | <ol style="list-style-type: none"> 1. To advise the Authority on such matters connected with religious worship in community schools and the religious education to be given in accordance with an Agreed Syllabus as the Authority may refer to the Council or as the Council may see fit. 2. To require its LEA to review its current agreed syllabus. 3. To determine that the requirement of collective worship in community schools to be wholly or mainly of a broadly Christian character shall not apply for some or all of the pupils in a particular school. 4. To publish an annual report on its work. | <p>Lab (2) Mark Wilson David Southward</p> <p>Cons (2) Roger Bingham Elizabeth Mallinson</p> <p>Lib Dems (1) Neil Hughes Sub Andy Connell</p> | <p>Lab (2) Mark Wilson David Southward</p> <p>Cons (2) Roger Bingham Elizabeth Mallinson</p> <p>Lib Dems (1) Neil Hughes Sub Andy Connell</p> |
| (3) Cumbria and Lakes Local Access Forum | 2 | The Cumbria and Lakes Local Access Forum is a statutory advisory body. Its role is to provide advice to the County Council and Natural England on how to make the countryside more accessible and enjoyable for open air recreation in ways that address social, economic and environmental issues. | <p>Lab (1) Helen Wall</p> <p>Lib Dems (1) Nick Cotton</p> | <p>Lab (1) Helen Wall</p> <p>Lib Dems(1) Nick Cotton</p> |
| (4) Local Safeguarding Children Board | 1 Cabinet Member (Children's Services) | <p>To provide effective scrutiny the LSCB is an independent body as defined in Working Together 2013. It should not be subordinate to, nor subsumed within other local structures.</p> <p>Through the Board structure the LSCB provides the strategic and operational direction of safeguarding and continuous monitoring of performance in Cumbria. The Board produces a three-year Business Plan and an Annual Report.</p> <p>The Board provides funding for an Independent LSCB Chair, Gill Rigg, who provides leadership to the Board via effective chairing of meetings and representation of the LSCB in the public domain.</p> | Anne Burns | Anne Burns |

| OTHER BODIES | NUMBER OF MEMBERS | PURPOSE | EXISTING MEMBERSHIP | PROPOSED |
|--|--|---|---------------------|---------------|
| (5) Cumbria Local Safeguarding Adults Board | 1 Cabinet Member (Health & Care Services) | To promote and protect the safety of adults at risk from abuse and neglect in Cumbria. The Board brings together representatives of each of the main statutory and regulatory agencies, representatives from providers of services and from the voluntary sector responsible for helping to protect adults at risk from abuse and neglect. It acts as an inter-agency forum for agreeing how agencies should co-operate to safeguard adults at risk and ensure that local arrangements work effectively to bring about positive outcomes for the people of Cumbria. | Patricia Bell | Patricia Bell |
| (6) Cumbria Strategic Waste Partnership | 1 (All Admin) | Cumbria Strategic Waste Partnership (Resource Cumbria) is a partnership between Cumbria's District Councils and the County Council to deliver a municipal waste management strategy in line with the waste hierarchy. | Celia Tibble | Celia Tibble |

PART B – DISCRETIONARY

| NAME OF CABINET SUB- GROUP | NUMBER OF MEMBERS (LAB: CON: LD: IND) | PURPOSE | CURRENT MEMBERSHIP (2019/20) | PROPOSED |
|---|--|---|--|--|
| 1. Commissioning and Procurement Working Group | 2 (Cabinet Members, including Leader) | Provides strategic oversight, political direction and scrutiny of the Council's Commissioning, Procurement & Contract Management activities | Peter Thornton (Chair) Stewart Young | Peter Thornton (Chair) Stewart Young |
| 2. Member Development Group | 4 + Cabinet Member (2:2:1 incl. Cabinet Member) | Member learning and development and services for members. | Lab (2) Alan Barry Mike Hawkins Cons (2) Gareth Ellis Arthur Lamb Lib Dem (1) Janet Willis (Chair) | Lab (2) Alan Barry Mike Hawkins Cons (2) Gareth Ellis Arthur Lamb Lib Dem (1) Janet Willis (Chair) |
| 3. County Council Joint Consultative Group | 3 (Cabinet Members, including Leader) | To encourage good employment relations through positive meaningful communication and consultation | Peter Thornton Janet Willis Stewart Young | Peter Thornton Janet Will Stewart Young |
| | | | | |

PART C – BODIES MEETING ON AN ADHOC BASIS

| NAME OF CABINET SUB-GROUP | NUMBER OF MEMBERS (LAB: CON: LD:IND) | PURPOSE | MEMBERSHIP |
|--|--|--|---|
| <p>4. Archives Advisory Group</p> | <p>7 (3:2:2:0) Chair is appointed by Cabinet</p> | <p>To advise on:</p> <ol style="list-style-type: none"> 1. the management and direction of the Archive Service. 2. the functioning of the Curwen and Kirby Archive Trusts and to approve the accounts and programme of activities of these Trusts. <p>To be convened on an ad hoc basis</p> | <p>Only to be agreed in the event of a meeting being necessary</p> <p>Cons (3)</p> <p>Lab (2)</p> <p>Lib Dem (2)</p> |

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Cumbria County Council: Cabinet Appointments to Outside Bodies

| Body | Role in which appointed | Cluster | Lead Officer | Current Member(s) appointed | Proposed Member(s) | Recommendation of appointment or removal of appointment | Rationale for recommendation | Annual Report produced |
|--|-------------------------|--|--|---|---|---|---|------------------------|
| County Level Outside Bodies | | | | | | | | |
| Adoption Panel North | Ordinary Member | People | Assistant Director Children & Families | Liz Mallinson | Liz Mallinson | Appointment | Members form part of the panel who make the recommendation regarding adoption and permanence. | No |
| Adoption Panel South | Ordinary Member | People | Assistant Director Children & Families | Not appointed to in 2021 | | Appointment | Members form part of the panel who make the recommendation regarding adoption and permanence. | No |
| Borderlands Partnership Board | Ordinary Member | Economy and Infrastructure | Assistant Director Growth & Infrastructure | Stewart Young | Stewart Young | Appointment | Outlined in Borderlands Collaboration Agreement approved by Cabinet | Yes |
| Children's Trust Board | Ordinary Member | People | Executive Director People | Anne Burns Sue Sanderson | Anne Burns Sue Sanderson | Appointment | Cabinet Member input is crucial for the delivery of the Council's responsibilities and services. | No |
| Copeland Community Fund | Ordinary Member | Corporate, Customer and Community Services | Assistant Director Customer & Community Services | David Southward Keith Hitchen | David Southward Keith Hitchen | Appointment | Copeland Community Fund support a number of projects and organisations which benefit the local area and links to Council activity such as area planning. | Yes |
| Cumbria Alliance of System Leaders (CASL) | Ordinary Member | People | Assistant Director Education & Skills | Sue Sanderson | Sue Sanderson | Appointment | CASL acts as a crucial partnership across the education system. Cabinet member input facilitates proper oversight. | No |
| Cumbria Coastline Community Rail Partnership | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Keith Little Stan Collins Mark Wilson | Keith Little Stan Collins Mark Wilson | Appointment | Helps to promote the West Coast's need for further investment which will support the Cumbrian Economy. | Yes |
| Cumbria Housing Group | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Celia Tibble Peter Thornton | Patricia Bell Celia Tibble | Appointment | Supports the Council's engagement in strategic housing matters which includes infrastructure planning and economic development as examples. | No |
| Cumbria Learning Disability and Autism Partnership Board | Ordinary Member | Corporate, Customer and Community Services | Assistant Director Strategic Commissioning | Patricia Bell | Patricia Bell | Appointment | The board acts as a forum to engage and co-produce solutions with a wide group of stakeholders who are traditionally some of the most vulnerable people within communities. | Yes |
| Cumbria Local Nature Partnership | Ordinary Member | Economy and Infrastructure | Assistant Director Environment and Enterprise | Celia Tibble | Celia Tibble | Appointment | Continuing membership is required so the Council is aware of the outcome of the strategic review that is currently taking place. This may determine the Council's future role in the partnership. | No |
| Cumbria Planning Group | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Celia Tibble | Celia Tibble | Appointment | The Group provides Capital Grants in Aid and Local Levies that supports flood reduction activity and membership gives the Council a critical role in infrastructure planning, economic development, and environmental responsibilities. | No |
| Cumbria Tourism | Voting Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | David Southward | David Southward | Appointment | Cumbria Tourism is a key partner for the Council in supporting the tourism industry which is a key sector of the Cumbrian Economy. | No |

| Body | Role in which appointed | Cluster | Lead Officer | Current Member(s) appointed | Proposed Member(s) | Recommendation of appointment or removal of appointment | Rationale for recommendation | Annual Report produced |
|--|--|--|--|------------------------------|------------------------------|---|---|------------------------|
| Hadrian's Wall World Heritage Site Partnership Board | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Alan McGuckin | Alan McGuckin | Appointment | Continuing membership allows the Council to contribute to the continuing promotion and protection of an important asset for Cumbria. | No |
| Local Enterprise Partnership | Voting representative of the Council as Director | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Stewart Young | Stewart Young | Appointment | The LEP is the strategic lead for economic development in Cumbria and the Government's recognised lead for the Local Industrial Strategy. The Council is the Accountable Body, a member of the company and holds a position of director on the company board. | No |
| Local Listing Panel | Ordinary Member | Economy and Infrastructure | Assistant Director Environment & Enterprise | None – new body | Celia Tibble | Appointment | A Member required from each planning authority | No |
| Morecambe Bay Partnership | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Bill Wearing | Bill Wearing | Removal of Appointment | Although the Council has been the lead body for the delivery of grant funding programmes, funding ended in March 2019. The Council may decide to end representation on the partnership moving forward. | No |
| Maryport Harbour and Marina Limited | Director | Corporate, Customer and Community Services | Assistant Director Customer & Community Services | Keith Little | Keith Little | Appointment | The company is the employing authority and provides the financial and governance processes for Maryport Harbour Authority. The Council is the accountable body. | Yes |
| Maryport Harbour Authority | Trustee | Corporate, Customer and Community Services | Assistant Director Customer & Community | Keith Little | Keith Little | Appointment | The harbour authority is the overarching authority within the Maryport Harbour and Marina Complex. | Yes |
| Settle Carlisle Railway Trust | Ordinary Member | Economy and Infrastructure | Assistant Director Growth and Infrastructure | Andy Connell | Andy Connell | Appointment | Representation supports the Council to engage with external partners in order to secure service and infrastructure improvements. | No |
| Solway AONB Partnership | Voting Member | Economy and Infrastructure | Assistant Director Environment and Enterprise | Tony Markley Celia Tibble | Tony Markley Celia Tibble | Appointment | The council act as critical partners for the delivery of the AONB Management Plan and provide funding & expertise in order to deliver on the Council's responsibilities and the shared objectives of the Council and the AONB. | No |
| Regional Level Outside Bodies | | | | | | | | |
| North Pennines AONB Partnership | Voting Member | Economy and Environment | Assistant Director Environment and Enterprise | Nick Marriner | Nick Marriner | Appointment | The Council act as critical partners for the delivery of the AONB Management Plan and provide funding & expertise in order to deliver on the Council's responsibilities and shared objectives between the Council and the AONB. | No |
| North West Fire and Rescue Forum | Voting Member | Cumbria Fire and Rescue Service | Chief Fire Officer | Janet Willis Arthur Lamb | Janet Willis Arthur Lamb | Appointment | Engagement allows the Fire and Rescue Service to share knowledge & ideas with other Fire and Rescue Services about emerging national issues and Government programmes. | Yes |

| Body | Role in which appointed | Cluster | Lead Officer | Current Member(s) appointed | Proposed Member(s) | Recommendation of appointment or removal of appointment | Rationale for recommendation | Annual Report produced |
|--|-------------------------|--|--|---|---|---|---|--|
| North West Fire Control Ltd | Director | Cumbria Fire and Rescue Service | Chief Fire Officer | Janet Willis Arthur Lamb | Janet Willis Arthur Lamb | Appointment | Appointees act as Directors of the company who provide oversight and challenge to ensure dispatch services are effective and deliver value for money. | Yes |
| North West Local Authority Employers' Organisation | Voting Member | Corporate, Customer and Community Services | Assistant Director Organisational Change | Janet Willis | Janet Willis | Appointment | The Council can derive benefit from access to knowledge, learning provision and peer review that North West Local Authority Employers' Organisation provide. The services offered can support the delivery of the Council's Workforce Plan. | No |
| Regional Flood and Coastal Committees | Voting Member | Economy and Environment | Assistant Director Highways and Transport | Keith Little | Keith Little | Appointment | Capital Grants in Aid and Local Levies provided by the committee can support Council activity in managing flood risk across the county. | No |
| Transport for the North | Voting Member | Economy and Environment | Assistant Director Growth and Infrastructure | Keith Little (sub. Peter Thornton) | Keith Little (sub Peter Thornton) | Appointment | Engagement will allow the Council to continue to influence investment decisions that form a part of Network Rail work programmes. | No |
| National Level Outside Bodies | | | | | | | | |
| Industrial Communities Alliance | Voting Member | Economy and Environment | Assistant Director Growth and Infrastructure | Bill McEwan | Bill McEwan | Appointment | The alliance acts as an important lobbying group for former industrial areas in supporting investment for economic development. The Council can benefit from information sharing and lobbying activity. | No |
| LGA County Council Network | Voting Member | Corporate, Customer and Community Services | Executive Director Corporate Customer & Community Services | Stewart Young Anne Burns Hilary Carrick Peter Thornton | Stewart Young Anne Burns Hilary Carrick Peter Thornton | Appointment | Engagement has significant benefits in raising awareness and influence on national policy issues which impact on County Councils. | Change of Membership - no report this year |
| LGA County Council Network Executive | Ordinary Member | Corporate, Customer and Community Services | Executive Director Corporate Customer & Community Services | Stewart Young Anne Burns Hilary Carrick | N/A | Removal of Appointment The functions of the CCN Council and Executive have been merged so it is only CCN Council appointments that are now required | The Council's engagement has significant benefits in raising awareness and influence on national policy issues which impact on County Councils. | N/A |
| LGA General Assembly | Voting Member | Corporate, Customer and Community Services | Executive Director Corporate Customer & Community Services | Stewart Young Anne Burns Hilary Carrick Peter Thornton | Stewart Young Anne Burns Hilary Carrick Peter Thornton | Appointment | The LGA General Assembly acts as the Council's main point of engagement with the LGA which has significant benefits in raising awareness of the issues the Council faces. | Change of Membership - no report this year |
| LGA Fire Service Commission | Voting Member | Cumbria Fire and Rescue Service | Chief Fire Officer | Janet Willis | Janet Willis | Appointment | Engagement allows the Fire and Rescue Service to consider policy or operational change as it arises on a national level. | Yes |
| LGA Special Interest Group – Nuclear Legacy Advisory Forum | Voting Member | Economy and Environment | Assistant Director Growth and Infrastructure | David Southward | David Southward | Appointment | With the nuclear sector being a significant part of the Cumbrian Economy, the Council derives benefit from information sharing and joint lobbying that membership on this local authority focused group provides. | No |

| Body | Role in which appointed | Cluster | Lead Officer | Current Member(s) appointed | Proposed Member(s) | Recommendation of appointment or removal of appointment | Rationale for recommendation | Annual Report produced |
|---|--------------------------------|-------------------------|--|------------------------------------|----------------------------|--|---|-------------------------------|
| LGA Forum – New Nuclear Local Authorities Group | Voting Member | Economy and Environment | Assistant Director Growth and Infrastructure | David Southard | David Southard | Appointment | Membership allows Cumbria to share information and best practice in relation to new nuclear developments. Membership is particularly important in relation to planned developments with Moorside. | No |
| LGA Sparse Rural | Voting Member | Finance | Director of Finance | Sue Sanderson | Sue Sanderson | Appointment | The knowledge and skills gained by being a member of the board has benefits in understanding how to deliver services in a large rural area. | Yes |
| West Coast Rail 250 | Voting Member | Economy and Environment | Assistant Director Growth and Infrastructure | Keith Little Geoff Cook | Keith Little Geoff Cook | Appointment | Membership provides access to a key lobbying group with Government in respect of rail infrastructure. | Yes |